CITY OF SPRINGFIELD

City Clerk's Office November 14, 2012

Regular Meeting Agenda~

I hereby notify you that at twelve o'clock noon today the following items of business had been filed with this office and can be acted upon at the meeting in City Council Chambers, Room 200 at City Hall, 36 Court Street **Monday** evening **November 19, 2012** at 07:00 PM according to Section 12, Rules and Orders of the City Council.

City Clerk

Call to Order

7:00 PM Meeting called to order on November 19, 2012 at Springfield City Hall -- Council Chambers, 36 Court Street, Springfield, MA.

Report of Committee

(3.) From CC re:Rpt of Com - Pian & Econ Dev Com and Fin Com - Union Station Appr –

Financial Orders

(5.) Budget Transfer Across Departments $1,800,000 (Mayor Sarno)
$1,800,000

(6.) Central High Science Lab $250,000 (Mayor Sarno)
$250,000

Orders

(1.) Landfill Closure Bond Authorization (Mayor Sarno)
ATTACHMENTS:

- Landfill Closure Bond Authorization Memo (DOC)

(2.) Brookings Full Project Order $27,900,000 (Mayor Sarno)
ATTACHMENTS:

- 10 9 12_Brookings_PSB BAL (PDF)
- Springfield Brookings PSB Total Project Budget (PDF)
- Cafo Cert - Brookings (DOC)

(3.) Mary Dryden Full Project Order Second Reading $15,247,142 (Mayor Sarno)
ATTACHMENTS:

- Cafo Cert - Dryden (DOC)
- Springfield Dryden - Total Project Budget (PDF)

(4.) Mass in Motion/Comm.Y Transformation Increase- $70,000 - NO MATCH REQUIRED (Mayor Sarno)
ATTACHMENTS:

- CommunityTransformMAMOT7000award (PDF)

(5.) Healthcare for Homeless Increase- No Match Required $55,000 (Mayor Sarno)

ATTACHMENTS:

- hshsincreas55000AWARD (PDF)

(6.) HRSA - No Match Required-$1,194,003 (Mayor Sarno)
ATTACHMENTS:

- HSHFfullaward - HRSA (PDF)

(7.) Ahec Model Grant - No Match Required- $68,950.00 (Mayor Sarno)
ATTACHMENTS:

- AHECMODEL GRANT 68950.00 (PDF)

(8.) MLCHC - No Match Required - $5000 (Mayor Sarno)
ATTACHMENTS:

- MALEAUGAWARD5000 (PDF)

(9.) ORI TAG - No Match Required - 113,207 (Mayor Sarno)
ATTACHMENTS:

- ORITAGAWARD (PDF)

(10.) PARTNERSHIP II (PFSII) - No Match Required $360,000 (Mayor Sarno)
ATTACHMENTS:

- PARTNERSFORSUCCESS13 (PDF)

(11.) STOP ACCESS (5034) Increase - No Match Required $80,000 (Mayor Sarno)
ATTACHMENTS:

- STOPACCESS5034AWARD (PDF)

(12.) STOP ACCESS (5035) - No Match Required $80,000 (Mayor Sarno)
ATTACHMENTS:

- STOPACCESS5035AWARD (PDF)

(13.) AHEC CORE - No Match Required $109,000 (Mayor Sarno)
ATTACHMENTS:

- AHEC CORE109,000 (PDF)

(14.) Library of Trust Income - No Match Required $30,332.10 (Mayor Sarno)
ATTACHMENTS:

- library of trust 1st quarter 2013 (PDF)

(15.) Springfield Library Foundation -$ 8,900.00 Fund Library Materials (Mayor Sarno)
ATTACHMENTS:

- springfield library foundation - materials fy13 (PDF)

(16.) Veterans - Donation from Big Y (Mayor Sarno)
ATTACHMENTS:

- Veterans Donation fromBig Y back-up (PDF)

(17.) TJO Donations for Animal Care - No Match Required $7,443.69 (Mayor Sarno)
ATTACHMENTS:

- donations__donors_cover1 (PDF)

(18.) FY13 - Q1 Report (Mayor Sarno)
ATTACHMENTS:

- Q1 Report - 9-30-12 (DOC)

(19.) FY13 Budget - EF Retained Earnings - $513,294 (Mayor Sarno)
ATTACHMENTS:

- cafo-cert - DPW - Retained Earning and Rubbish Trucks (DOC)

(20.) FY12 Certified Free Cash to Stabilization Transfer (Mayor Sarno)
$5.282M to Stabilization Reserves

ATTACHMENTS:

- 11-19-12 - CAFO Certification - Free Cash to Stabilization Reserves (PDF)

(21.) Union Station Redevelopment $2,200,000 (Mayor Sarno)

ATTACHMENTS:

- CAFO Certification - Union Station (DOC)

(22.) Order Authorizing Mayor to Execute Quitclaim Deed for Sale of 90 Buckingham St. to Hector Grullon (Mayor Sarno)

ATTACHMENTS:

- Deed 90 Buckingham St. (DOC)

(23.) Order Authorizing Mayor to Execute Quitclaim Deed for Sale of 62 Bay St. to Icarain Real Estate Advisers, LLC (Mayor Sarno)

ATTACHMENTS:

- Deed 62 Buckingham St. (DOC)
- Deed 62 Bay St. (DOC)

(24.) Order Authorizing and Approving the Establishment of an Infrastructure Development Trust, for the Proceeds of the Sale of 1600 and 1592-1596 Main Street, Springfield, Massachusetts (Mayor Sarno)

ATTACHMENTS:

- MOU Trust Eco Dev (PDF)

(25.) Order Authorizing and Approving the Execution of an Activity Use Limitation (AUL) for the Property Known as 155 Hickory Street, Springfield, Massachusetts (Mayor Sarno)

(26.) Order Authorizing and Accepting a Transfer from the Springfield Redevelopment Authority of Certain Real Property on Marble Street in Springfield (Mayor Sarno)

(27.) Order Authorizing and Approving the Establishment of an Infrastructure Development Trust, for the Proceeds of the Sale of 1600 and 1592-1596 Main Street, Springfield, Massachusetts (Mayor Sarno)

(28.) From re:Order Authorizing Award of Contract Over Three Years –

Informational

(1.) From BPW re:Ivan Street - Installing Gas Main (Columbia Gas) – <<Enter brief purpose>>

(2.) From BPW re:Massasoit St. - Inst. Conduits and Hexhole (WMECO) – <<Enter brief purpose>>

(3.) From BPW re:Sumner Avenue - Inst. PVC Conduits (WMECO) – <<Enter brief purpose>>

(4.) From re:Order Authorizing Intergovernmental Agreement for Animal Control –

(5.) From BPW re:Main Street I.O. - Install New Pole (Verizon) – <<Enter brief purpose>>

Ordinances

(1.) (ID # 1945) - Residency Requirements

(2.) (ID # 1946) - Amended City Ordinance Chapter 100, Sections 100-4, 6

(3.) (ID # 1950) - Chapter 67-70 Fire Commissioner – Qualification

Special Acts

(1.) An Act Exempting the City of Springfield from the Residency Provision of Massachusetts General Laws Chapter Seventy-One Section Thirty-Eight

ATTACHMENTS:

- Memo for Special Act for City Council bud williams (DOC)

City of Springfield

Meeting: 11/19/12 07:00 PM
Initiator: Robert Arieti
SCHEDULED Sponsors: At-Large Councilor Williams, At-Large Councilor Rooke
DOC ID: 1939

Rpt of Com - Pian & Econ Dev Com and Fin Com - Union Station Appr

By the City Council of the City of Springfield, Massachusetts:
Notice having been given and a public hearing held, as provided by law,

IT IS HEREBY ORDERED:

That the, be and it is hereby granted a location for and permission to

Rpt of Com - Pian & Econ Dev Com and Fin Com - Union Station Appr

Also that permission be and hereby granted said {ResUserUserLookup1} to complete the above request.

I hereby certify that the foregoing order was adopted at a meeting of the City Council of the City of Springfield, Massachusetts held on November 19, 2012

_____
City Clerk

We hereby certify that on, at, at a public hearing was held on the petition of the for permission to complete the above mentioned request.

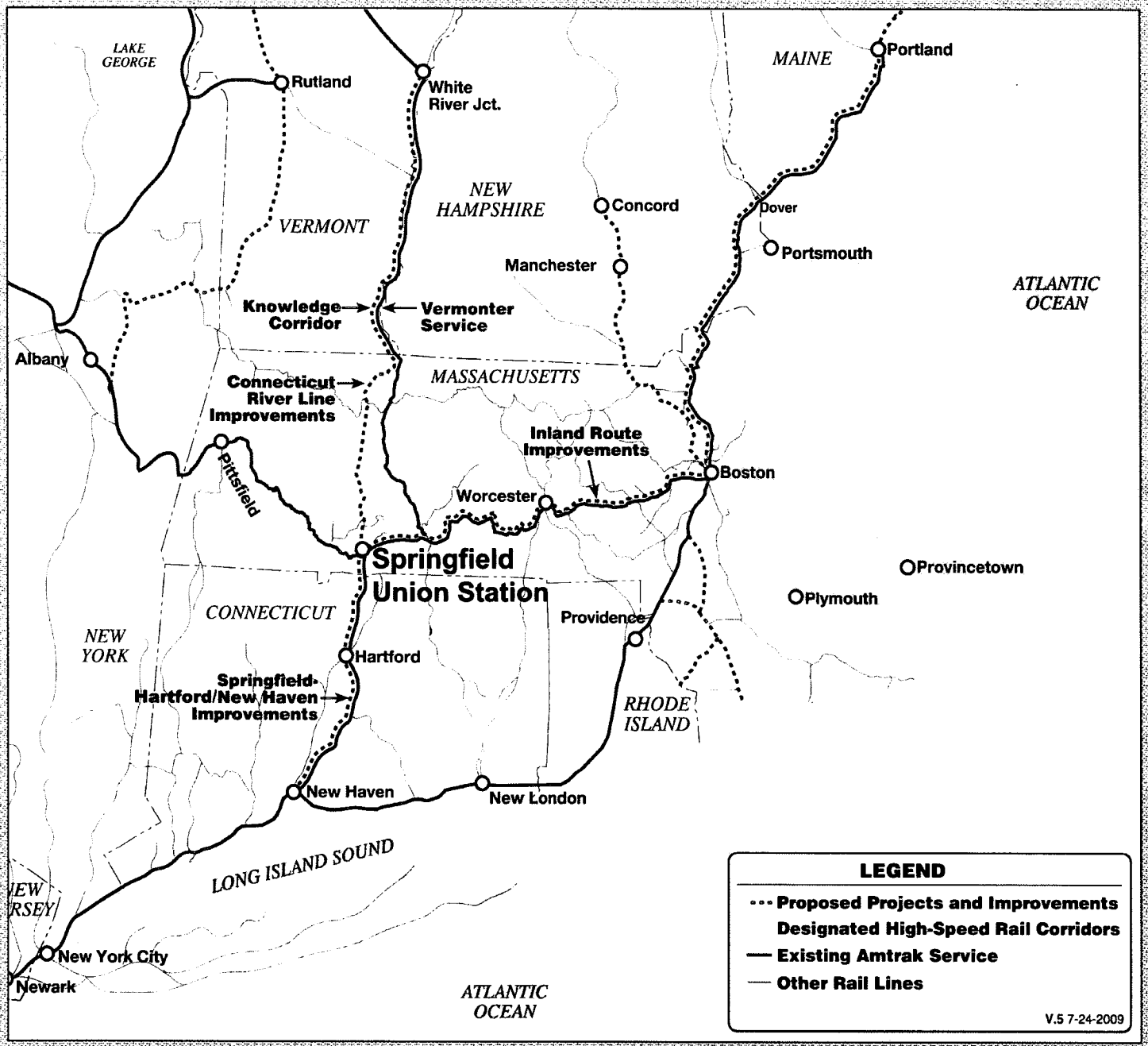
_____
City Clerk

I hereby certify that the foregoing is a true copy of a location order and certificate of hearing with notice adopted by the City Council of the City of Springfield, Massachusetts, on the November 19, 2012, and recorded with the records of location orders of said city. This certified copy is made under the provision of Chapter 166 of the General Laws and any additions thereto or amendments thereof.

Attest:

_____
City Clerk

Center



Project Briefing:

Springfield City Council

Joint Meeting of the Planning & Economic Development and Finance Committees

October 23, 2012

Aerial View

Springfield Union Station Regional Intermodal Transportation Center

Attachment: Union Station handout - P & E and Fin Com Com meeting 10-23-12, Rpt, 11-19-12 (1939 : Rpt of Com - Pian & Econ Dev Com and

Project Overview

Springfield Union Station

This $78 million project integrates multiple transit modes (local and intercity bus; intercity and planned commuter rail; and taxi, bicycle and pedestrian travel) in one state-of-the-art transportation complex.

A $48.7 million phase I component offers a program of independent utility to position the project to take advantage of early funding availability.

Phase I will include:

- Restoration of Terminal Building and its central concourse.
- Removal of the Baggage Building and construction of a 24-bay bus terminal and a 146-space parking garage, with 4 additional bus bays on adjacent site.
- Reopening and restoring of the passenger tunnel linking the terminal building to rail boarding platforms and pedestrian access to the downtown.
- New stair and elevator access from re-opened passenger tunnel leading to passenger rail boarding platforms.
- Platform upgrades (by MassDOT)

Phase II will include:

- Transit-related administrative functions.
- Additional parking spaces.
- Development of transit-related commercial space.

Attachment: Union Station handout - P & E and Fin Com Com meeting 10-23-12, Rpt, 11-19-12 (1939 : Rpt of Com - Pian & Econ Dev Com and

Transportation Benefits

Springfield Union Station

Project will:

- Position Springfield as a regional transportation hub
- Support and facilitate significant bus and rail ridership growth
- Replace scattered transit locations in varying stages of obsolescence and decay
- Result in a variety of travel and safety improvements due to more efficient bus and rail operations, shorter walking times, and greatly improved waiting areas
- Increase bus berth capacity and eliminate inefficient on-site bus operations
- Provide convenient and adequate parking
- Include space for the development of transit-related private enterprises

Attachment: Union Station handout - P & E and Fin Com Com meeting 10-23-12, Rpt, 11-19-12 (1939 : Rpt of Com - Pian & Econ Dev Com and

Project Status

Springfield Union Station

- Project in design (schematic design complete, design development underway)
- SRA working in partnership MassDOT has secured funding commitments:
  - 2011 Federal "Bus Livability" grant awarded October, 2011
  - 2012 Federal "Bus Livability" grant awarded June, 2012
  - Three FTA grants under contract/MassDOT match in place
  - State Off-Street Parking grant awarded
  - State Transportation Bond funds advanced for design and permitting
- Contractor selected, preconstruction services underway
- NEPA /MEPA permitting completed
- Project opening – Fall 2014

Attachment: Union Station handout - P & E and Fin Com Com meeting 10-23-12, Rpt, 11-19-12 (1939 : Rpt of Com - Pian & Econ Dev Com and

Critical Action Items

Springfield Union Station

- Complete Phase I funding package:
  - $42.5 million in Phase I funding place and authorized for expenditure
  - Discussions underway with MassDOT to access recent $4 million T-Bond earmark
  - $2.2 million City Bond will complete funding (representing 4.5% of Phase I sources)

Attachment: Union Station handout - P & E and Fin Com Com meeting 10-23-12, Rpt, 11-19-12 (1939 : Rpt of Com - Pian & Econ Dev Com and

SPRINGFIELD UNION STATION

Murray Street Elevation

Main Street Elevation

HDR

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Jennifer Winkler
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1898

Budget Transfer Across Departments $1,800,000 (Mayor Sarno)

WHEREAS, to meet the expenses of the City of Springfield for the fiscal year commencing July 1, 2012 and ending June 30, 2013 (FY'13), pursuant to Mass. Gen. Laws ch. 44 , Section 33B, and Chapter 468 of the Acts of 2008, the Chief Administrative and Financial Officer and his Honor the Mayor have requested authorization from the City Council to transfer the sum of $1,800,000 from the Special Purpose Fund - Finance Education Incentive to the Police Department Education Incentive in order to fund the State's portion of the Education Incentive also known as the Quinn Bill; and

WHEREAS, the Finance Department - T.J. Plante, whose Department is in control of the appropriation from which the transfer is proposed to be made, has approved this transfer,

WHEREAS, after reviewing this order, the Chief Administrative and Financial Officer has certified to the Mayor and City Council, that in his professional opinion, after an evaluation of all pertinent financial information reasonably available, the City’s financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the transfer, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, that the City Council approves the transfer of the sum of $1,800,000 from the Special Purpose Fund - Finance Education Incentive to the Police Department Education Incentive in order to fund the State's portion of the Education Incentive also known as the Quinn Bill and meet the expenses of the City of Springfield for Fiscal Year 2013.

From: Special Purpose Fund - Finance -Education Incentive

1901-10-133-0000-0000-0000-000000-0000000-501199-QUINN $1,800,000.00

To: Police Department - Education Incentive

0100-20-210-2130-2131-0110-000000-0000000-501199- $1,800,000.00

FISCAL IMPACT:

$1,800,000

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: LeeAnn Pasquini
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1913

Central High Science Lab $250,000 (Mayor Sarno)

WHEREAS, An order to transfer amounts borrowed but not expended for Various School Water capital projects that has been abandoned, to pay costs for planning of the Central High Science Lab expansion in accordance with Chapter 44, Section 20 of the General Laws, Be it ordered by the City Council of the City of Springfield as follows:

WHEREAS, the City appropriates the amount of Two Hundred Fifty Thousand Dollars ($250,000.00) originally borrowed to pay costs of Various School Water projects which has been abandoned by the City, to fund the projected costs for planning of the Central High Science Lab expansion and other related costs; and

WHEREAS, the department heads having control of the appropriations from which the funds are to be transferred have approved the transfers; and

WHEREAS, after reviewing this order, the Chief Administrative and Financial Officer has certified to the Mayor and City Council, that in his professional opinion, after an evaluation of all pertinent financial information reasonably available, the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the transfer, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, that the City Council approves the transfer of Two Hundred Fifty Thousand Dollars ($250,000.00) originally borrowed to pay costs for Various School Water capital projects which has been abandoned by the City, to fund the projected costs for for planning of the Central High Science Lab expansion and other related costs.

From:

Various School Water Projects - Cash Unrestricted

3144-00-000-0000-0000-0000-000000-0000000-104000-

$250,000

To:

Pay-Go Capital - Building Improvements

3416-10-133-0000-0000-0000-000000-0000000-580400-SCILB

$250,000.00

FISCAL IMPACT:

$250,000

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: LeeAnn Pasquini
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1915

Landfill Closure Bond Authorization (Mayor Sarno)

That the City appropriates Four Million Nine Hundred Forty Five Thousand Eight Hundred Forty Seven Dollars ($4,945,847) for the purpose of paying costs of landfill closure as may be required by the Massachusetts Department of Environmental Protection, including the payment of all costs incidental or related thereto. To meet this appropriation, the Treasurer, with the approval of the Mayor, is authorized to borrow said sum of money, pursuant to M.G.L. Chapter 44, Section 8(24), or pursuant to any other enabling authority, and to issue bonds or notes of the City therefor.

FURTHER ORDERED: That the Treasurer is authorized to file an application with the appropriate officials of The Commonwealth of Massachusetts (the "Commonwealth") to qualify under Chapter 44A of the General Laws any and all bonds of the City authorized by this Order, and to provide such information and execute such documents as such officials of the Commonwealth may require in connection therewith.

City of Springfield
36 Court Street
Springfield, MA 01103

Finance Department

To: **Mayor Sarno**

From: **TJ Plante**

Date: **November 5, 2012**

Re: **Bondi's Island Landfill Closure – Bond Authorization**

Cc: **Lee Erdmann, Al Chwalek, Ed Pikula, Pat Burns**

Purpose: The purpose of this memo is to describe what is needed to secure funding for the Bondi's Island Landfill closure as required by the Department of Environmental Protection (DEP).

Background: The City of Springfield owns Bondi's island and deposits trash there on a daily basis. For any "owner" of a landfill, whether it's a municipality or private entity, the State DEP requires said entity to have a Financial Assurance Mechanism (FAM). A FAM is basically an insurance policy that pays for the proper closure of the landfill if the owning entity abandons it. To date, the City does not have an FAM and the DEP is pressuring to have one created.

Closure of the landfill is set to begin in FY12 with a need for $495K. The closure will be complete in the year 2021.

The total cost of the landfill closure is currently estimated to be $7 million. $5 million is for capital costs and $2 million is for annual monitoring costs that will be funded in the annual City budget for the enterprise fund. The total bond authorization being sought is $5 million to cover the capital costs.

Recommendation: After consultation with our financial advisors, the City is submitting an order to authorize bonds for the total cost of the landfill closure. The debt will be issued at the time that costs are incurred. Therefore, debt payments will be made on the portion of the debt as it is issued and not on the full authorization.

Without an approved FAM, DEP will begin fining the City until compliance is met.

Lastly, the City also considered the following options but determined that issuing debt would be the most legal and cost effective way to address the FAM.

- Divert City Revenue and Savings to Landfill Fund – would eliminate operating revenue from the enterprise fund; development of a sinking fund is not legal by finance law.
- Covanta Liability – City Loses Revenue / Savings - would eliminate operating revenue from the enterprise fund.
- Sell Bondi's Island to Covanta - Loss of control of Bondi's; Could be giving up much needed landfill space to other communities.

Attachment: Landfill Closure Bond Authorization Memo (1915 : Landfill Closure Bond Authorization - Second Reading)

A BOND ORDER TO PAY COSTS OF LANDFILL CLOSURE AS MAY BE REQUIRED BY THE MASSACHUSETTS DEPARTMENT OF ENVIRONMENTAL PROTECTION

BE IT ORDERED, BY THE CITY COUNCIL OF THE CITY OF SPRINGFIELD AS FOLLOWS:

That the City appropriates Four Million Nine Hundred Forty Five Thousand Eight Hundred Forty Seven Dollars ($4,945,847) for the purpose of paying costs of landfill closure as may be required by the Massachusetts Department of Environmental Protection, including the payment of all costs incidental or related thereto. To meet this appropriation, the Treasurer, with the approval of the Mayor, is authorized to borrow said sum of money, pursuant to M.G.L. Chapter 44, Section 8(24), or pursuant to any other enabling authority, and to issue bonds or notes of the City therefor.

FURTHER ORDERED: That the Treasurer is authorized to file an application with the appropriate officials of The Commonwealth of Massachusetts (the "Commonwealth") to qualify under Chapter 44A of the General Laws any and all bonds of the City authorized by this Order, and to provide such information and execute such documents as such officials of the Commonwealth may require in connection therewith.

Attachment: Landfill Closure Bond Authorization Memo (1915 : Landfill Closure Bond Authorization - Second Reading)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: LeeAnn Pasquini
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1916

Brookings Full Project Order $27,900,000 (Mayor Sarno)

ORDERED: the City of Springfield appropriate the amount of twenty-seven million, nine hundred thousand dollars ($27,900,000) for the purpose of paying costs of replacing the existing Elias Brookings Elementary School with a new elementary school on a new site, located at 433 Walnut Street in Springfield, including the payment of all costs incidental or related thereto (the "Project"), which school facility shall have an anticipated useful life as an educational facility for the instruction of school children for at least 50 years, and for which the City may be eligible for a grant from the Massachusetts School Building Authority ("MSBA"), said amount to be expended under the direction of the School Building Committee. To meet this appropriation the Treasurer with the approval of the Mayor, is authorized to borrow said amount under M.G.L. Chapter 44, or pursuant to any other enabling authority. The City acknowledges that the MSBA's grant program is a non-entitlement, discretionary program based on need, as determined by the MSBA, and any project costs the City incurs in excess of any grant approved by and received from the MSBA shall be the sole responsibility of the City; provided further that any grant that City may receive from the MSBA for the Project shall not exceed the lesser of (1) eighty percent (80%) of eligible, approved project costs, as determined by the MSBA, or (2) the total maximum grant amount determined by the MSBA; and that the amount of borrowing authorized pursuant to this vote shall be reduced by any grant amount set forth in the Project Funding Agreement that may be executed between the City and the MSBA. The City acknowledges that any amounts received from the Federal Emergency Management Agency, the Massachusetts Emergency Management Agency, or any other third party on account of the Project shall be applied first to reduce MSBA-eligible costs of the Project, and the remaining amount of MSBA-eligible costs of the Project shall be apportioned between the MSBA and the City according to the applicable reimbursement rate applicable to the Project, as determined by the MSBA.

WHEREAS, after reviewing this order, the Chief Administrative and Financial Officer has certified to the Mayor and City Council, that in his professional opinion, after an evaluation of all pertinent financial information reasonably available, the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the transfer, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

FURTHER ORDERED: the City Treasurer is authorized to file an application with The Commonwealth of Massachusetts' Municipal Finance Oversight Board to qualify under Chapter 44A of the General Laws any and all bonds or notes of the City authorized by this vote, and to provide such information and execute such documents as the Municipal Finance Oversight Board of The Commonwealth of Massachusetts may require.

Attachment: 10 9 12_Brookings_PSB BAL (1916 : Elias Brookings Full Project Order - Second Reading

Massachusetts School Building Authority

Steven Grossman
Chairman, State Treasurer

John K. McCarthy
Executive Director

October 3, 2012

Ms. Rita Coppola, Director
Department of Capital Asset Construction
City of Springfield
26 Court Street, Room 312
Springfield, MA 01103

Re: Springfield Public Schools, Elias Brookings Elementary School

Dear Ms. Coppola:

I am pleased to report that the Board of the Massachusetts School Building Authority (the "MSBA") voted to approve the Proposed Project to replace the existing Elias Brookings Elementary School in the City of Springfield with a new elementary school facility on a new site, located on Walnut Street. Please note the Board's approval is subject to the conditions set forth in the attached Memorandum, dated September 26, 2012.

The Board approved an estimated maximum Total Facilities Grant of $16,371,608, which does not include any funds for potentially eligible owner's or construction contingency expenditures. In the event that the MSBA determines that any owner's and/or construction contingency expenditures are eligible for reimbursement, the maximum Total Facilities Grant for the Proposed Project may increase to $17,474,808. The final grant amount will be determined by the MSBA based on a review and audit of all project costs incurred by the District, in accordance with the MSBA's regulations, policies, and guidelines and the Project Funding Agreement. The final grant amount may be an amount less than $16,371,608.

Pursuant to the MSBA's regulations, the District has 120 days to acquire and certify local approval for an appropriation and all other necessary local votes or approvals showing acceptance of the cost, site, type, scope, and timeline for the Proposed Project. Upon receipt of the certified votes demonstrating local approval, the MSBA and the District will execute a Project Funding Agreement which will set forth the terms and conditions pursuant to which the District will receive its grant from the MSBA. Once the Project Funding Agreement has been executed by both parties, the District will be eligible to submit requests for reimbursement for Proposed Project costs to the MSBA. The Project Scope and Budget Agreement signed by the District and the MSBA will be the basis for the Project Funding Agreement.

2.2.a

Attachment: 10 9 12_Brookings_PSB BAL (1916 : Elias Brookings Full Project Order - Second Reading

Packet Pg. 22

We will be contacting you soon to discuss these next steps in more detail, but in the meantime, I wanted to share with you the Board's approval of the Proposed Project for a the replacement of the existing Elias Brookings Elementary School with a new school facility on a new site.

I look forward to continuing to work with you as part of the MSBA's grant program. As always, feel free to contact me or my staff at (617) 720-4466 should you have any questions.

Sincerely,

John K. McCarthy
Executive Director

Cc: Senator Gale Candaras
Senator James Welch
Representative Brian Ashe
Representative Cheryl Coakley-Rivera
Representative Sean Curran
Representative Michael Finn
Representative Thomas Petrolati
Representative Benjamin Swan
Mayor Domenic J. Sarno, City of Springfield
James J. Ferrera, III, President, Springfield City Council
Daniel J. Warwick, Superintendent, Springfield Public Schools
Tim McKenna, Owner's Project Manager, Arcadis U.S., Inc.
Vladimir Lyubetsky, Designer, Drummey Rosane Anderson, Inc.
File: Letters 10.2 (Region 1)

District: City of Springfield
School Name: Elias Brookings Elementary School
Recommended Category: Project Scope and Budget
Date: September 26, 2012

Recommendation

That the Executive Director be authorized to enter into a Project Scope and Budget Agreement and a Project Funding Agreement with the City of Springfield to replace the existing Elias Brookings Elementary School with a new elementary school facility on a new site, located on Walnut Street.

District Information	
District Name	City of Springfield
Early Childhood Center(s)	Margaret C. Ells Early Childhood Center (PK-1)
K-12 Facility(s)	Springfield Academy for Excellence (K-12)
Elementary/Middle School(s)	12 (PK-5) 18 (K-5) 1 (PK-8)
Middle School(s)	7 (6-8)
Middle/High School(s)	1 (6-12)
High School(s)	4 (9-12)
Priority School Name	Elias Brookings Elementary School
Type of School	Elementary School
Grades Served	PK-5
Year Opened	1925
Total Square Footage	59,769
Additions	N/A
Acreage of Site	1.79 acres
Building Issues	A tornado damaged the building in June, 2011, and the City has identified deficiencies in the following areas: – Structural integrity – Mechanical systems – Electrical systems – Plumbing systems – Envelope – Windows – Roof
Original Design Capacity	Unknown
2011-2012 Enrollment	329
Agreed Upon Enrollment	320
Enrollment Specifics	The City and MSBA have mutually agreed upon a design enrollment of 320 students for grades K-5, for a school that will serve grades PK-5.

Attachment: 10 9 12_Brookings_PSB BAL (1916 : Elias Brookings Full Project Order - Second Reading $27,900,000)

MSBA Board Votes	
Invitation to Feasibility Study	November 17, 2011
Preferred Schematic Authorization	June 6, 2012
Project Scope & Budget Authorization	On October 3, 2012 Board agenda
Reimbursement Rate Before Incentives	80.00%
Incentive Points	1.91 – Maintenance 1.00 – CM @ Risk
Total Reimbursement Rate[1]	80.00%

[1] *By statute, 80% is the maximum reimbursement rate. Here, the City's base reimbursement rate is 80% before applying any incentive points. If the City does not qualify for these incentive points, its reimbursement rate of 80% will not be affected because its base rate is the maximum rate of 80%. Please also note that recently-passed legislation allows the MSBA, at its sole discretion, to exempt from the 80% maximum reimbursement rate "projects that will replace or renovate a school that was damaged as a result of an emergency or disaster declared by the federal government between June 1, 2011 and August 1, 2012." The MSBA is considering how this legislation may affect the reimbursement rate for the proposed Brookings Elementary School project. Any recommendations for a change to the reimbursement rate would be presented to the Board of Directors at a future meeting.*

Consultants	
Owner's Project Manager	Arcadis
Designer	Drummey Rosane Anderson, Inc.

Discussion

On June 1, 2011, a tornado struck portions of western Massachusetts, including the City of Springfield, causing damage to two schools within the City: the Elias Brookings Elementary School and the Mary A. Dryden Veterans Memorial Elementary School. At the Elias Brookings Elementary School, the most significant damage was to the building envelope, windows, and roof. The roof of the building was severely damaged, causing widespread water infiltration. In addition, high winds broke windows and displaced interior partitions. In conjunction with its consultants, the City conducted an initial study, which concluded that repairing the school to its pre-storm condition would be costly and would result in a facility that does not meet the educational needs of the City.

Since June, 2011, the City has been working to establish a budget for the project, has met with Federal Emergency Management Agency (FEMA), and has completed FEMA's Project Worksheets to determine eligibility for reimbursement under FEMA guidelines. Although the City has confirmed with FEMA that portions of the work to construct a new Elias Brookings Elementary School will be eligible for reimbursement, FEMA's final funding level and reimbursement will not be available until the project is complete.

MSBA staff reviewed the documents provided by the City and met with the City and its consultants to discuss scope and budget. The City has provided a Total Project Budget of $27,900,000, which includes an estimated construction cost of $22,733,394 ($351/sf). The basis of the estimated maximum Total Facilities Grant would be $20,464,510, which excludes site costs

Attachment: 10 9 12_Brookings_PSB BAL (1916 : Elias Brookings Full Project Order - Second Reading $27,900,000)

in excess of 8% of total building cost, construction costs in excess of $275 per square foot, and owner's and construction contingency costs.

Project Scope and Budget Agreement	
Enrollment: 320 Total Square Feet: 64,800	City's Proposed Project Budget
Project Budget[1]	$26,521,000
Scope Exclusions/Ineligible Costs	$5,019,905
Estimated Third Party Funding (FEMA)[2]	$1,036,585
Estimated Basis of Total Facilities Grant	$20,464,510
Reimbursement Rate	80.00 %
Estimated Maximum Total Facilities Grant[3]	$16,371,608
Potentially eligible owner's and construction contingencies	$1,379,000
Potential additional grant funds for eligible owner's and construction contingency expenditures	$1,103,200
Total Project Budget	$27,900,000
Maximum Total Facilities Grant[4]	$17,474,808

[1] *Does not include owner's or construction contingencies.*
[2] *This amount is an estimate based on information provided by the City and is subject to adjustment based on the final amount of third-party funding received by the City for this project.*
[3] *Does not include any grant funds for potentially eligible owner's or construction contingency expenditures; subject to MSBA review and audit.*
[4] *Includes maximum possible owner's and construction contingency grant funds, the final amount of which, if any, shall be determined by the MSBA in its sole discretion. The MSBA does not anticipate that the District will expend all of its contingency funds on expenses that are eligible for MSBA reimbursement.*

Based on the information that the MSBA has received from the City, staff recommends that the Executive Director be authorized to enter into a Project Scope and Budget Agreement and a Project Funding Agreement with the City of Springfield to replace the existing Elias Brookings Elementary School with a new elementary school on a new site, located on Walnut Street, subject to the following conditions and limitations:

- The City understands that the MSBA's participation is as the payer of last resort and that the MSBA will not participate in any costs that will be reimbursed by FEMA or any other third party;

- The City of Springfield shall continue to work with FEMA and the Massachusetts Emergency Management Agency ("MEMA") and provide any and all information that FEMA and/or MEMA may require or request, to ensure and maximize FEMA funding and assistance for the proposed Elias Brookings Elementary School project;

- The City must receive approval from FEMA for a grant or grants that result in FEMA reimbursing the City for 75% of all FEMA-eligible project costs for the proposed Elias Brookings Elementary School project; and

Attachment: 10 9 12_Brookings_PSB BAL (1916 : Elias Brookings Full Project Order - Second Reading $27,900,000)

- Pursuant to the MSBA's regulations, 963 CMR 2.09 (6), the total amount of FEMA funding and any other third-party funding shall be deducted from the total amount of MSBA-eligible project costs, and the remaining amount of eligible project costs shall be apportioned between the MSBA and City according to eligibility of cost and the applicable reimbursement rate, as determined by the MSBA.

MSBA staff recommends an estimated maximum Total Facilities Grant of $16,371,608; however, the District may be eligible for up to an additional $1,103,200 in grant funds, subject to the MSBA's review and audit of the District's owner's and construction contingency expenditures. Accordingly, staff recommends a maximum Total Facilities Grant of $17,474,808 for the Project Scope and Budget Agreement and Project Funding Agreement with the City of Springfield to replace the existing Elias Brookings Elementary School with a new elementary school facility on a new site, located on Walnut Street.

Attachment: 10 9 12_Brookings_PSB BAL (1916 : Elias Brookings Full Project Order - Second Reading $27,900,000)

Total Project Budget

City of Springfield
Elias Brookings Elementary School

9/26/2012

	Total Project Budget: All costs associated with the project are subject to 963 CMR 2.16(5)	Estimated Budget	Scope Items Excluded from the Estimated Basis of Facilities Grant or Otherwise Ineligible	Estimated Basis of total Facilities Grant[1]	Estimated Total Facilites Grant[1]
	Feasibility Study Agreement				
1	*OPM Feasibility Study*			$0	
2	*A&E Feasibility Study*			$0	
3	*Env. & Site*			$0	
4	*Other*			$0	
5	**Feasibility Study Agreement Subtotal**	**$0**	**$0**	**$0**	**$0**
	Administration				
6	**Legal Fees**			$0	**$0**
	Owner's Project Manager				
7	*Design Development*	$97,420		$97,420	
8	*Construction Contract Documents*	$169,680		$169,680	
9	*Bidding*	$47,818		$47,818	
10	*Construction Contract Administration*	$388,110		$388,110	
11	*Closeout*	$90,628		$90,628	
12	*Extra Services*			$0	
13	*Reimbursable & Other Services*	$75,000		$75,000	
14	*Cost Estimates*	$38,000		$38,000	
15	**Advertising**			$0	
16	**Permitting**			$0	
17	**Owner's Insurance**			$0	
18	**Other Administrative Costs**			$0	
19	**Administration Subtotal**	**$906,656**	**$0**	**$906,656**	**$725,325**
	Architecture and Engineering				
20	**Basic Services**				
21	*Design Development*	$628,500		$628,500	
22	*Construction Contract Documents*	$628,250		$628,250	
23	*Bidding*	$89,750		$89,750	
24	*Construction Contract Administration*	$426,310		$426,310	
25	*Closeout*	$22,440		$22,440	
26	*Other Basic Services*			$0	
27	**Basic Services Subtotal**	**$1,795,250**	**$0**	**$1,795,250**	
	Reimbursable Services				
28	*Construction testing*	$75,000		$75,000	
29	*Printing (over minimum)*	$10,000		$10,000	
30	*Other Reimbursable Costs*	$5,000		$5,000	
31	*Hazardous Materials*			$0	
32	*Geotech & Geo-Env.*	$30,000		$30,000	
33	*Site Survey*			$0	
34	*Wetlands*			$0	
35	*Traffic Studies*	$17,700		$17,700	
36	**Architectural/Engineering Subtotal**	**$1,932,950**	**$0**	**$1,932,950**	**$1,546,360**
	CM & Risk Preconstruction Services				
37	Pre-Construction Services	$90,000		$90,000	**$72,000**
	Site Acquisition				
38	Land/Building Purchase			$0	
39	Appraisal Fees			$0	
40	Recording fees			$0	
41	**Site Acquisition Subtotal**	**$0**	**$0**	**$0**	**$0**
	Construction Costs				
42	SUBSTRUCTURE				
43	Foundations	$962,071			
44	Basement Construction				
45	SHELL				
46	SuperStructure	$1,926,506			
47	Exterior Closure				
48	Exterior Walls	$2,439,081			
49	Exterior Windows	$463,199			

Total Project Budget

City of Springfield
Elias Brookings Elementary School

9/26/2012

	Total Project Budget: All costs associated with the project are subject to 963 CMR 2.16(5)	Estimated Budget	Scope Items Excluded from the Estimated Basis of Facilities Grant or Otherwise Ineligible	Estimated Basis of total Facilities Grant[1]	Estimated Total Facilites Grant[1]
50	Exterior Doors	$39,350			
51	Roofing	$734,160			
52	INTERIORS				
53	Interior Construction	$1,824,935			
54	Staircases	$165,500			
55	Interior Finishes	$1,213,457			
56	SERVICES				
57	Conveying Systems	$125,000			
58	Plumbing	$547,123			
59	HVAC	$2,025,376			
60	Fire Protection	$244,475			
61	Electrical	$2,312,537			
62	EQUIPMENT & FURNISHINGS				
63	Equipment	$351,904			
64	Furnishings				
65	SPECIAL CONSTRUCTION & DEMOLITION				
66	Special Construction	$0			
67	Existing Building Demolition	$0			
68	In-Bldg Hazardous Material Abatement	$0			
69	Asbestos Cont'g Floor Mat'l Abatement	$0			
70	Other Hazardous Material Abatement	$0			
71	BUILDING SITEWORK				
72	Site Preparation	$399,530			
73	Site Improvements	$824,085			
74	Site Civil/ Mechanical Utilities	$466,531			
75	Site Electrical Utilities	$140,000			
76	Other Site Construction				
77	Scope Excluded Site Cost		$600,172		
	Construction Trades Subtotal	$17,204,820	$600,172		
78	Contingencies (Design and Pricing)	$1,615,168	$56,343		
79	D/B/B Sub-Contractor Bonds	$402,811	$14,052		
80	D/B/B Insurance		$0		
81	D/B/B General Conditions	$1,630,373	$56,874		
82	D/B/B Overhead & Profit		$0		
83	GMP Insurance		$0		
84	GMP Fee	$338,965	$11,824		
85	GMP Contingency	$394,913	$13,776		
86	Escalation to Mid-Point of Construction	$1,146,344	$39,989		
87	Overall Excluded Construction Cost		$4,216,875		
88	**Construction Budget**	**$22,733,394**	**$5,009,905**	**$17,723,489**	**$14,178,791**
89	**Alternates**				
				$0	
90				$0	
91				$0	
92	**Alternates Subtotal**	**$0**	**$0**	**$0**	**$0**
93	Miscellaneous Project Costs				
	Utility company Fees	$80,000		$80,000	
94	*Testing Services*			$0	
95	*Swing Space/Modulars*			$0	
96	*Other Project Costs (Mailing & Moving)*	$10,000	$10,000	$0	
97	**Misc. Project Costs Subtotal**	**$90,000**	**$10,000**	**$80,000**	**$64,000**
98	*Furnishings and Equipment*				
	Furnishings	$384,000		$384,000	
99	*Equipment*	$384,000		$384,000	
100	*Computer Equipment*			$0	
101	**FF&E Subtotal**	**$768,000**	**$0**	**$768,000**	**$614,400**
102					

Attachment: Springfield Brookings PSB Total Project Budget (1916 : Elias Brookings Full Project Order - Second Reading $27,900,000)

Total Project Budget

City of Springfield
Elias Brookings Elementary School

9/26/2012

	Total Project Budget: All costs associated with the project are subject to 963 CMR 2.16(5)	Estimated Budget	Scope Items Excluded from the Estimated Basis of Facilities Grant or Otherwise Ineligible	Estimated Basis of total Facilities Grant[1]	Estimated Total Facilites Grant[1]
	Soft Costs that exceed 20% of Const'n Cost				
103	**Project Budget**	**$26,521,000**	**$5,019,905**	**$21,501,095**	**$17,200,876**

104

	Board Authorization	
105	Design Enrollment	320
106	Total Building Gross Floor Area (GSF)	64,800
107	Project Budget	$26,521,000
108	Scope Items Excluded or Otherwise Ineligible	-$5,019,905
109	Third Party Funding (Ineligible)	-$1,036,585
110	Estimated Basis of Total Facilities Grant[1]	$20,464,510
111	Reimbursement Rate[3, 4]	80.00%
112	Estimated Maximum Total Facilities Grant[1]	$16,371,608

113

	Potentially Eligible Construction Contingency[2]	$1,326,000
114	Potentially Eligible Owner's Contingency[2]	$53,000
115	Total Potentially Eligible Contingency[2]	$1,379,000
116	Reimbursement Rate[3, 4]	80.00%
117	Potential Additional Contingency Grant Funds[2]	$1,103,200
118	Maximum Total Facilities Grant	$17,474,808
119	Total Project Budget	$27,900,000

80.00	Reimbursement Rate Before Incentive Points
2.91	Total Incentive Points[3, 4]
80.00%	MSBA Reimbursement Rate

NOTES

This document was prepared by the MSBA based on a preliminary review of information and estimates provided by the City of Springfield for the Elias Brookings Elementary School project. Based on this preliminary review, certain budget, cost and scope items have been determined to be ineligible for reimbursement, however, this document does not contain a final, exhaustive list of all budget, cost and scope items which may be ineligible for reimbursement by the MSBA. Nor is it intended to be a final determination of which budget, cost and scope items may be eligible for reimbursement by the MSBA. All project budget, cost and scope items shall be subject to review and audit by the Authority, and the Authority shall determine, in its sole discretion whether any such budget, cost and scope items are eligible for reimbursement. The MSBA may determine that certain additional budget, cost and scope items are ineligible for reimbursement.

1 The Estimated Basis of Total Facilities Grant and Estimated Maximum Facilities Grant amounts appearing in the "MSBA Board Approved Budget" column do not include any potentially eligible contingency funds and are subject to review and audit by the MSBA. The Estimated Basis of Total Facilities Grant, Estimated Maximum Facilities Grant, and Maximum Total Facilities Grant amounts appearing in the "Proposed Revised PFA Budget" column have been adjusted to account for construction bids received in accordance with Section 2.2 of the PFA and any budget revision requests submitted and approved by the MSBA as of the Date noted in the Proposed Revised Budget PFA column of the PFA Amendment. These amounts are also subject to further review and audit by the MSBA.

2 - Pursuant to Section 3.20 of the Project Funding Agreement and the applicable policies and guidelines of the Authority, any project costs associated with the reallocation or transfer of funds from either the Owner's contingency or the Construction contingency to other budget line items shall be subject to review by the Authority to determine whether any such costs are eligible for reimbursement by the Authority. All costs are subject to review and audit by the MSBA.

3 - The MSBA has provisionally included two (2) incentive points for energy efficiency, subject to the District meeting certain sustainability requirements for the project. If the District does not meet the requirements for the energy efficiency, the District will not qualify for these incentive points and the MSBA will adjust the reimbursement rate accordingly.

4 - The MSBA has provisionally included one (1) incentive point for the Construction Manager at Risk construction delivery method, subject to the District receiving approval from the Office of the Inspector General to utilize this method. If the District does not receive approval for the Construction Manager at Risk delivery method, the District will not qualify for these incentive points and the MSBA will adjust the reimbursement rate accordingly.

By signing this Total Project Budget, I hereby certify that I have read and understand the form and further certify, to the best of my knowledge and belief, that the information supplied by the District in the table above is true, accurate, and complete.

By:
Title: Chair of School Building Committee

Date: _____

By signing this Total Project Budget, I hereby certify that I have read and understand the form and further certify, to the best of my knowledge and belief, that the information supplied by the District in the table above is true, accurate, and complete.

By:
Title: Chief Executive Officer

Date: _____

By signing this Total Project Budget, I hereby certify that I have read and understand the form and further certify, to the best of my knowledge and belief, that the information supplied by the District in the table above is true, accurate, and complete.

By:
Title: Superintendent of Schools

Date: _____

By signing this Total Project Budget, I hereby certify that I have read and understand the form and further certify, to the best of my knowledge and belief, that the information supplied by the District in the table above is true, accurate, and complete.

By:
Title: Chair of School Committee

Date: _____

Attachment: Springfield Brookings PSB Total Project Budget (1916 : Elias Brookings Full Project Order - Second Reading $27,900,000)

The City of Springfield
Department of Administration and Finance
36 Court Street, Room 312
Springfield, MA 01103

LEE C. ERDMANN
CHIEF ADMINISTRATIVE
AND FINANCIAL OFFICER
(413) 886-5288

APPROPRIATION ORDER

November 5, 2012

Mayor Domenic J. Sarno
City Council President James J. Ferrera III
Members of the City Council
36 Court Street
Springfield, MA 01103

RE: Replacing the Elias Brookings Elementary School
Certification of Chief Administrative and Financial Officer

Dear Mayor Sarno, President Ferrera, and Members of the City Council:

On November 5, 2012, the City Council will consider a second reading of an order to authorize the City Treasurer to file an application with the State to qualify bonds for the purpose of replacing the Elias Brookings Elementary School to 433 Walnut St.

The total project awarded by the Massachusetts School Building Authority (MSBA) is $27,900,000 with federal funds totaling $1,036,585 and the remaining 80% match, totaling approximately $16,371,608 is currently earmarked as a MSBA appropriation. The remaining $11,528,392 will be funded through the City's debt service.

This letter will confirm that in my professional opinion, as the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

This certification is made in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

Please contact me with any questions.

_____
Lee C. Erdmann
Chief Administrative and Financial Officer

Attachment: Cafo Cert - Brookings (1916 : Elias Brookings Full Project Order - Second Reading $27,900,000)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: LeeAnn Pasquini
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1917

Mary Dryden Full Project Order Second Reading $15,247,142 (Mayor Sarno)

ORDERED: that the City of Springfield appropriate the amount of fifteen million two hundred forty-seven thousand one hundred forty-two dollars ($15,247,142) for the purpose of paying costs of remodeling the Mary Dryden Veterans Memorial School located at 190 Surrey Road in Springfield, including the payment of all costs incidental or related thereto (the "Project"), which proposed repair project would materially extend the useful life of the school and preserve an asset that otherwise is capable of supporting the required educational program, and for which the City may be eligible for a grant from the Massachusetts School Building Authority ("MSBA"), said amount to be expended under the direction of the School Building Committee. To meet this appropriation the Treasurer with the approval of the Mayor, is authorized to borrow said amount under M.G.L. Chapter 44, or pursuant to any other enabling authority. The City acknowledges that the MSBA's grant program is a non-entitlement, discretionary program based on need, as determined by the MSBA, and any project costs the City incurs in excess of any grant approved by and received from the MSBA shall be the sole responsibility of the City; provided further that any grant that City may receive from the MSBA for the Project shall not exceed the lesser of (1) eighty percent (80%) of eligible, approved project costs, as determined by the MSBA, or (2) the total maximum grant amount determined by the MSBA; and that the amount of borrowing authorized pursuant to this vote shall be reduced by any grant amount set forth in the Project Funding Agreement that may be executed between the City and the MSBA. The City acknowledges that any amounts received from the Federal Emergency Management Agency, the Massachusetts Emergency Management Agency, or any other third party on account of the Project shall be applied first to reduce MSBA-eligible costs of the Project, and the remaining amount of MSBA-eligible costs of the Project shall be apportioned between the MSBA and the City according to the applicable reimbursement rate applicable to the Project, as determined by the MSBA.

WHEREAS, after reviewing this order, the Chief Administrative and Financial Officer has certified to the Mayor and City Council, that in his professional opinion, after an evaluation of all pertinent financial information reasonably available, the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the transfer, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

FURTHER ORDERED, the City Treasurer is authorized to file an application with The Commonwealth of Massachusetts' Municipal Finance Oversight Board to qualify under Chapter 44A of the General Laws any and all bonds or notes of the City authorized by this vote, and to provide such information and execute such documents as the Municipal Finance Oversight Board of The Commonwealth of Massachusetts may require.

The City of Springfield
Department of Administration and Finance
36 Court Street, Room 312
Springfield, MA 01103

LEE C. ERDMANN
CHIEF ADMINISTRATIVE
AND FINANCIAL OFFICER
(413) 886-5288

APPROPRIATION ORDER

November 5, 2012

Mayor Domenic J. Sarno
City Council President James J. Ferrera III
Members of the City Council
36 Court Street
Springfield, MA 01103

RE: Repairing the Mary Dryden Veterans Memorial School
Certification of Chief Administrative and Financial Officer

Dear Mayor Sarno, President Ferrera, and Members of the City Council:

On November 5, 2012, the City Council will consider a second reading for an order to authorize the City Treasurer to file an application with the State to qualify bonds for the purpose of repairing the Mary Dryden Veterans Memorial School located at 190 Surrey Road.

The total project awarded by the Massachusetts School Building Authority (MSBA) is $14,397,142 with federal funds totaling $3,239,357 and the remaining 80% match, totaling approximately $7,221,300 is currently earmarked as a MSBA appropriation. The remaining or $4,786,485 will be funded through the City's debt service.

This letter will confirm that in my professional opinion, as the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

This certification is made in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

Please contact me with any questions.

_____
Lee C. Erdmann
Chief Administrative and Financial Officer

Attachment: Cafo Cert - Dryden (1917 : Mary Dryden Full Project Order Second Reading $15,247,142)

Total Project Budget

City of Springfield
Mary A. Dryden Memorial School

5/30/2012

	Total Project Budget: All costs associated with the project are subject to 963 CMR 2.16(5)	Estimated Budget	Scope Items Excluded from the Estimated Basis of Facilities Grant or Otherwise Ineligible	Estimated Basis of total Facilities Grant[1]	Estimated Total Facilites Grant[1]
	Feasibility Study Agreement				
1	*OPM Feasibility Study*			$0	
2	*A&E Feasibility Study*			$0	
3	*Env. & Site*			$0	
4	*Other*			$0	
5	**Feasibility Study Agreement Subtotal**	**$0**	**$0**	**$0**	**$0**
	Administration				
6	**Legal Fees**			$0	**$0**
	Owner's Project Manager				
7	*Design Development*	$15,300		$15,300	
8	*Construction Contract Documents*	$36,719		$36,719	
9	*Bidding*	$15,300		$15,300	
10	*Construction Contract Administration*	$362,025	$122,268	$239,757	
11	*Closeout*	$48,656		$48,656	
12	*Extra Services*			$0	
13	*Reimbursable & Other Services*	$19,500		$19,500	
14	*Cost Estimates*	$25,000		$25,000	
15	**Advertising**			$0	
16	**Permitting**			$0	
17	**Owner's Insurance**			$0	
18	**Other Administrative Costs**			$0	
19	**Administration Subtotal**	**$522,500**	**$122,268**	**$400,232**	**$320,186**
	Architecture and Engineering				
20	**Basic Services**				
21	*Design Development*	$307,000		$307,000	
22	*Construction Contract Documents*	$317,875		$317,875	
23	*Bidding*	$37,000		$37,000	
24	*Construction Contract Administration*	$180,000		$180,000	
25	*Closeout*	$9,000		$9,000	
26	*Other Basic Services*			$0	
27	**Basic Services Subtotal**	**$850,875**	**$0**	**$850,875**	
	Reimbursable Services				
28	*Construction testing*	$40,000		$40,000	
29	*Printing (over minimum)*	$10,000		$10,000	
30	*Other Reimbursable Costs*	$15,000		$15,000	
31	*Hazardous Materials*	$45,000		$45,000	
32	*Geotech & Geo-Env.*	$15,000		$15,000	
33	*Site Survey*	$7,500		$7,500	
34	*Wetlands*			$0	
35	*Traffic Studies*			$0	
36	**Architectural/Engineering Subtotal**	**$983,375**	**$0**	**$983,375**	**$786,700**
	CM & Risk Preconstruction Services				
37	Pre-Construction Services	$75,000		$75,000	**$60,000**
	Site Acquisition				
38	Land/Building Purchase			$0	
39	Appraisal Fees			$0	
40	Recording fees			$0	
41	**Site Acquisition Subtotal**	**$0**	**$0**	**$0**	**$0**
	Construction Costs				
42	SUBSTRUCTURE				
43	Foundations	$296,876			
44	Basement Construction				
45	SHELL				
46	SuperStructure	$464,304			
47	Exterior Closure				
48	Exterior Walls	$500,538			
49	Exterior Windows	$334,898			
50	Exterior Doors	$32,000			
51	Roofing	$186,727			
52	INTERIORS				
53	Interior Construction	$746,290			
54	Staircases	$10,000			

MSBA considers Construction Monitoring / Documentation part of basic services.

OPM services above 3.5% of construction cost are ineligible.

Soft Cost Reimbursement

Est'd Budget	Excluded	Eligible Soft Costs	Category
$522,500	$122,268	$400,232	-Administration
$983,375	$0	$983,375	-A/E Services
Ineligible therefore not included in calculation			-Site Acquisition
$90,000	$50,000	$40,000	-Miscellaneous Proj Costs
$672,000	$0	$672,000	FFE
Not included in this calculation			Owners Contingency
		$2,095,607	Total Eligible Soft Costs

Construction Costs associated with Soft Cost Cap Calculation

Est'd Budget	Construction Costs	Category
$75,000	$75,000	-CM Preconstruction services
$10,720,901	$10,720,901	-Construction Cost
	Not included in this calculation	-Construction Contingency
	$10,795,901	Total Construction Cost
	20%	Soft Cost Allowance
	$2,159,180.20	Reimbursable Soft Cost
	-$63,573.20	Eligible minus Reimbursable

-If Eligible minus Reimbursable is negative OK.
-If Eligible minus Reimbursable is positive enter value into Soft Costs that exceed 20% of Const'n Cost below in the Ineligible column.

Construction Budget $10,720,901

OPM Services		Eligible Fees	% of Const	OPM Value @ 3.50%	Value > 3.5%
Basic Services	$497,500	$375,232	4.64%	$375,232	$0
Extra Services	$25,000		0.23%		
Designer Services				Dsg'r Value @ 10.00%	Value > 10%
Basic Services	$850,875	$850,875	7.94%	$1,072,090	-$221,215
Extra Services	$132,500		1.24%		

Includes the $19,500 listed for Consturction Monitoring/Documentation which is considered part of basic services even if carried by sub consultant.

Total Project Budget

City of Springfield
Mary A. Dryden Memorial School

5/30/2012

	Total Project Budget: All costs associated with the project are subject to 963 CMR 2.16(5)	Estimated Budget	Scope Items Excluded from the Estimated Basis of Facilities Grant or Otherwise Ineligible	Estimated Basis of total Facilities Grant[1]	Estimated Total Facilites Grant[1]
55	Interior Finishes	$920,520			
56	SERVICES				
57	Conveying Systems	$17,500			
58	Plumbing	$537,663			
59	HVAC	$1,227,272			
60	Fire Protection	$191,400			
61	Electrical	$1,357,442			
62	EQUIPMENT & FURNISHINGS				
63	Equipment	$93,770			
64	Furnishings	$300,600			
65	SPECIAL CONSTRUCTION & DEMOLITION				
66	Special Construction				
67	Existing Building Demolition	$373,573			
68	In-Bldg Hazardous Material Abatement	$50,000			
69	Asbestos Cont'g Floor Mat'l Abatement	$65,000	$65,000		
70	Other Hazardous Material Abatement	$35,000			
71	BUILDING SITEWORK				
72	Site Preparation	$210,414			
73	Site Improvements	$571,975			
74	Site Civil/ Mechanical Utilities	$194,100			
75	Site Electrical Utilities	$47,354			
76	Other Site Construction				
77	Scope Excluded Site Cost		$446,419		
	Construction Trades Subtotal	$8,765,216	$511,419		
78	Contingencies (Design and Pricing)	$213,880	$12,479		
79	D/B/B Sub-Contractor Bonds		$0		
80	D/B/B Insurance		$0		
81	D/B/B General Conditions	$1,230,662	$71,805		
82	D/B/B Overhead & Profit		$0		
83	GMP Insurance		$0		
84	GMP Fee	$158,437	$9,244		
85	GMP Contingency	$202,990	$11,844		
86	Escalation to Mid-Point of Construction	$149,716	$8,735		
87	Overall Excluded Construction Cost				
88	**Construction Budget**	**$10,720,901**	**$625,526**	**$10,095,375**	**$8,076,300**
89	**Alternates**				
90					
91					
92				$0	
93	**Alternates Subtotal**	**$0**	**$0**	**$0**	**$0**
	Miscellaneous Project Costs				
94	*Utility company Fees*	$10,000		~~$10,000~~	
95	*Testing Services*	$30,000		$30,000	
96	*Swing Space/Modulars*			$0	
97	*Other Project Costs (Mailing & Moving)*	$50,000	$50,000	$0	
98	**Misc. Project Costs Subtotal**	**$90,000**	**$50,000**	**$40,000**	**$32,000**
	Furnishings and Equipment				
99	*Furnishings*	$336,000		$336,000	
100	*Equipment*	$336,000		$336,000	
101	*Computer Equipment*			$0	
102	**FF&E Subtotal**	**$672,000**	**$0**	**$672,000**	**$537,600**
103	Soft Costs that exceed 20% of Const'n Cost				
104	**Project Budget**	**$13,063,776**	**$797,794**	**$12,265,982**	**$9,812,785**

Abatement of asbestos containing floor material is ineligible for reimbursement

Site Costs above 8% of building cost are ineligible for reimburesement

Mailing & Moving is ineligible for reimbursement

Site Cost Reimbursement = 8.0%

Direct Site Cost	Excluded	Eligible Site Costs	
$1,023,843	$0	$1,023,843	Eligible Site Costs

Direct Bldg Cost

$7,217,800	$577,424	Reimbursable Site Cost
Scope Excluded Site Cost	**$446,419**	Eligible minus Reimbursable

If Eligible minus Reimbursable is negative OK. No ineligible needed
If Eligible minus Reimbursable is positive enter value into Scope Excluded Site Co

Construction Cost Reimbursement

$373,573	Eligible Demo			
$85,000	Eligible Abatement			
$458,573	Total Eligible Demo & Abatement			
$11,190	2.44%	% of Trades	$ 262.12	Total $/sf
$0	0.00%	% of Trades	$ 246.83	Eligible $/sf
$0	0.00%	% of Trades		
$64,385	14.04%	% of Trades		
$0	0.00%	% of Trades		
$0	0.00%	% of Trades		
$8,289	1.81%	% of Trades		
$10,620	2.32%	% of Trades		
$0	1.47%	% of Cumulative sum of Trades and Markups		
$553,057	Marked Up Demo & Abatement			
$10,095,375	**Eligible Construction Cost**			
40,900	**Proposed GSF; Manually enter eligible area if less than total area**			
275	Reimbursable Const Cost **for New Construction** $/sf (subject to change)			
$ 11,247,500	Reimbursable Const Cost			
$553,057	Marked Demo & Abatement			
$ 11,800,557	**Reimbursable Const Cost**			
-$1,705,182	**Eligible Minus Reimbursable**			

If Eligible minus Reimbursable is negative OK. No ineligible entry needed
If Eligible minus Reimbursable is positive enter value into Overall Excluded Construction Cost

FFE Reimbursement

$672,000	Eligible FFE
280	Design Enrollment
$2,400	Reimbursable/student (Subject to change)
$672,000	Reimbursable Cost
$0	**Eligible Minus Reimbursable**

If Eligible minus Reimbursable is negative OK.
If Eligible minus Reimbursable is positive enter value into Scope Excluded FFE Cost

Total Project Budget

City of Springfield
Mary A. Dryden Memorial School

5/30/2012

	Total Project Budget: All costs associated with the project are subject to 963 CMR 2.16(5)	Estimated Budget	Scope Items Excluded from the Estimated Basis of Facilities Grant or Otherwise Ineligible	Estimated Basis of total Facilities Grant[1]	Estimated Total Facilites Grant[1]
105	**Board Authorization**		80.00	Reimbursement Rate Before Incentive Points	
106	Design Enrollment	280	6.74	Total Incentive Points	
107	Total Building Gross Floor Area (GSF)	40,900	80.00%	MSBA Reimbursement Rate	
108	Project Budget	$13,063,776			
109	Scope Items Excluded or Otherwise Ineligible	-$797,794			
110	Third Party Funding (Ineligible)	-$3,239,357			
111	Estimated Basis of Total Facilities Grant[1]	$9,026,625			
112	Reimbursement Rate	80.00%			
113	Estimated Maximum Total Facilities Grant[1]	$7,221,300			
114	Potentially Eligible Construction Contingency[2]	$1,072,090			
115	Potentially Eligible Owner's Contingency[2]	$261,276			
116	Total Potentially Eligible Contingency[2]	$1,333,366			
117	Reimbursement Rate	80.00%			
118	Potential Additional Contingency Grant Funds[2]	$1,066,693			
119	Maximum Total Facilities Grant	$8,287,993			
120	Total Project Budget	$14,397,142			

NOTES
This document was prepared by the MSBA based on a preliminary review of information and estimates provided by the City of Springfield for the Mary A. Dryden Memorial School project. Based on this preliminary review, certain budget, cost and scope items have been determined to be ineligible for reimbursement, however, this document does not contain a final, exhaustive list of all budget, cost and scope items which may be ineligible for reimbursement by the MSBA. Nor is it intended to be a final determination of which budget, cost and scope items may be eligible for reimbursement by the MSBA. All project budget, cost and scope items shall be subject to review and audit by the Authority, and the Authority shall determine, in its sole discretion whether any such budget, cost and scope items are eligible for reimbursement. The MSBA may determine that certain additional budget, cost and scope items are ineligible for reimbursement.

1 - Does not include any potentially eligible contingency funds and is subject to review and audit by the MSBA.

2 - Pursuant to Section 3.20 of the Project Funding Agreement and the applicable policies and guidelines of the Authority, any project costs associated with the reallocation or transfer of funds from either the Owner's contingency or the Construction contingency to other budget line items shall be subject to review by the Authority to determine whether any such costs are eligible for reimbursement by the Authority. All costs are subject to review and audit by the MSBA.

1.91	(0-2) Maintenance
1	(0-1) CM @ Risk
0	(0-6) Newly Formed Regional School District
3.83	(0-5) Major Reconstruction or Reno/Reuse
	31,300 gsf Reno'd or existing to remain
	40,900 gsf Total at conclusion of project
0	(0-1) Overly Zoning 40R and 40S
0	(0-0.5) Overlay Zoning 100 units or 50% of units 1,2, or 3 family structures
0	(0-2) Energy Efficiency - "Green Schools"
0	(5) Model Schools
6.74	Total Incentive Points

Value of Third Party Funding is estimated at 22.5% (75% of 30%) of the Total Project Budget.

By signing this Total Project Budget, I hereby certify that I have read and understand the form and further certify, to the best of my knowledge and belief, that the information supplied by the District in the table above is true, accurate, and complete.

By:
Title: Chair of School Building Committee

Date: _____

By signing this Total Project Budget, I hereby certify that I have read and understand the form and further certify, to the best of my knowledge and belief, that the information supplied by the District in the table above is true, accurate, and complete.

By:
Title: Chief Executive Officer

Date: _____

By signing this Total Project Budget, I hereby certify that I have read and understand the form and further certify, to the best of my knowledge and belief, that the information supplied by the District in the table above is true, accurate, and complete.

By:
Title: Superintendent of Schools

Date: _____

By signing this Total Project Budget, I hereby certify that I have read and understand the form and further certify, to the best of my knowledge and belief, that the information supplied by the District in the table above is true, accurate, and complete.

By:
Title: Chair of School Committee

Date: _____

Q:\June 6, 2012 Board Meeting\Project\Ready for MP\[Springfield Dryden - Total Project Budget jj.xls]PS and B TPB

Board Memo Template

	District's Proposed Total Project Budget	Estimated Basis of Total Facilities Grant
Design Enrollment	280	
Total Gross Square Feet	40,900	
Total Project Budget	$14,397,142	$9,026,625
Project Cost per Square Foot	$352	$221
Total Construction Budget	$10,720,901	$10,095,375
Construction Cost per Square Foot	$262	$247
Reimbursement Rate		80.00%
Estimated Total Facilties Grant		$7,221,300
Potential additional grant funds for eligible owner's and construction contingency expenditures		$1,066,693
Maximum Total Facilities Grant		$8,287,993

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Tracye Whitfield
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1921

Mass in Motion/Comm.Y Transformation Increase- $70,000 - NO MATCH REQUIRED (Mayor Sarno)

WHEREAS, the Department of Health and Human Services ("Department") has been awarded an increase of $70,000 from the Mass Department of Public Health, Bureau of Community Health and Prevention , Mass In Motion for the grant period of July 1, 2012 through Sept. 29, 2013; and

WHEREAS, the Department intends to use the grant funds to improve policy, systems, and environmental conditions that impact the community through the Mass in Motion/Community Transformation Initiative: and

WHEREAS, the Department has accepted the grant funds and requests authorization from the City Council and the Mayor to expend the grant funds listed below for the purposes of such grants; and

WHEREAS, the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, has certified that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the expenditure of the grant funds listed herein, subject to the approval of the Mayor, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, acting pursuant to Mass. Gen. Laws ch. 44, sec. 53A, that the City Council approves the expenditure by the Department of the grant funds listed below for the purposes of such grant, subject to the approval of the Mayor, and the grant funds received shall be deposited with the City Treasurer and held as a separate account and may be expended for the purposes of the grant without further appropriation:

Mass in Motion/Community Transformation - $70,000.00

The Commonwealth of Massachusetts
Executive Office of Health and Human Services
Department of Public Health
250 Washington Street, Boston, MA 02108-4619

DEVAL L. PATRICK
GOVERNOR

TIMOTHY P. MURRAY
LIEUTENANT GOVERNOR

JUDYANN BIGBY, MD
SECRETARY

JOHN AUERBACH
COMMISSIONER

September 4, 2012

Maureen Morrissey
City of Springfield
95 State Street, Suite 201
Springfield, MA 01103-2073

RE: Mass in Motion
Contract# INTF4200P01W20612036

Dear: Ms. Morrissey:

The Massachusetts Department of Public Health, Bureau of Community Health and Prevention, Mass in Motion is pleased to inform you that we are utilizing our option to renew this contract for FY2013/14. The increase will be applied to the current award as follows:

Maximum Obligation for FY2013:	$ 17,500.00
Increase Amount:	$ 70,000.00
New Maximum Obligation for FY2013:	
July 1 2012 – September 29, 2012	$ 17,500.00
September 30- June 30, 2013	$ 52,500.00
New Maximum Obligation for FY2014:	
July 1, 2013 – September 29, 2013	$ 17,500.00
Total Maximum Obligation for all years (FY12-FY14)	$ 117,500.00
Duration:	February 1, 2012 – September 29, 2013
Options to Renew:	No
Change of Scope Required:	Yes
Conditions Attached:	No
Federal Funds:	Yes

Please read any attached conditions and respond within the timeframe specified. Complete and return the enclosed contract to the MDPH Purchase of Service (POS) Office by the date indicated on the face page of the contract package. If you have any questions regarding this award please contact the people indicated below:

Attachment: CommunityTransformMAMOT7000award (1921 : Mass in Motion/Comm.Y Transformation Increase $70,000)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Tracye Whitfield
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1927

Healthcare for Homeless Increase- No Match Required $55,000 (Mayor Sarno)

WHEREAS, the Department of Health and Human Services, Health Services for the Homeless (HSH) has been awarded an increase of $55,000 for the "Health Center Cluster" grant from U.S. Department of Health & Human Services, Health Resources and Services Administration for the budget period 11/01/2012 through 10/31/2015; and

WHEREAS, the City of Springfield, Health & Humans Services Division, Health Services for the Homeless through advocacy, accessibility, and cultural sensitivity provides medical, mental health, dental and case management services to homeless population in the tri-county area of Berkshire, Hampshire and Hampden; and

WHEREAS, the Department intends intend to use the grant funds to provide these services; and

WHEREAS, the Department has accepted the grant funds and requests authorization from the City Council and the Mayor to expend the grant funds listed below for the purposes of such grants; and

WHEREAS, the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, has certified that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the expenditure of the grant funds listed herein, subject to the approval of the Mayor, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, acting pursuant to Mass. Gen. Laws ch. 44, sec. 53A, that the City Council approves the expenditure by the Department of the grant funds listed below for the purposes of such grant, subject to the approval of the Mayor, and the grant funds received shall be deposited with the City Treasurer and held as a separate account and may be expended for the purposes of the grant without further appropriation:

Increase -HSH - $55,000.00

1. DATE ISSUED: 09/21/2012	**2. PROGRAM CFDA:** 93.224	
3. SUPERSEDES AWARD NOTICE dated: 09/12/2012 except that any additions or restrictions previously imposed remain in effect unless specifically rescinded.		
4a. AWARD NO.: 6 H80CS00001-11-03	**4b. GRANT NO.:** H80CS00001	**5. FORMER GRANT NO.:** H66CS00329
6. PROJECT PERIOD: FROM: 11/01/2001 THROUGH: 10/31/2015		
7. BUDGET PERIOD: FROM: 11/01/2011 THROUGH: 10/31/2012		
8. TITLE OF PROJECT (OR PROGRAM): HEALTH CENTER CLUSTER		

U.S. Department of Health and Human Services
HRSA
Health Resources and Services Administration

NOTICE OF AWARD
AUTHORIZATION (Legislation/Regulation)
Public Health Service Act, Title III, Section 330
Public Health Service Act, Section 330, 42 U.S.C. 254b
Affordable Care Act, Section 10503

9. GRANTEE NAME AND ADDRESS:
CITY OF SPRINGFIELD, MASSACHUSETTS
95 STATE STREET
SPRINGFIELD, MA 01103-2073
DUNS NUMBER:
198910523
BHCMIS # 010120

10. DIRECTOR: (PROGRAM DIRECTOR/PRINCIPAL INVESTIGATOR)
HELEN R CAULTON-HARRIS
CITY OF SPRINGFIELD, MASSACHUSETTS
95 State St
Springfield, MA 01103-2073

11.APPROVED BUDGET:(Excludes Direct Assistance)
[ ] Grant Funds Only
[X] Total project costs including grant funds and all other financial participation

a . Salaries and Wages :	$958,341.00
b . Fringe Benefits :	$325,836.00
c . Total Personnel Costs :	$1,284,177.00
d . Consultant Costs :	$0.00
e . Equipment :	$27,927.00
f . Supplies :	$23,535.00
g . Travel :	$9,485.00
h . Construction/Alteration and Renovation :	$0.00
i . Other :	$130,310.00
j . Consortium/Contractual Costs :	$1,897,520.00
k . Trainee Related Expenses :	$0.00
l . Trainee Stipends :	$0.00
m . Trainee Tuition and Fees :	$0.00
n . Trainee Travel :	$0.00
o . TOTAL DIRECT COSTS :	$3,372,954.00
p . INDIRECT COSTS (Rate: % of S&W/TADC) :	$0.00
q . TOTAL APPROVED BUDGET :	$3,372,954.00
i. Less Non-Federal Share:	$2,123,951.00
ii. Federal Share:	$1,249,003.00

12. AWARD COMPUTATION FOR FINANCIAL ASSISTANCE:

a. Authorized Financial Assistance This Period	**$1,249,003.00**
b. Less Unobligated Balance from Prior Budget Periods	
i. Additional Authority	$0.00
ii. Offset	$0.00
c. Unawarded Balance of Current Year's Funds	$0.00
d. Less Cumulative Prior Awards(s) This Budget Period	$1,194,003.00
e. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	**$55,000.00**

13. RECOMMENDED FUTURE SUPPORT: (Subject to the availability of funds and satisfactory progress of project)

YEAR	TOTAL COSTS
12	$1,194,003.00
13	$1,194,003.00
14	$1,194,003.00

14. APPROVED DIRECT ASSISTANCE BUDGET:(In lieu of cash)

a. Amount of Direct Assistance	$0.00
b. Less Unawarded Balance of Current Year's Funds	$0.00
c. Less Cumulative Prior Awards(s) This Budget Period	$0.00
d. AMOUNT OF DIRECT ASSISTANCE THIS ACTION	**$0.00**

15. PROGRAM INCOME SUBJECT TO 45 CFR Part 74.24 OR 45 CFR 92.25 SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:
A=Addition B=Deduction C=Cost Sharing or Matching D=Other **[D]**
Estimated Program Income: $1,237,094.00

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY HRSA, IS ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:
a. The grant program legislation cited above. b. The grant program regulation cited above. c. This award notice including terms and conditions, if any, noted below under REMARKS. d. 45 CFR Part 74 or 45 CFR Part 92 as applicable. In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS: (Other Terms and Conditions Attached **[X]Yes [ ]No)**

Electronically signed by Lisa Ayoub , Grants Management Officer on : 09/21/2012

17. OBJ. CLASS: 41.51	**18. CRS-EIN:** 1046001415A2	**19. FUTURE RECOMMENDED FUNDING:** $0.00

FY-CAN	CFDA	DOCUMENT NO.	AMT. FIN. ASST.	AMT. DIR. ASST.	SUB PROGRAM CODE	SUB ACCOUNT CODE
12 - 398879B	93.527	H80CS00001C0	$55,000.00	$0.00	HCH	N/A

Attachment: hshsincreas55000AWARD (1927 : Healthcare Homeless Increase- No Match Required $55,000)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Tracye Whitfield
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1928

HRSA - No Match Required-$1,194,003 (Mayor Sarno)

WHEREAS, the Department of Health and Human Services ("Department"), Health Services for the Homeless (HSH) has been awarded a "Health Center Cluster" grant from U.S. Department of Health & Human Services, Health Resources and Services Administration for the budget periods 11/01/2012 through 10/31/2013 in the amount of $1,194,003.00; and

WHEREAS, the City of Springfield, Health & Humans Services Division, Health Services for the Homeless through advocacy, accessibility, and cultural sensitivity provides medical, mental health, dental and case management services to homeless population in the tri-county area of Berkshire, Hampshire and Hampden; and

WHEREAS, the Department intend to use the grant funds to provide these services; and

WHEREAS, the Department has accepted the grant funds and requests authorization from the City Council and the Mayor to expend the grant funds listed below for the purposes of such grants; and

WHEREAS, the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, has certified that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the expenditure of the grant funds listed herein, subject to the approval of the Mayor, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, acting pursuant to Mass. Gen. Laws ch. 44, sec. 53A, that the City Council approves the expenditure by the Department of the grant funds listed below for the purposes of such grant, subject to the approval of the Mayor, and the grant funds received shall be deposited with the City Treasurer and held as a separate account and may be expended for the purposes of the grant without further appropriation:

HSH - $1,194,003.00

1. DATE ISSUED: 11/07/2012	2. PROGRAM CFDA: 93.224	
3. SUPERSEDES AWARD NOTICE dated: except that any additions or restrictions previously imposed remain in effect unless specifically rescinded.		
4a. AWARD NO.: 5 H80CS00001-12-00	4b. GRANT NO.: H80CS00001	5. FORMER GRANT NO.: H66CS00329
6. PROJECT PERIOD: FROM: 11/01/2001 THROUGH: 10/31/2015		
7. BUDGET PERIOD: FROM: 11/01/2012 THROUGH: 10/31/2013		

U.S. Department of Health and Human Services
HRSA
Health Resources and Services Administration

NOTICE OF AWARD
AUTHORIZATION (Legislation/Regulation)
Public Health Service Act, Title III, Section 330
Public Health Service Act, Section 330, 42 U.S.C. 254b
Affordable Care Act, Section 10503

8. TITLE OF PROJECT (OR PROGRAM): HEALTH CENTER CLUSTER

9. GRANTEE NAME AND ADDRESS:
CITY OF SPRINGFIELD, MASSACHUSETTS
95 STATE STREET
SPRINGFIELD, MA 01103-2073
DUNS NUMBER:
198910523
BHCMIS # 010120

10. DIRECTOR: (PROGRAM DIRECTOR/PRINCIPAL INVESTIGATOR)
HELEN R CAULTON-HARRIS
CITY OF SPRINGFIELD, MASSACHUSETTS
95 State St
Springfield, MA 01103-2073

11.APPROVED BUDGET:(Excludes Direct Assistance)

[ ] Grant Funds Only

[X] Total project costs including grant funds and all other financial participation

a . Salaries and Wages :	$805,897.00
b . Fringe Benefits :	$274,007.00
c . Total Personnel Costs :	$1,079,904.00
d . Consultant Costs :	$0.00
e . Equipment :	$28,332.00
f . Supplies :	$21,752.00
g . Travel :	$15,000.00
h . Construction/Alteration and Renovation :	$0.00
i . Other :	$78,562.00
j . Consortium/Contractual Costs :	$2,044,606.00
k . Trainee Related Expenses :	$0.00
l . Trainee Stipends :	$0.00
m . Trainee Tuition and Fees :	$0.00
n . Trainee Travel :	$0.00
o . TOTAL DIRECT COSTS :	$3,268,156.00
p . INDIRECT COSTS (Rate: % of S&W/TADC) :	$0.00
q . TOTAL APPROVED BUDGET :	$3,268,156.00
i. Less Non-Federal Share:	$2,074,153.00
ii. Federal Share:	$1,194,003.00

12. AWARD COMPUTATION FOR FINANCIAL ASSISTANCE:

a. Authorized Financial Assistance This Period	**$1,194,003.00**
b. Less Unobligated Balance from Prior Budget Periods	
i. Additional Authority	$0.00
ii. Offset	$0.00
c. Unawarded Balance of Current Year's Funds	$497,501.00
d. Less Cumulative Prior Awards(s) This Budget Period	$0.00
e. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	**$696,502.00**

13. RECOMMENDED FUTURE SUPPORT: (Subject to the availability of funds and satisfactory progress of project)

YEAR	TOTAL COSTS
13	$1,194,003.00
14	$1,194,003.00

14. APPROVED DIRECT ASSISTANCE BUDGET:(In lieu of cash)

a. Amount of Direct Assistance	$0.00
b. Less Unawarded Balance of Current Year's Funds	$0.00
c. Less Cumulative Prior Awards(s) This Budget Period	$0.00
d. AMOUNT OF DIRECT ASSISTANCE THIS ACTION	**$0.00**

15. PROGRAM INCOME SUBJECT TO 45 CFR Part 74.24 OR 45 CFR 92.25 SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

A=Addition B=Deduction C=Cost Sharing or Matching D=Other **[D]**

Estimated Program Income: $978,446.00

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY HRSA, IS ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation cited above. b. The grant program regulation cited above. c. This award notice including terms and conditions, if any, noted below under REMARKS. d. 45 CFR Part 74 or 45 CFR Part 92 as applicable. In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS: (Other Terms and Conditions Attached **[X]Yes [ ]No**)

Electronically signed by Lisa Ayoub , Grants Management Officer on : 11/07/2012

17. OBJ. CLASS: 41.51	18. CRS-EIN: 1046001415A2	19. FUTURE RECOMMENDED FUNDING: $0.00

FY-CAN	CFDA	DOCUMENT NO.	AMT. FIN. ASST.	AMT. DIR. ASST.	SUB PROGRAM CODE	SUB ACCOUNT CODE
13 - 3980879	93.224	H80CS00001C0	$414,943.00	$0.00	HCH	N/A
13 - 398879C	93.527	H80CS00001C0	$281,559.00	$0.00	HCH	N/A

Attachment: HSHFfullaward - HRSA (1928 : HRSA - No Match Required-$1,194,003)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Tracye Whitfield
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1914

Ahec Model Grant - No Match Required- $68,950.00 (Mayor Sarno)

WHEREAS, the Department of Health and Human Services ("Department") has been awarded a grant of $68,950.00 from the Massachusetts Area Health Education Center (Mass AHEC) Network and the University of Massachusetts, Medical School, Shrewsbury, MA for the period of September 1, 2012 through August 31, 2013; and

WHEREAS, the Department intends to use the grant funds to cover the cost to develop and implement strategies to recruit individuals from underrepresented minority populations or from disadvantaged or rural background into the health profession and support such individuals in attaining such career; and

WHEREAS, the Department has accepted the grant funds and requests authorization from the City Council and the Mayor to expend the grant funds listed below for the purposes of such grants; and

WHEREAS, the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, has certified that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the expenditure of the grant funds listed herein, subject to the approval of the Mayor, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, acting pursuant to Mass. Gen. Laws ch. 44, sec. 53A, that the City Council approves the expenditure by the Department of the grant funds listed below for the purposes of such grant, subject to the approval of the Mayor, and the grant funds received shall be deposited with the City Treasurer and held as a separate account and may be expended for the purposes of the grant without further appropriation:

AHEC MODEL - $68,950.00

City of Springfield

DRAFT

Meeting: 11/19/2012
Initiator:
Sponsors: Mayor Domenic J. Sarno
DOC ID:

AHEC MODEL - Grant Acceptance - No Match Required (Mayor Sarno)

WHEREAS, the Department of Health and Human Services ("Department") has been awarded a grant of $68,950.00 from the Massachusetts Area Health Education Center (Mass AHEC) Network and the University of Massachusetts, Medical School, Shrewsbury, MA for the period of September 1, 2012 through August 31, 2013; and

WHEREAS, the Department intends to use the grant funds to cover the cost to develop and implement strategies to recruit individuals from underrepresented minority populations or from disadvantaged or rural background into the health profession and support such individuals in attaining such career; and

WHEREAS, the Department has accepted the grant funds and requests authorization from the City Council and the Mayor to expend the grant funds listed below for the purposes of such grants; and

WHEREAS, the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, has certified that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the expenditure of the grant funds listed herein, subject to the approval of the Mayor, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, acting pursuant to Mass. Gen. Laws ch. 44, sec. 53A, that the City Council approves the expenditure by the Department of the grant funds listed below for the purposes of such grant, subject to the approval of the Mayor, and the grant funds received shall be deposited with the City Treasurer and held as a separate account and may be expended for the purposes of the grant without further appropriation:

AHEC MODEL - $68,950.00

Attachment: AHECMODEL GRANT 68950.00 (1914 : Ahec Model Grant - No Match Required- $68,950.00)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Tracye Whitfield
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1929

MLCHC - No Match Required - $5000 (Mayor Sarno)

WHEREAS, the Department of Health and Human Services (Department), Springfield Health Services for Homeless (Spfld. HSH), has contracted with the Massachusetts League of Community Health Centers (MLCHC) and has received a Grant of $5,000 from the MLCHC for the period August 15, 2012 through June 30, 2013; and

WHEREAS, the mission of the MLCHC funding for this Community Health Center (CHC) project across the Commonwealth is to enhance emergency preparedness, continuity of operations, planning and surveillance reporting ; and

WHEREAS, the Department has accepted the grant funds and requests authorization from the City Council and the Mayor to expend the grant funds listed below for the purposes of such grants; and

WHEREAS, the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, has certified that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the expenditure of the grant funds listed herein, subject to the approval of the Mayor, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, acting pursuant to Mass. Gen. Laws ch. 44, sec. 53A, that the City Council approves the expenditure by the Department of the grant funds listed below for the purposes of such grant, subject to the approval of the Mayor, and the grant funds received shall be deposited with the City Treasurer and held as a separate account and may be expended for the purposes of the grant without further appropriation:

MLCHC - $5,000

Massachusetts League
of Community Health Centers

40 Court Street, 10th Floor Boston, MA 02108 phone: 617-426-2225 fax: 617-426-0097 www.massleague.org

Memorandum of Agreement for Services

This agreement is entered into to specify the terms and conditions under which The Massachusetts League of Community Health Centers (MLCHC) and **Health Services for the Homeless** Community Health Center, (CHC) will participate in a project entitled **Funding to Community Health Centers in Massachusetts for the Purpose of Enhancing Community Health Center Emergency Preparedness.** By entering into this contract your health center will be expected to enhance CHC emergency preparedness, continuity of operations planning and surveillance reporting.

I. SCOPE OF WORK

In return for funding, the CHC will provide all the necessary qualified personnel, equipment, materials and facilities to accomplish the tasks and/or provide the products as described in Attachment A. The President and CEO of MLCHC, by his designee Mary Leary, Program and Policy Analyst, must approve any changes proposed to the scope of work in writing.

II. COMPENSATION

The amount of **$ 5,000** is authorized for the period to begin as soon as practical, planned for August 15, 2012, and services rendered and expenditures completed by June 30, 2013.

Actual payment to the CHC will be made upon returning this signed MOA to MLCHC. Supporting documentation for expenses may be kept at the CHC offices, but must be made available and reported as requested by MLCHC. Undocumented expenses and unused revenue funds will be returned to MLCHC.

Please return this MOA to the attention of:

Tina Wright, Program Manager
Massachusetts League of Community Health Centers
40 Court Street, 10th Floor
Boston, Massachusetts 02108

III. PROGRESS REPORTS

Required reporting by the CHC is outlined under Attachment A: Scope of Work.

IV. APPROVALS

All aspects of the services and/or products covered by this agreement are subject to the approval of the President and CEO, by his designee Mary Leary, Program and Policy Analyst.

Attachment: MALEAUGAWARD5000 (1929 : MLCHC - No Match Required - $5000)

V. HOLD HARMLESS CLAUSE

The CHC will hold MLCHC and its employees harmless from any and all liability of every nature and description arising out of this agreement which the CHC or any third party may have suffered through damage to property or personal injuries by means of negligence of the CHC, its agents or employees.

VI. INTELLECTUAL PROPERTY RIGHTS, PUBLICITY, REPRODUCTION AND USE OF CONTRACT MATERIALS

Upon completion of this agreement, the originals of all finished and unfinished documents, data, studies, reports, manuals, and materials prepared or delivered by the CHC under this agreement become the property of MLCHC. The CHC will not disseminate, reproduce, display or publish any report, information, data, or other materials expressly required or produced in whole or in part under this agreement without the prior written consent of MLCHC. If the CHC prepares, publishes, or distributes any brochure, periodical, or other publication describing activities funded by this agreement, the material will contain a prominently displayed statement to that effect (e.g., "This publication was funded, in whole or in part, via memoranda of agreement between this Community Health Center and the MLCHC. Funding allocated to the MLCHC to be made available to the Health Center was provided via contractual agreement between MDPH and MLCHC.

VII. TERMINATION

Both MLCHC and the CHC may terminate its participation in the project at any time upon a 60 day written notification. If the CHC is not in breach or default of any provision of this agreement, they will retain dollars expended to date with documentation provided to the MLCHC and return uncommitted and unexpended dollars to the MLCHC up to the date of termination. Notice of termination should be delivered to MLCHC at the following address:

James W. Hunt, Jr., President and CEO (or his designee)
Massachusetts League of Community Health Centers
40 Court Street, 10th Floor
Boston, Massachusetts 02108

MLCHC Notice of termination should be delivered to the CHC at the following address:

_____

_____

_____

VIII. CONFIDENTIALITY

The CHC will comply with all applicable federal and state regulations relating to confidentiality and privacy. The CHC agrees to take reasonable steps to insure the physical security of any confidential data under its control. The CHC agrees that it will inform each of its employees having any involvement with personal data or other confidential information of the laws and

Attachment: MALEAUGAWARD5000 (1929 : MLCHC - No Match Required - $5000)

regulations relating to confidentiality. The CHC will use this confidential data only as necessary for the performance of this agreement.

IX. PERSONNEL ASSIGNED

The CHC will assign work described in this agreement only to persons who are well qualified to complete the project.

X. INDEPENDENT CONTRACTOR STATUS

The CHC, and all personnel assigned by the CHC, shall not be considered employees of MLCHC.

XI. NONDISCRIMINATION

The CHC will not discriminate against any person of race, color, creed, national origin, ancestry, age sex, sexual orientation, religion, or physical or mental handicap. The CHC agrees to comply with all applicable federal, state and city statutes, rules and regulations discrimination.

For the MLCHC:

Signature: _____

Name: James W. Hunt, Jr.

Title: President & CEO

Date: 9/14/12

For:

Signature: _____

Name: _____

Title: _____

Date: _____

Attachment: MALEAUGAWARD5000 (1929 : MLCHC - No Match Required - $5000)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Tracye Whitfield
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1930

ORI TAG - No Match Required - 113,207 (Mayor Sarno)

WHEREAS, the Department of Health and Human Services has been awarded a Targeted Assistance Grant/Formula of $113,207 from Massachusetts Executive Office of Health & Human Services, Office of Refugees and Immigrants for the grant period October 1, 2012 through September 30, 2013; and

WHEREAS, the City of Springfield, Ma has been approved for funding to provide direct services under the Massachusetts Refugee Resettlement Program through a subcontract with the Jewish Family Services to provide said services to meet program goals; and

WHEREAS, the Department has accepted the grant funds and requests authorization from the City Council and the Mayor to expend the grant funds listed below for the purposes of such grants; and

WHEREAS, the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, has certified that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the expenditure of the grant funds listed herein, subject to the approval of the Mayor, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, acting pursuant to Mass. Gen. Laws ch. 44, sec. 53A, that the City Council approves the expenditure by the Department of the grant funds listed below for the purposes of such grant, subject to the approval of the Mayor, and the grant funds received shall be deposited with the City Treasurer and held as a separate account and may be expended for the purposes of the grant without further appropriation:

ORI/TAG - $113,207

The Commonwealth of Massachusetts
Executive Office of Health and Human Services
Office for Refugees and Immigrants
600 Washington Street, 4th Floor
Boston, Massachusetts 02111
Tel: (617) 727-7888 Fax: (617) 727-1822 TTY: (617) 727- 8147

DEVAL L. PATRICK
GOVERNOR

TIMOTHY P. MURRAY
LIEUTENANT GOVERNOR

JUDYANN BIGBY, M.D.
SECRETARY

JOSIANE MARTINEZ
EXECUTIVE DIRECTOR

October 16, 2012

Ms. Helen Caulton Harris
Director
City of Springfield
95 State Street
Springfield, MA 01103

Dear Ms. Caulton Harris,

I am pleased to inform you that the City of Springfield has been conditionally approved for funding to provide direct services under Massachusetts' Targeted Assistance Grant/Formula (TAG/F) Program for the contract period FFY 2013 (October 1, 2012 through September 30, 2013). In FFY 2013, the Office of Refugee Resettlement (ORR) is incrementally funding Targeted Assistance Formula (TAG/F) grants with an initial allocation of 58% of the expected annual grant award for the first seven months. The remaining 42% allocation is expected before the end of the seven-month period in April 2013, pending the availability of funding.

Although ORI has received only 58% of its anticipated FFY13 TAG/F allocation to date from ORR, we will contract with the City of Springfield for the full 12-month period from October 1, 2012 through September 30, 2013. We will proceed in FFY13 under the assumption that the remainder of our anticipated allocation will be available to ORI and its TAG/F contractors before the end of the initial 7-month period, and contracts will be amended as soon as additional funds are available, to reflect the full amount of the annual award. For ease of planning and administration, performance goals will be developed based on the ***annual award amount***, and TAG/F contractors should develop Local Plans to reflect services, activities and performance goals for the 12-month contract period.

As outlined below, the total FFY 2013 TAG/F award to the City of Springfield is $113,207 (which includes a sub-contract with Jewish Family Service of Western Massachusetts for $105,961). The first allotment of $62,374 will be available upon the execution of a contract renewal for FFY 2013, and a second allotment of $50,833 is expected via contract amendment before the end of April 2013.

ORI to mail 10/26/12

Attachment: ORITAGAWARD (1930 : ORI TAG - No Match Required - 113,207)

Total FFY13 TAG/F Award	Allocation 1 (58%)	Allocation 2 (42%)	Caseload*
$113,207	$62,374	$50,833	81
Sub-contract with JFSWM: $105,961	$58,382	$47,579	

* *"Caseload" refers to the minimum number of clients required to be newly enrolled during the contract period (including new refugee arrivals, asylees, Cuban/Haitian entrants, secondary migrants, certified trafficking victims, Special Immigrant Visa holders, and others eligible for refugee benefits and services as specified in the MRRP/Wilson/Fish Program Regulations and the applicable ORR State Letters). Clients who are carried over from the FFY12 contract period to the FFY13 contract period are not included in this number, but are expected to be served through this funding allocation.*

Please be aware that funding for programs is contingent upon the availability of federal funds, and your organization's successful completion of all contract documents, including the following:

- A separate line-item budget for each program location for the approved amount. (***Please make sure to review the UFR Codes and select those that most appropriately describe the individual line items included in your budget.)***
- A separate budget narrative for each line-item budget, which provides a full justification for each requested line-item amount.
- A completed Program Staffing Chart, which identifies the program staff who will be assigned to the contract program for each location, the job title for each staff person, and the total number of hours per week and the FTE of each staff person assigned to the program. (Staff positions which are vacant should be so noted, with a projected hire date.)
- Current organizational chart, showing the organizational location of the funded program(s).
- Current resumes for all assigned management and program staff that are new to the contract for FY13 services.

Please complete and submit, by e-mail, all required documents by *Thursday, October 25, 2012*, to Leticia Ripalda at Leticia.ripalda@state.ma.us.

All budgets, program staffing, and other required documents are subject to review and approval by ORI. Once the budgets and program staff are finalized, ORI will forward the completed contract to you for signature by the authorized signatory for your organization.

If you have any technical questions related to filling out the budget form, please contact ORI Contract Specialist Robin Carmona at (617) 988-3462 or robin.carmona@state.ma.us. For all other questions, please contact Leticia Ripalda at (617) 988-3483 or leticia.ripalda@state.ma.us.

Attachment: ORITAGAWARD (1930 : ORI TAG - No Match Required - 113,207)

We look forward to continuing our work with you and your organization to serve refugees in Massachusetts.

Sincerely,

Josiane Martinez,
Executive Director

Enclosure

cc: Paul Garrity, Chief Financial Officer, ORI
Robin Carmona, Contract Specialist, ORI
Diane Randolph, Director of Community Building Unit, ORI
Leticia Ripalda, Program Coordinator, ORI

Attachment: ORITAGAWARD (1930 : ORI TAG - No Match Required - 113,207)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Tracye Whitfield
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1931

PARTNERSHIP II (PFSII) - No Match Required $360,000 (Mayor Sarno)

WHEREAS, the Department of Health and Human Services ("Department") has been awarded a four (4) year grant for the period Dec. 1, 2012 through Sept. 29, 2015 in the amount of $360,000.00 from the Massachusetts Department of Public, Bureau of Substance Abuse Services; and

WHEREAS, the Department intends to use the grant funds to utilize an evidence-based prevention program, policies and practices to reduce prescription drug misuse and abuse in the targeted age group as outline in the Strategic Prevention Framework - Partnership for Success II (SPF-PFSII) and as mandated by the Center of Substance Abuse Prevention (CSAP) at the Substance Abuse and Mental Health Services Administration (SAMHSA); and

WHEREAS, the Department has accepted the grant funds and requests authorization from the City Council and the Mayor to expend the grant funds listed below for the purposes of such grants; and

WHEREAS, the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, has certified that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the expenditure of the grant funds listed herein, subject to the approval of the Mayor, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, acting pursuant to Mass. Gen. Laws ch. 44, sec. 53A, that the City Council approves the expenditure by the Department of the grant funds listed below for the purposes of such grant, subject to the approval of the Mayor, and the grant funds received shall be deposited with the City Treasurer and held as a separate account and may be expended for the purposes of the grant without further appropriation:

Partnership for Success II (PFSII) - $360,000

The Commonwealth of Massachusetts

Executive Office of Health and Human Services
Department of Public Health
250 Washington Street, Boston, MA 02108-4619

DEVAL L. PATRICK
GOVERNOR
TIMOTHY P. MURRAY
LIEUTENANT GOVERNOR
JUDYANN BIGBY, MD
SECRETARY
JOHN AUERBACH
COMMISSIONER

RECEIVED
NOV - 8 2012

November 5, 2012

TO: **City Of Springfield Treasurer** RE: Contract# **INTF2354M03W30816049**

Enclosed please find for your review and signature a Standard Contract package. This package is a result of recent negotiations with the Department of Public Health, as specified in the attached cover letter and includes the items noted below. Please take note of the following:

NEW STANDARD CONTRACT/AMENDMENT/RENEWAL FORM:

Must be signed and dated **(Preferred BLUE INK).** Do not use correction fluid anywhere on the forms. If the provider information that is pre-filled in the upper left hand box is incorrect or missing, please contact me so that I can help you with the process to update. For instructions and hyperlinks, you can view this form at: www.mass.gov/osc under Guidance for Vendors-Forms or at www.mass.gov/osd under OSD Forms.

All attachments MUST be completed for your contract package to be processed.

As of July 1, 2011 the POS Office will no longer be making copies of a completed contract package and returning to your contract manager. The POS Office will continue to send copies of all forms signed by a Department representative. Please make copies of all relevant documents for your files before sending your completed packet to the POS Office.

CONTRACTOR AUTHORIZED SIGNATORY LISTING AND AUTHENTICATION FORM:

An original Contractor Authorized Signatory Listing form must be submitted for each new contract package. Once an original is in the contract file, the provider/vendor can include a copy of the Contract Authorized Signatory Listing (first page only) with each subsequent contract amendment package, unless there is a change to the person who signed the Listing, or a name/s on the Contractor Authorized Signatory Listing changes. The contractor/vendor is responsible for ensuring that both pages are current.

If you have any questions, please contact **Sokonthea Dao** at **617-624-6190** . An original contract package must be completed by **November 19, 2012** and mailed to:

Department of Public Health
Purchase of Service Office
250 Washington Street, 8th Floor
Boston, MA 02108-4619
ATTENTION: **Sokonthea Dao**

11/8/2012

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

The Commonwealth of Massachusetts
Executive Office of Health and Human Services
Department of Public Health
250 Washington Street, Boston, MA 02108-4619

DEVAL L. PATRICK
GOVERNOR

TIMOTHY P. MURRAY
LIEUTENANT GOVERNOR

JUDYANN BIGBY, MD
SECRETARY

LAUREN A. SMITH, MD, MPH
INTERIM COMMISSIONER

November 5, 2012

Helen Caulton-Harris
Springfield Department of Health & Human Services
95 State Street
Springfield, MA 01103

Dear Ms. Caulton-Harris:

This is to inform you that the Massachusetts Department of Public Health, Bureau of Substance Abuse Services has awarded your agency a new contract to provide Partnership for Success services. This contract, INTF2354M03W30816049 is in the amount of $70,000.00 and will be in effect from December 1, 2012 through June 30, 2013. The FY'2014 maximum obligation is $120,000.00 which will be in effect from July 1, 2013 through June 30, 2014. The FY'2015 maximum obligation is $120,000.00 and will be in effect from July 1, 2014 through June 30, 2015. The FY'2016 maximum obligation is $50,000.00 and will be in effect from July 1, 2015 through September 29, 2015.

Any funds designated in the budget that are unspent in any fiscal year will not be available for expenditure in the subsequent fiscal year without a formal contract amendment re-authorizing these funds. The maximum obligation of the contract will automatically be reduced by the amount of the unspent funds from a prior fiscal year and the Department may adjust the encumbrance in the accounting system to reflect the unspent funds for the prior fiscal year.

Please return this contract package as soon as possible. If you have any questions, please contact the Bureau at (617) 624-5146 or the Purchase of Service Office at (617) 624-5800.

Charles A. Whiteman, Director of Administration and Finance
Bureau of Substance Abuse Services

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

COMMONWEALTH OF MASSACHUSETTS ~ STANDARD CONTRACT FORM

This form is jointly issued and published by the Executive Office for Administration and Finance (ANF), the Office of the Comptroller (CTR) and the Operational Services Division (OSD) as the default contract for all Commonwealth Departments when another form is not prescribed by regulation or policy. Any changes to the official printed language of this form shall be void. Additional non-conflicting terms may be added by Attachment. Contractors may not require any additional agreements, engagement letters, contract forms or other additional terms as part of this Contract without prior Department approval. Click on hyperlinks for definitions, instructions and legal requirements that are incorporated by reference into this Contract. An electronic copy of this form is available at www.mass.gov/osc under Guidance For Vendors - Forms or www.mass.gov/osd under OSD Forms.

CONTRACTOR LEGAL NAME: City Of Springfield Treasurer (and d/b/a):	**COMMONWEALTH DEPARTMENT NAME:** Department Of Public Health **MMARS Department Code:** DPH
Legal Address: (W-9, W-4,T&C): 36 Court St, Springfield, MA 01103-1699	**Business Mailing Address:** 250 Washington St., Boston, MA 02108
Contract Manager: Helen Caulton-Harris	**Billing Address** (if different):
E-Mail: hcaulton@springfieldcityhall.com	**Contract Manager:** Sokonthea Dao
Phone: 413-787-6456 **Fax:** 413-787-6458	**E-Mail:** sokonthea.dao@state.ma.us
Contractor Vendor Code: VC6000192140	**Phone:** 617-624-6190 **Fax:** 617-624-5017
Vendor Code Address ID (e.g. "AD001"): AD001. (Note: The Address Id Must be set up for EFT payments.)	**MMARS Doc ID(s):** INTF2354M03W30816049 **RFR/Procurement or Other ID Number:** W30816

X NEW CONTRACT	**__ CONTRACT AMENDMENT**
PROCUREMENT OR EXCEPTION TYPE: (Check one option only) __ Statewide Contract (OSD or an OSD-designated Department) __ Collective Purchase (Attach OSD approval, scope, budget) X Department Procurement (includes State or Federal grants 815 CMR 2.00) (Attach RFR and Response or other procurement supporting documentation) __ Emergency Contract (Attach justification for emergency, scope, budget) __ Contract Employee (Attach Employment Status Form, scope, budget) __ Legislative/Legal or Other: (Attach authorizing language/justification, scope and budget)	Enter **Current Contract End Date *Prior*** to Amendment: ___, 20___. Enter **Amendment Amount:** $ _____. (or "no change") **AMENDMENT TYPE: (Check one option only. Attach details of Amendment changes.)** __ Amendment to Scope or Budget (Attach updated scope and budget) __ Interim Contract (Attach justification for Interim Contract and updated scope/budget) __ Contract Employee (Attach any updates to scope or budget) __ Legislative/Legal or Other: (Attach authorizing language/justification and updated scope and budget)

The following **COMMONWEALTH TERMS AND CONDITIONS (T&C) has been executed, filed with CTR and is incorporated by reference into this Contract.**

__ Commonwealth Terms and Conditions X Commonwealth Terms and Conditions For Human and Social Services

COMPENSATION: (Check ONE option): The Department certifies that payments for authorized performance accepted in accordance with the terms of this Contract will be supported in the state accounting system by sufficient appropriations or other non-appropriated funds, subject to intercept for Commonwealth owed debts under 815 CMR 9.00.

__ Rate Contract (No Maximum Obligation. Attach details of all rates, units, calculations, conditions or terms and any changes if rates or terms are being amended.)

X Maximum Obligation Contract Enter Total Maximum Obligation for total duration of this Contract (or *new* Total if Contract is being amended). $ 360,000.00.

PROMPT PAYMENT DISCOUNTS (PPD): Commonwealth payments are issued through EFT 45 days from invoice receipt. Contractors requesting **accelerated** payments must identify a PPD as follows: Payment issued within 10 days ___% PPD; Payment issued within 15 days ___ % PPD; Payment issued within 20 days ___ % PPD; Payment issued within 30 days ____% PPD. If PPD percentages are left blank, identify reason: ____ agree to standard 45 day cycle X statutory/legal or Ready Payments (G.L. c. 29, § 23A); only initial payment (subsequent payments scheduled to support standard EFT 45 day payment cycle. See Prompt Pay Discounts Policy.)

BRIEF DESCRIPTION OF CONTRACT PERFORMANCE or REASON FOR AMENDMENT: (Enter the Contract title, purpose, fiscal year(s) and a detailed description of the scope of performance or what is being amended for a Contract Amendment. Attach all supporting documentation and justifications.)

Purchased Human and Social Serv. For Clients Non-Med State Prevention Framework Partnership for Success Project funds by waiver to eight cities and towns: Boston, Worcester, New Bedford, Springfield, Fall River, Lynn, Brocton and Quincy.

ANTICIPATED START DATE: (Complete ONE option only) The Department and Contractor certify for this Contract, or Contract Amendment, that Contract obligations:

__ 1. may be incurred as of the Effective Date (latest signature date below) and no obligations have been incurred **prior** to the Effective Date.

X 2. may be incurred as of **12/01, 20 12**, a date **LATER** than the Effective Date below and **no** obligations have been incurred **prior** to the Effective Date.

__ 3. were incurred as of ____, 20___, a date **PRIOR** to the Effective Date below, and the parties agree that payments for any obligations incurred prior to the Effective Date are authorized to be made either as settlement payments or as authorized reimbursement payments, and that the details and circumstances of all obligations under this Contract are attached and incorporated into this Contract. Acceptance of payments forever releases the Commonwealth from further claims related to these obligations.

CONTRACT END DATE: Contract performance shall terminate as of **09/29, 20 15**, with no new obligations being incurred after this date unless the Contract is properly amended, provided that the terms of this Contract and performance expectations and obligations shall survive its termination for the purpose of resolving any claim or dispute, for completing any negotiated terms and warranties, to allow any close out or transition performance, reporting, invoicing or final payments, or during any lapse between amendments.

CERTIFICATIONS: Notwithstanding verbal or other representations by the parties, the **"Effective Date"** of this Contract or Amendment shall be the latest date that this Contract or Amendment has been executed by an authorized signatory of the Contractor, the Department, or a later Contract or Amendment Start Date specified above, subject to any required approvals. The Contractor makes all certifications required under the attached Contractor Certifications (incorporated by reference if not attached hereto) under the pains and penalties of perjury, agrees to provide any required documentation upon request to support compliance, and agrees that all terms governing performance of this Contract and doing business in Massachusetts are attached or incorporated by reference herein according to the following hierarchy of document precedence, the applicable Commonwealth Terms and Conditions, this Standard Contract Form including the Instructions and Contractor Certifications, the Request for Response (RFR) or other solicitation, the Contractor's Response, and additional negotiated terms, provided that additional negotiated terms will take precedence over the relevant terms in the RFR and the Contractor's Response only if made using the process outlined in 801 CMR 21.07, incorporated herein, provided that any amended RFR or Response terms result in best value, lower costs, or a more cost effective Contract.

AUTHORIZING SIGNATURE FOR THE CONTRACTOR: X: _____, Date: _____, (Signature and Date Must Be Handwritten At Time of Signature) Print Name: _____, Print Title: _____,	**AUTHORIZING SIGNATURE FOR THE COMMONWEALTH:** X: _____, Date: _____, (Signature and Date Must Be Handwritten At Time of Signature) Print Name: Sharon Dyer, Print Title: Acting Director, Purchase of Service Office,

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

93.243

FY: 2013 Amendment # (if Applicable): _____ If Federal Funds, CFDA#

PURCHASE OF SERVICE – ATTACHMENT 1: PROGRAM COVER PAGE

PROGRAM INFORMATION

Contractor Name: City Of Springfield Treasurer	Department Name: Massachusetts Department of Public Health
Program Type: Mass Collaborative for Action, Leadership and Learning 2	Document ID #: INTF2354M03W30816049
Program Name: Partnership for Success	UFR Program:
Program Address: 36 Court St	MMARS Program Code: 4940
City/State/Zip: Springfield, MA 01103-1699	Other Reference Information (Information Purposes Only):
Contact Person: Helen Caulton-Harris Telephone: 413-787-6456	Contact Person: Sokonthea Dao Telephone: 617-624-6190

RFR INFORMATION: [ ] Attached [X] RFR Reference # W30816

[ ] Legislative exemption [ ] Emergency [ ] Collective Purchase [ ] Interim [ ] Amendment

SCOPE OF SERVICES: [X] Bidders Response Attached [ ] Description of Services Attached

TOTAL ANTICIPATED CONTRACT DURATION: 12/01/2012 to 09/29/2015

INITIAL DURATION: 12/01/2012 to 09/29/2015

OPTIONS TO RENEW: ******Refer to RFR for options to renew and for years each option*******

FISCAL TERMS

Price is established through: (Check 1, 2, or 3)

[ ] OPTION 1: PRICE AGREEMENT (list price)
$ See Attached
Rate Regulation (if any) _____

[ ] OPTION 2: SUMMARY BUDGET ("T" Lines only)
[ ] Unit Rate
[ ] Cost Reimbursement
[ ] Other _____

[X] OPTION 3: COMPLETED BUDGET
[X] Cost Reimbursement
[ ] Unit Rate
[ ] Other _____

FUNDING SUMMARY

Prior Years		Current Years		Future Years	
FY	Amount	FY	Amount	FY	Amount
		2013	$ 70,000.00	2014	$ 120,000.00
				2015	$ 120,000.00
				2016	$ 50,000.00
Total:		Total:	$ 70,000.00	Total:	$ 290,000.00

Multi Years Total: $ 360,000.00

Current Max Obligation: $_____ Unit Rate: $_____ per _____ # Billable Units: _____

Additional Payment or Price Specifications:

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

Scope of Services

This Attachment Form must be used. Please check the appropriate box when processing a new contract or a contract amendment.

Contract ID #: City of Springfield #INTF2354M03W30816049

Any funds designated in the budget that are unspent in any fiscal year will not be available for expenditure in the subsequent fiscal year without a formal contract amendment re-authorizing these funds. The maximum obligation of the contract will automatically be reduced by the amount of the unspent funds from a prior fiscal year.

☑ **New Contract***

Identify in detail the scope of services in terms of performance for a new contract. Services provided must be in accordance with the budget and the terms and conditions of the federal grant (if applicable).

SEE ATTACHED

☐ **Contract Amendment**

If choosing amendment you must check off one of the three types below and provide explanation

☐ Increase :

Decrease

Include a clear explanation of what services are being reduced as a result of the funding decrease.

☐ Other

Identify the changes to the scope of services supported by the amendment (No change in Max Obligation).

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

Created 7/11/2011/Updated July 22, 2011

* This form will only be included with packages where a procurement exception (waiver) supports the contract.

Strategic Prevention Framework – Partnership for Success II (SPF-PFS II)

Scope of Work and Contract Conditions

Strategic Prevention Framework – Partnership for Success II (SPF-PFS II) sub-recipient communities will use evidence-based prevention programs, policies, and practices to reduce prescription drug misuse and abuse in the targeted age group. To achieve this goal, funded communities will:

1. Develop a prevention and evaluation plan that meets the requirements of the SPF-PFS II funding announcement;
2. Build implementation and evaluation capacity for prescription drug misuse and abuse prevention; and
3. Select and implement strategies and interventions that best address prescription drug misuse and abuse among the target population within their communities

In addition, funded communities will agree to the following conditions:

- The Center for Substance Abuse Prevention (CSAP) at the Substance Abuse and Mental Health Services Administration (SAMHSA) has mandated that all Partnerships for Success II (PFS-II) sub-recipient communities collect **annual** data on their 12-17 year old populations on 30-day prescription drug misuse and abuse. Sub-recipients must agree to collect this information from a representative sample of students in the community. The state cross-site evaluation team will provide guidance about this, and local evaluators working with each sub-recipient will assist with the collection of these data. A copy of the draft survey questions are attached to this document. More information about this appears in the last bullet below.

- **Prior to award**, each sub-recipient community must submit a letter of agreement to collect these data annually. This letter must be signed by the Superintendent of Schools, his/her designee, or the Principal in the middle and high schools that are likely to be targeted by the prevention programs, policies, and practices put in place under this initiative.

- By November 30, 2012, each sub-recipient community will identify a local evaluator from a list provided by BSAS to support their local strategic planning, evaluation, and data collection efforts. The local evaluators will be under sub-contract to the state cross-site **evaluation team.**

- **By November 30, 2012, each sub-recipient community will engage a minimum of five local partners from the following list:**
  - Schools
  - Law Enforcement/Fire/First Responders
  - Health Care Providers
  - Community Groups
  - Others

  These partners will support project planning, implementation and data collection activities.

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

- By January 31, 2013, each sub-recipient community will submit to BSAS a local comprehensive prevention plan using SAMHSA's Strategic Prevention Framework (SPF) and guidance provided by BSAS. This plan will include:

    - A community assessment of the scope and magnitude of prescription drug misuse and abuse among youth (ages 12-17) and young adults (ages 18-25) in the community, associated risk and protective factors, contributing conditions, local policies, and other environmental factors using both local and state-supplied epidemiological data and other data sources.

    - A capacity-building plan that outlines the training, technical assistance, and capacity needs of the sub-recipient community and how these needs will be addressed.

    - A strategic plan, including a logic model, which outlines the goals and objectives of the program, the activities that will be put into place, and the desired outcomes.

        - **Note**: Sub-recipient communities will select from a list of programs, policies, and practices that have been identified by the State's Evidence Based Practices (EBP) Workgroup. Emphasis will be placed on environmental strategies, policy work, and practices that have the potential to impact the largest number of individuals within each identified sub-recipient community.

    - An implementation plan, including a time-line, which describes how the identified programs, policies, and practices will be implemented.

    - An evaluation plan (developed in collaboration with the local evaluator) that describes the evaluation methods and procedures.

Sub-recipient **communities may not implement interventions until BSAS approves their** strategic plan.

- By February 28, 2013, sub-recipient communities will begin implementation of a comprehensive prevention plan that incorporates at least one evidence-based policy or practice to address prescription drug misuse and abuse among the 12-17 year old and 18-25 year old target population within their communities.
- **Data Collection Note.** As described above, as a condition of funding, each sub-recipient community is required to collect and report data annually on 30-day prescription drug misuse and abuse and related variables among a representative sample of 12-17 year old youth using survey questions provided by BSAS and the state-level cross-site evaluation team.

    - The state evaluation team at Social Science Research and Evaluation, Inc. (SSRE) will identify the appropriate data collection methods and sampling strategies (e.g., sampling by school, sampling by classroom, sampling by neighborhood) with each sub-recipient community and their local evaluator. The final design will be based on the proposed strategies to be implemented, as well as the targeted group(s) or area(s).

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

For example, if a specific neighborhood is targeted, priority would be given to collecting data in the schools serving students from that neighborhood

- If the sub-recipient community currently administers a local survey of middle and high school students (many communities do so biannually), they will be required to, (1) add state-supplied prescription drug-related questions to their existing surveys and (2) collect survey data on the prescription drug-related items as well as background variables (age, gender, grade, etc.) in the years between their normal administration of their student survey. BSAS requires that these surveys be administered in **April or May** of each calendar year – the first of which will occur in April or May 2013.

- If the sub-recipient community does not currently administer a local survey, they will be required to collect survey data annually on the state-supplied prescription drug-related items.

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

DO NOT PUT YOUR NAME ON THIS FORM –
THIS IS AN ANONYMOUS SURVEY!

MASSACHUSETTS NONMEDICAL PRESCRIPTION DRUG USE CORE MEASURES

-DRAFT-

DO NOT write your name on this survey. The answers you give will be kept private. No one will know what you write. Answer the questions based on what you really do.

Completing the survey is voluntary. Whether or not you answer the questions will not affect your grade in any class. If you are not comfortable answering a question, just leave it blank.

The questions that ask about your background will be used only to describe the types of students completing this survey. The information will not be used to find out your name. No names will ever be reported.

Make sure to read every question. When you are finished, follow the instructions of the person giving you the survey.

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

INSTRUCTIONS

This is not a test, so there are no right or wrong answers. Work fairly quickly, so that you can finish. If you don't find an answer that fits exactly, use the one that comes closest. If any question does not apply to you, or you are not sure of what it means, just leave it blank. Read the instructions for each question. The questions ask you to "check" a box like this ☑ or this ☑.

1. How old are you? (Check *one* box)
   - 1 ☐ 12 years old or younger
   - 2 ☐ 13 years old
   - 3 ☐ 14 years old
   - 4 ☐ 15 years old
   - 5 ☐ 16 years old
   - 6 ☐ 17 years old
   - 7 ☐ 18 years old or older

2. What is your gender? (Check *one* box)
   - 1 ☐ Female
   - 2 ☐ Male

3. What grade are you in now? (Check *one* box)
   - 1 ☐ 6th
   - 2 ☐ 7th
   - 3 ☐ 8th
   - 4 ☐ 9th
   - 5 ☐ 10th
   - 6 ☐ 11th
   - 7 ☐ 12th
   - 5 ☐ Other (write in) _____

4. Which of the following *best* describes your race/ethnicity? We have left room for you to describe your response if you choose to. (Check *one* box)
   - 1 ☐ American Indian or Alaska Native (write-in): _____
   - 2 ☐ *Asian (write-in):* _____
   - 3 ☐ *Black or African American (write-in):* _____
   - 4 ☐ *Hispanic or Latino (write-in):* _____
   - 5 ☐ *Native Hawaiian or Other Pacific Islander (write-in):* _____
   - 6 ☐ *White (write-in):* _____

The next questions ask about use of medications and prescription drugs.

5. In your lifetime, have you ever taken over-the-counter medication to get high? (Check *one* box)
   - 1 ☐ Yes
   - 2 ☐ No

6. In the past 30 days, have you taken over-the-counter medication to get high? (Check *one* box)
   - 1 ☐ Yes
   - 2 ☐ No

7. In your lifetime, have you ever taken drugs from prescriptions that weren't your own? (Check *one* box)
   - 1 ☐ Yes
   - 2 ☐ No

8. In the past 30 days, have you taken drugs from prescriptions that weren't your own? (Check *one* box)
   - 1 ☐ Yes
   - 2 ☐ No

9. In your lifetime, have you ever taken any of the following <u>without a prescription</u>? (Check *one* box on *each* line)

	Yes	No
a) Narcotics (such as methadone, opium, morphine, and codeine)	1 ☐	2 ☐
b) Ritalin	1 ☐	2 ☐
c) OxyContin	1 ☐	2 ☐
d) Steroids (body building hormones)	1 ☐	2 ☐

10. In the <u>past 30 days</u>, have you taken any of the following <u>without a prescription</u>? (Check *one* box on *each* line)

	Yes	No
a) Narcotics (such as methadone, opium, morphine, and codeine)	1 ☐	2 ☐
b) Ritalin	1 ☐	2 ☐
c) OxyContin	1 ☐	2 ☐
d) Steroids (body building hormones)	1 ☐	2 ☐

11. If you used a prescription medication to get high that was not prescribed for you, how did you get it the last time? (Check *one* box)
   - 01 ☐ I have never used a prescription medication to get high
   - 02 ☐ I got a prescription from just one doctor
   - 03 ☐ I got prescriptions from more than one doctor
   - 04 ☐ I wrote a fake prescription
   - 05 ☐ I stole it from a doctor's office, clinic, hospital, or pharmacy
   - 06 ☐ I got it from a friend or relative for free
   - 07 ☐ I bought it from a friend or relative
   - 08 ☐ I took it from a friend or relative without asking
   - 09 ☐ I bought it from a drug dealer or other stranger
   - 10 ☐ I bought it on the Internet
   - 11 ☐ Some other way

The next questions ask about your attitudes and opinions.

12. What percentage of students <u>at your school</u> do you believe have used prescription drugs to get high without a doctor's prescription in the past 30 days? (Check *one* box)
   - 01 ☐ 0%
   - 02 ☐ 1% to 10%
   - 03 ☐ 11% to 20%
   - 04 ☐ 21% to 30%
   - 05 ☐ 31% to 40 %
   - 06 ☐ 41% to 50%
   - 07 ☐ 51% to 60%
   - 08 ☐ 61% to 70%
   - 09 ☐ 71% to 80%
   - 10 ☐ 81% to 90%
   - 11 ☐ 91% to 100%

13. How much do you think people risk harming themselves if they occasionally use... (Check *one* box on *each* line)

	No Risk	*Slight Risk*	*Moderate Risk*	*Great Risk*
a. Steroids (body building hormones)	1 ☐	2 ☐	3 ☐	4 ☐
b. Ritalin (from a prescription that is not your own)	1 ☐	2 ☐	3 ☐	4 ☐
c. OxyContin (from a prescription that is not your own)	1 ☐	2 ☐	3 ☐	4 ☐
d. Any other prescription drugs (from a prescription that is not your own)	1 ☐	2 ☐	3 ☐	4 ☐

14. How wrong do <u>you</u> feel it would be for someone your age to use prescription medication to get high? (Check *one* box)
   - 1 ☐ Not Wrong At All
   - 2 ☐ A Little Bit Wrong
   - 3 ☐ Wrong
   - 4 ☐ Very Wrong

15. Now think about your parents. How wrong do <u>your parents</u> feel it would be <u>for you</u> to use prescription medication to get high? (Check *one* box)
   - 1 ☐ Not Wrong At All
   - 2 ☐ A Little Bit Wrong
   - 3 ☐ Wrong
   - 4 ☐ Very Wrong

16. Now think about your close friends. How wrong do <u>your close friends</u> feel it would be <u>for you</u> to use prescription medication to get high? (Check *one* box)
   - 1 ☐ Not Wrong At All
   - 2 ☐ A Little Bit Wrong
   - 3 ☐ Wrong
   - 4 ☐ Very Wrong

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

Issued May 2004

COMMONWEALTH OF MASSACHUSETTS
CONTRACTOR AUTHORIZED SIGNATORY LISTING

CONTRACTOR LEGAL NAME :
CONTRACTOR VENDOR/CUSTOMER CODE:

INSTRUCTIONS: Any Contractor (other than a sole-proprietor or an individual contractor) must provide a listing of individuals who are authorized as legal representatives of the Contractor who can sign contracts and other legally binding documents related to the contract on the Contractor's behalf. In addition to this listing, any state department may require additional proof of authority to sign contracts on behalf of the Contractor, or proof of authenticity of signature (a notarized signature that the Department can use to verify that the signature and date that appear on the Contract or other legal document was actually made by the Contractor's authorized signatory, and not by a representative, designee or other individual.)

NOTICE: ***Acceptance of any payment under a Contract or Grant shall operate as a waiver of any defense by the Contractor challenging the existence of a valid Contract due to an alleged lack of actual authority to execute the document by the signatory.***

For privacy purposes **DO NOT ATTACH** any documentation containing personal information, such as bank account numbers, social security numbers, driver's licenses, home addresses, social security cards or any other personally identifiable information that you do not want released as part of a public record. The Commonwealth reserves the right to publish the names and titles of authorized signatories of contractors.

AUTHORIZED SIGNATORY NAME	TITLE

I certify that I am the President, Chief Executive Officer, Chief Fiscal Officer, Corporate Clerk or Legal Counsel for the Contractor and as an authorized officer of the Contractor I certify that the names of the individuals identified on this listing are current as of the date of execution below and that these individuals are authorized to sign contracts and other legally binding documents related to contracts with the Commonwealth of Massachusetts on behalf of the Contractor. I understand and agree that the Contractor has a duty to ensure that this listing is immediately updated and communicated to any state department with which the Contractor does business whenever the authorized signatories above retire, are otherwise terminated from the Contractor's employ, have their responsibilities changed resulting in their no longer being authorized to sign contracts with the Commonwealth or whenever new signatories are designated.

_____ Date:
Signature

Title: Telephone:

Fax: Email:

[Listing can not be accepted without all of this information completed.]
A copy of this listing must be attached to the "record copy" of a contract filed with the department.

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

Issued May 2004

COMMONWEALTH OF MASSACHUSETTS
CONTRACTOR AUTHORIZED SIGNATORY LISTING

CONTRACTOR LEGAL NAME :
CONTRACTOR VENDOR/CUSTOMER CODE:

PROOF OF AUTHENTICATION OF SIGNATURE

It is required that Departments obtain authentication of signature for the signatory who submits the Contractor Authorized Listing.

This Section MUST be completed by the Contractor Authorized Signatory in presence of notary.

Signatory's full legal name (print or type):

Title:

X _____
Signature as it will appear on contract or other document **(Complete only in presence of notary):**

AUTHENTICATED BY NOTARY OR CORPORATE CLERK (PICK ONLY ONE) AS FOLLOWS:

I, _____ (NOTARY) as a notary public certify that I witnessed the signature of the aforementioned signatory above and I verified the individual's identity on this date:

_____, 20 _____.

My commission expires on:

AFFIX NOTARY SEAL

I, _____ (CORPORATE CLERK) certify that I witnessed the signature of the aforementioned signatory above, that I verified the individual's identity and confirm the individual's authority as an authorized signatory for the Contractor on this date:

_____, 20 _____.

AFFIX CORPORATE SEAL

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC HEALTH

FY'13

SUBCONTRACTOR IDENTIFICATION LIST FOR DIRECT CARE SERVICES

Provider/Vendor Name: _____ Vendor VC No.: _____

Program Name: _____ Contract ID: _____

Instructions: Providers/vendors must complete and submit to DPH at the time of initial contract execution AND when subcontract dollars and/or vendors/providers are added or deleted. This form must be signed by the DPH program representative to indicate program approval PRIOR TO the execution of said subcontract(s).

Subcontractors must agree to the Terms and Conditions set forth in the RFR, which is part of this contract. Subcontracts must be in writing, in accordance with Section 9 of the Commonwealth Terms and Conditions or the Commonwealth Terms and Conditions for Human and Social Services. Providers may use the standard subcontract template available through DPH contract managers. All subcontracts must be available for review by authorized agents of the Commonwealth. DPH may require the submission of any subcontract at any time during the contract period.

Line 206

1. **Total Subcontract Dollars*** $ _____

2. **Amount of #1 allocated to identified subcontractors (list below):** $

Subcontractor Name/Vendor Number	Subcontract Amount	Type of Service provided and number of service units, if applicable
TOTAL: (Must = #2 above)		

3. **Amount of #1 not yet allocated to identified subcontractors:** $ _____

Submitted by: _____ **Date:** _____ **Phone:** _____
Provider/Vendor Authorized Signature

Approved by: _____ **Date:** _____ **Phone:** _____
DPH Program Manager

* For contracts using Attachment 3, the Program Budget Form, 2 + 3 must = Line 206 of the form.

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

FY: 13 Contractor Name: Amendment #,if Applicable: If Federal Funds, CFDA #:

PURCHASE OF SERVICE - ATTACHMENT 3: FISCAL YEAR PROGRAM BUDGET

Program Name:	Document ID#:	MMARS Activity Code:	Program Type:	UFR Prog. #:

		Current		Amend. Change		New		COST REIMBURSEMENT ONLY		
		FTE	Amount	FTE	Amount	FTE	Amount	Offset	Source	Reimbursable Cost
	Program Component									
UFR Title #	Direct Care/Program Support Staff/Overtime/ Shift Differential & Relief (Titles 101-141)									
	SUBTOTAL STAFF									
150	Payroll Taxes									
151	Fringe Benefits									
T 100	**Total Direct Care/Program Staff**									
Title	Occupancy									
301	Program Facilities									
* 390	Fac. Oper/Main/Furn									
T 300	**Total Occupancy**									
UFR Title	Other Direct Care/Program Support									
201	Direct Care Consultant									
202	Temporary Help									
203	Clients/Caregivers. Reimb/Stipends									
206	Subcontract Dir.Care									
204	Staff Training									
205	Staff Mileage/Travel									
207	Meals									
208	Contracted Client Trans.									
* 208	Vehicle Expenses									
* 208	Vehicle Depreciation									
209	Incid. Health/Med Care									
211	Client Per. Allowances									
212	Prov. of Material Good									
214	Direct Client Wages									
214	Other Commercial Prod. & Svs.									
* 215	Program Supplies/Mat									
T 200	**Total Other Direct Care/Program**									
Title	Direct Admin Expenses									
216	Program Support									
* 510 (410 & 390)	Other Direct Administrative Expenses									
T 500	**Total Direct Administrative Exp.**									
T	**SUBTOTAL PROGRAM COSTS**									
* 410	**Agency Admin. Support Allocation**	%	$							
T	**PROGRAM TOTAL**									70,000

Commercial Fee, if applicable, for for-profit contractors only (for informational purposes only; not to be included in the price paid by the Commonwealth): %___ $___: N/A for Cost Reimbursement

A. $ _____ Subtotal of offsets which are for non-reimbursable costs.

Non-reimbursable costs must be shown in detail on Attachment 5 when the program is subject to the provisions of Federal OMB Circular A-122 and/or 808 CMR 1.00.

* Contractor's Board approved capitalization level relative to any negotiated expense costs in lines 208, 215, 390 or 410 is $___

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

FY: 114 Contractor Name: Amendment #,if Applicable: If Federal Funds, CFDA #:

PURCHASE OF SERVICE - ATTACHMENT 3: FISCAL YEAR PROGRAM BUDGET

Program Name:	Document ID#:	MMARS Activity Code:	Program Type:	UFR Prog. #:

		Current		Amend. Change		New		COST REIMBURSEMENT ONLY		
		FTE	Amount	FTE	Amount	FTE	Amount	Offset	Source	Reimbursable Cost
	Program Component									
UFR Title #	Direct Care/Program Support Staff/Overtime/ Shift Differential & Relief (Titles 101-141)									
	SUBTOTAL STAFF									
150	Payroll Taxes									
151	Fringe Benefits									
T 100	**Total Direct Care/Program Staff**									
Title	Occupancy									
301	Program Facilities									
* 390	Fac. Oper/Main/Furn									
T 300	**Total Occupancy**									
UFR Title	Other Direct Care/Program Support									
201	Direct Care Consultant									
202	Temporary Help									
203	Clients/Caregivers. Reimb/Stipends									
206	Subcontract Dir.Care									
204	Staff Training									
205	Staff Mileage/Travel									
207	Meals									
208	Contracted Client Trans.									
* 208	Vehicle Expenses									
* 208	Vehicle Depreciation									
209	Incid. Health/Med Care									
211	Client Per. Allowances									
212	Prov. of Material Good									
214	Direct Client Wages									
214	Other Commercial Prod. & Svs.									
* 215	Program Supplies/Mat									
T 200	**Total Other Direct Care/Program**									
Title	Direct Admin Expenses									
216	Program Support									
* 510 (410 & 390)	Other Direct Administrative Expenses									
T 500	**Total Direct Administrative Exp.**									
T	**SUBTOTAL PROGRAM COSTS**									
* 410	**Agency Admin. Support Allocation**	%	$							
T	**PROGRAM TOTAL**									120,000

Commercial Fee, if applicable, for for-profit contractors only (for informational purposes only; not to be included in the price paid by the Commonwealth): %___ $___: N/A for Cost Reimbursement

A. $_____ Subtotal of offsets which are for non-reimbursable costs.

Non-reimbursable costs must be shown in detail on Attachment 5 when the program is subject to the provisions of Federal OMB Circular A-122 and/or 808 CMR 1.00.

* Contractor's Board approved capitalization level relative to any negotiated expense costs in lines 208, 215, 390 or 410 is $___

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

FY: 15 Contractor Name: Amendment #,if Applicable: If Federal Funds, CFDA #:

PURCHASE OF SERVICE - ATTACHMENT 3: FISCAL YEAR PROGRAM BUDGET

Program Name:	Document ID#:	MMARS Activity Code:	Program Type:	UFR Prog. #:

		Current		Amend. Change		New				
		FTE	Amount	FTE	Amount	FTE	Amount	COST REIMBURSEMENT ONLY		
	Program Component							Offset	Source	Reimbursable Cost
UFR Title #	Direct Care/Program Support Staff/Overtime/ Shift Differential & Relief (Titles 101-141)									
	SUBTOTAL STAFF									
150	Payroll Taxes									
151	Fringe Benefits									
T 100	**Total Direct Care/Program Staff**									
Title	Occupancy									
301	Program Facilities									
* 390	Fac. Oper/Main/Furn									
T 300	**Total Occupancy**									
UFR Title	Other Direct Care/Program Support									
201	Direct Care Consultant									
202	Temporary Help									
203	Clients/Caregivers. Reimb/Stipends									
206	Subcontract Dir.Care									
204	Staff Training									
205	Staff Mileage/Travel									
207	Meals									
208	Contracted Client Trans.									
* 208	Vehicle Expenses									
* 208	Vehicle Depreciation									
209	Incid. Health/Med Care									
211	Client Per. Allowances									
212	Prov. of Material Good									
214	Direct Client Wages									
214	Other Commercial Prod. & Svs.									
* 215	Program Supplies/Mat									
T 200	**Total Other Direct Care/Program**									
Title	Direct Admin Expenses									
216	Program Support									
* 510 (410 & 390)	Other Direct Administrative Expenses									
T 500	**Total Direct Administrative Exp.**									
T	**SUBTOTAL PROGRAM COSTS**									
* 410	**Agency Admin. Support Allocation**	%	$							
T	**PROGRAM TOTAL**									120,000

Commercial Fee, if applicable, for for-profit contractors only (for informational purposes only; not to be included in the price paid by the Commonwealth): %___ $___: N/A for Cost Reimbursement

A. $ _____ Subtotal of offsets which are for non-reimbursable costs.

Non-reimbursable costs must be shown in detail on Attachment 5 when the program is subject to the provisions of Federal OMB Circular A-122 and/or 808 CMR 1.00.

* Contractor's Board approved capitalization level relative to any negotiated expense costs in lines 208, 215, 390 or 410 is $___

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

FY: 16 Contractor Name: Amendment #,if Applicable: If Federal Funds, CFDA #:

PURCHASE OF SERVICE - ATTACHMENT 3: FISCAL YEAR PROGRAM BUDGET

Program Name:	Document ID#:	MMARS Activity Code:	Program Type:	UFR Prog. #:

		Current		Amend. Change		New				
		FTE	Amount	FTE	Amount	FTE	Amount	COST REIMBURSEMENT ONLY		
	Program Component							Offset	Source	Reimbursable Cost
UFR Title #	Direct Care/Program Support Staff/Overtime/ Shift Differential & Relief (Titles 101-141)									
	SUBTOTAL STAFF									
150	Payroll Taxes									
151	Fringe Benefits									
T 100	**Total Direct Care/Program Staff**									
Title	Occupancy									
301	Program Facilities									
* 390	Fac. Oper/Main/Furn									
T 300	**Total Occupancy**									
UFR Title	Other Direct Care/Program Support									
201	Direct Care Consultant									
202	Temporary Help									
203	Clients/Caregivers. Reimb/Stipends									
206	Subcontract Dir.Care									
204	Staff Training									
205	Staff Mileage/Travel									
207	Meals									
208	Contracted Client Trans.									
* 208	Vehicle Expenses									
* 208	Vehicle Depreciation									
209	Incid. Health/Med Care									
211	Client Per. Allowances									
212	Prov. of Material Good									
214	Direct Client Wages									
214	Other Commercial Prod. & Svs.									
* 215	Program Supplies/Mat									
T 200	**Total Other Direct Care/Program**									
Title	Direct Admin Expenses									
216	Program Support									
* 510 (410 & 390)	Other Direct Administrative Expenses									
T 500	**Total Direct Administrative Exp.**									
T	**SUBTOTAL PROGRAM COSTS**									
* 410	**Agency Admin. Support Allocation**	%	$							
T	**PROGRAM TOTAL**									50,000

Commercial Fee, if applicable, for for-profit contractors only (for informational purposes only; not to be included in the price paid by the Commonwealth): %___ $___: N/A for Cost Reimbursement

A. $ _____ Subtotal of offsets which are for non-reimbursable costs.

Non-reimbursable costs must be shown in detail on Attachment 5 when the program is subject to the provisions of Federal OMB Circular A-122 and/or 808 CMR 1.00.

* Contractor's Board approved capitalization level relative to any negotiated expense costs in lines 208, 215, 390 or 410 is $___

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

Updated 6/19/07 Sensitivity level - low

OSD
OPERATIONAL SERVICES DIVISION

Supplier Diversity Program (SDP) Plan Form

Contract/RFR Document Number:

Instructions: Completing all parts of this form is mandatory. Please read instructions in the SDP section of the solicitation. **Complete one form for each Supplier Diversity Office (SDO) Certified M/WBE Partner Business.** For a complete list of certified vendors please go to http://www.somwba.state.ma.us/BusinessDirectory/BusinessDirectory.aspx .

Part I Bidder/Contractor Information

Help with Part I

Business Name:

Full Address: number, street, and apt. or suite no., city, state, zip

Contact Name:	**Phone #** ( ) - x	**Email address:**
Check one of the following *if* applicable (informational purposes only) ☐ MBE ☐ WBE ☐ M/WBE ☐ M/W Non-Profit		**Certification Expiration Date If Applicable** (copy of the SDO certification letter must be attached) (informational purposes only):

Part II SDP Partner (Cannot be the same company as the Bidder/Contractor or an affiliate)

Help with Part II

M/WBE Business Name:

Full Address: number, street, and apt. or suite no., city, state, zip

Contact Name:	**Phone #** ( ) - x	**Email address:**
Check one of the following *if* applicable: ☐ MBE ☐ WBE ☐ M/WBE ☐ M/W Non-Profit		**Certification Expiration Date If Applicable** (copy of the SDO certification letter must be attached):

Part III Description of Business Relationship

Help with Part III

Check a minimum of one of these options that best describe the business relationship between Bidder/Contractor and SDP Partner:

- ☐ **Subcontract:** include a copy of the documentation of your intent to subcontract between the Bidder and Subcontractor.
- ☐ **Ancillary:** include a copy of the written agreement, if available, between the Bidder and Ancillary Partner.
- ☐ **Growth & Development:** enclose plan for education, training, sponsorship, mentoring, resource sharing, and/or other initiatives.

Briefly describe the products and/or services the SDP Partner will provide your business:

Part IV Financial Commitment (Informational Purposes Only)

Help with Part IV

Provide information on the committed amount (as a percentage of Bidder/Contractor gross revenue derived from this contract or as an exact dollar figure) to be spent with the certified SDP Partner as part of this relationship.

Annual Amount or Percentage	or separately for each contract year	Year 1 Amount or Percentage	Year 2 Amount or Percentage	Year 3 Amount or Percentage	Year 4 Amount or Percentage	Year 5 Amount or Percentage

Part V Past Performance

Help with Part V

Have you had past relationships/spending with this SDP partner ☐ Yes ☐ No

If yes, please provide total spending in previous two years $.

Sign Here: Print Name ▶ Title ▶

Authorized Signature ▶ _____ Date ▶

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Sensitivity level – high (when filled in) low (when blank)

Form SDP Plan (Rev. May 2011)

OPERATIONAL SERVICES DIVISION

Supplier Diversity Program (SDP) Plan Form Instructions

Part I

Bidder/Contractor Information: Business name, full address, contact name, phone #, email address and your SDO certification status, if you have one, i.e. if you are SDO certified, please put in the expiration date of your certification. Please be aware you will not received additional points based on your certification status. Submit a copy of your SDO certification, if applicable.

Part II

SDP Partner must be a Women Owned (WBE), Minority Owned (MBE) or Minority and Woman Owned (M/WBE) Business Enterprise or Woman Nonprofit (WNP) or Minority Nonprofit (MNP) certified by the Supplier Diversity Office (fka SOWMBA). You must include the partner's business name, full address, contact name, phone #, email address and SDO certification status. You must also submit a copy of the partner's SDO certification. For a complete list of SDO certified vendors please visit their website at www.mass.gov/SDO . Please note that if you are a SDO certified vendor you cannot put yourself as the SDP partner or an affiliate but will be required to partner with another SDO certified business. SDO certified vendors responding to Requests for Response (RFR) are not exempt from this requirement.

Part III

Description of Business Relationship: In this section the prime Bidder/Contractor must provide a description of the business relationship with the SDP Partner. Please refer to the SDP section of the solicitation (RFR) to determine if any of these options are required in your response and to determine how many options you can use for your SDP plan. For example, unless the RFR requires otherwise, you can select Subcontracting and Growth and Development or you can select Ancillary Services and Growth and Development. However, you must select at least one business relationship and provide a description of the services rendered.

1) Subcontracting: submit SDP Plan form, documentation of your intent to subcontract (e.g., DPH Subcontractor Identification List, a partnership agreement) and SDP partner's certification.
2) Ancillary: submit SDP Plan form, a partnership agreement (if available) and SDP partner's certification.
3) Growth and Development: submit SDP Plan form, growth and development plan (please use a separate sheet) and SDP partner's certification.

Definitions and examples of the three components can be found at:
http://www.mass.gov/Eoaf/docs/osd/sdo/sdp/subcontracting.doc

The Supplier Diversity Program offers training on the SDP Plan requirements. The dates of upcoming trainings are located on the SDP website at www.mass.gov/SDP .

Part IV

Financial Commitment: provide the minimum amount you will spend with the SDP partners as a percentage of the gross revenue derived from the contract or an exact dollar amount. If you select the same percentage or dollar amount for each contract year, please input this information in the Annual Amount or Percentage field(s). If the committed amount is different each contract year, input the percentage or dollar amount in the field that corresponds with the appropriate contract year.

Part V Past Performance: Historical spending with the SDP partner. If you have a previous relationship with this partner provide the total for the past two years. This information does not satisfy the SDP Plan comminttment.

Resources available to assist Prime Bidders in finding potential M/WBE partners can be found at:
http://www.mass.gov/Eoaf/docs/osd/sdo/sdp/20guidance.doc

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FREQUENTLY ASKED QUESTIONS

1. **What is the Supplier Diversity Program and how is it related to the Affirmative Market Progam (AMP)?**
   The Supplier Diversity Program (formerly known as Affirmative Market Program) was established in September, 2010 via Executive Order 524 to promote equality in the state contracting market by ensuring full participation of minority and women owned business enterprises (M/WBEs) in all areas of state contracting including construction, design, goods and services. The Executive Order consolidates the State Office of Minority and Women Assistance (SOMWBA) and Affirmative Market Program (AMP) into a new Supplier Diversity Office (SDO) that is part of the Operational Services Division. The essence of the Executive Order continues to provide policies to promote the award of state contracts in a manner that develops and strengthens certified Minority and Women Business Enterprises (M/WBEs), because a diverse business community strengthens the economy and is beneficial to all of the citizens of the state.

2. **Will I be awarded additional points for completing more than one SDP Plan business relationship?**
   No, a properly completed SDP Plan form describing your business relationship with one M/WBE vendor will realize the full value of points (10% of the total points), and at least one properly completed business relationship must be received from a vendor to execute a contract with DPH.

3. **There are no SDO (formerly SOMWBA) certified vendors near me, how can I file an SDP plan?**
   Bidders can access online goods or services with SDO certified vendors, documenting the expense under the ancillary uses plan option. See the SDO Directory of Certified Businesses web site for a directory of SDO certified businesses (http://www.somwba.state.ma.us/BusinessDirectory/BusinessDirectory.aspx).
   Bidders should also consider the growth and development business relationship. Through this relationship, a bidder may choose to mentor, train, assist or share resources with minority and/or women business enterprises.

4. **If I am a SOMWBA-certified vendor, have I met my SDP requirement for this RFR?**
   No. The SDP program has the goal of promoting the growth of minority and/or women business enterprises (M/WBEs), so even SOMWBA-certified vendors are asked to develop plans that will assist other M/WBEs.

5. **I am applying for a contract that will have a value of less than $150,000. Do I have to complete an SDP plan?**
   Yes. When the total value of *solicitation* is greater than $150,000, SDP plans are required for each contract. The $150,000 threshold applies to all contracts issued through this RFR and includes all options to renew. Even if the value of your contract is less than $150,000, the value of all the contracts issued through this RFR may have a value that exceeds $150,000.

6. **I recently filed an SDP plan for another RFR; can I submit a copy for this RFR?**
   No. An original signature must be filed with your plan and the correct RFR number is required on the top of the form.

7. **What are some common mistakes made completing the SDP form?**
   - Failure to sign the form.
   - Neglecting to check the appropriate boxe(s) indicating the reported business relationship.
   - Omitting dollar value for committed expenditures and/or for benchmarks (under either Subcontract or Ancillary Uses of the Business Relationship).
   - Submitting an expired SDO (SOMWBA) certification letter.
   - Bidders documenting the growth and development business relationship option need to have specific deliverables and/or outcomes.

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UFR
UFR PROGRAM COMPONENT AND TITLE DESCRIPTIONS
UNDER 808 CMR 1.00

Commonwealth of Massachusetts | Executive Office for Administration & Finance | Operational Services Division
Fiscal Year 2011
Rev. 2011

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BASIC CONCEPTS

PROGRAM REQUIREMENTS

The terms of the contract program budget govern the selection of the proper program components and titles to be used in the UFR. For example, if the contract program budget indicates that the program is to employ a "Social Worker-LICSW," UFR Title number 124 in category number 1 Direct Care/Program Staff, this position must also be disclosed in the UFR using the same UFR component and title. The program specifications included in the proposal furnished in response to the Request for Proposal (RFP) that was negotiated and incorporated into the contract with the purchasing department must be consistent with the definitions and specifications contained in this document. The UFR title number for a LSW (UFR Title number 126) should be disclosed if a LSW is currently employed in the program rather than the LICSW that was included in the negotiated contract. In most cases it is expected that budgeted and negotiated position should be the same as those disclosed in the UFR.

CREDENTIALS

Direct care/program staff components are defined, in part, in terms of required credentials. It is not relevant to the proper classification of a position that a staff member who currently fills the position possesses a particular credential, unless the RFR or contract requires the credential for that position.

FUNCTION vs. TITLE

Direct care/program staff components are determined by their program function. For example, a licensed physician should be classified as a "Physician" only if the physician provides medical care as outlined in the component definition. If a physician performs the functions of a "Program Director", then that component should be used.

It is the functional definition, not the title, which governs the definition of a particular component and UFR Title. A program's "Residence Director", for example, may be classified as a Program Manager, Program Director, Assistant Program Director, or Supervisor, depending upon the actual functions performed and the scope of responsibility involved. Yet the fact that the titles used in this document coincide with titles customarily used by program staff does not settle the question of proper classification. Again, this document's definitions govern. A particular program position is classified as a "Case Worker/Manager", rather than as a "Counselor", if the required credentials and responsibilities coincide more closely with the definition of "Case Worker".

This document is formatted to establish a hierarchical schedule for the components, e.g. the Program Director would report to the Program Manager, and a Direct Care/ Program Staff I would report to a Direct Care/ Program Staff Supervisor. All direct care or program staff positions which are not specifically defined in this document, such as American Sign Language interpreter, phlebotomist, instructor, resource librarian, medical technician, health education specialist, work procurement specialist, certified occupational therapy assistant, etc., should be classified as "Direct Care/Program Staff I, II or III," as appropriate.

CATEGORY 1: DIRECT CARE / PROGRAM STAFF

Category 1 includes direct care staff/program staff required to provide direct care or deliver other primary program services. (Components 101-151)

Code	Description
101	**Program Function Manager:** An individual who has overall responsibility for the management, oversight and coordination of a programmatic functional area within or across programs as in the case of "Medical Director", "Residence Director", "Clinical Director", "Education Director", etc. (Compensation for individuals whose primary responsibilities are administrative and cut across several programs should be classified under 410 - "Agency and Program Administration and Support" component.)
102	**Program Director:** An individual who has overall responsibility for the daily operation of one or more individual programs.
103	**Assistant Program Director:** An individual, who reports directly to the Program Director, acts for the Program Director in his/her absence and functions as an advisor/assistant to the Program Director.
104	**Supervising Professional:** A credentialed professional (Physician, Psychiatrist, Social Worker, Nurse, etc.) whose primary responsibility is the supervision of fellow credentialed professionals in the daily performance of their programmatic functions. A professional whose duties chiefly entail supervision of nonprofessionals or paraprofessionals should be classified under 133 - Direct Care/ Program Staff Supervisor. Supervisors assigned to this component may also provide incidental direct client care.
105	**Physician:** A Board of Registration in Medicine-licensed or Board-eligible physician (including all medical specialties, e.g., dentist, podiatrist except psychiatry Component 121) with either a MD or DO degree whose primary responsibility is delivery or supervision of health/medical care to program participants.
106	**Physician's Assistant:** An individual registered as a physician's assistant by the Department of Public Health and functioning in that capacity.
107	**Registered Nurse - Master's, Nurse Psychiatric Mental Health Specialist, Nurse Practitioner, and Nurse - Midwife.:** An individual who possesses a Master's degree in nursing and/or is registered by the Board of Registration in Nursing as a registered nurse and is practicing in an expanded role and functioning in any of the above capacities.
108	**Registered Nurse:** An individual who is licensed as a registered nurse by the Board of Registration in Nursing (both BSNs and others), does not possess a Master's degree and is engaged in nursing duties.
109	**Licensed Practical Nurse:** A person licensed as a practical nurse by the Board of Registration in Nursing and engaged in nursing duties.
110	**Pharmacist:** A person licensed by the Board of Registration in Pharmacy and functioning as a pharmacist.
111	**Occupational Therapist:** An individual registered as an occupational therapist by the Board of Registration in Allied Health Professionals and who provides occupational therapy.

112	**Physical Therapist:** A person registered as a physical therapist by the Board of Registration in Allied Health Professionals and who provides physical therapy.
113	**Speech/Language Pathologist, Audiologist:** An individual registered as a Speech/Language Pathologist or as an Audiologist by the Board of Registration in Speech/ Language Pathology and Audiology and who provides speech and hearing therapy.
114	**Dietitian/Nutritionist:** An individual registered as a dietitian by the Commission on Dietetic Registration of the American Dietetic Association and providing nutritional counseling, education, supervision of meal/menu preparation, or an individual with a Bachelor's or Master's degree in nutrition who provides nutritional counseling, education, supervision of meal/menu preparation.
115	**Special Education Teacher:** A teacher certified in special education by the Massachusetts Department of Education and working in that capacity.
116	**Teacher:** A teacher holding teacher certification by the Massachusetts Department of Education in an area other than special education and working in that capacity.
117	**Day Care Director:** An individual certified by the Office for Children as a Day Care Director and functioning in that capacity.
118	**Day Care Lead Teacher:** An individual certified by the Office for Children as a Day Care Lead Teacher and functioning in that capacity.
119	**Day Care Teacher:** An individual certified by the Office for Children as a Day Care Teacher and functioning in that capacity.
120	**Day Care Assistant Teacher/Aide:** An individual certified by the Office for Children as a Day Care Assistant Teacher/Aide and functioning in that capacity.
121	**Psychiatrist:** An individual licensed to practice medicine, certified or eligible for certification by the American Board of Psychiatry and primarily involved in rendering or directing psychiatric care.
122	**Psychologist - Doctorate:** An individual holding a doctoral degree in psychology (including behavioral psychologists and neuropsychologists), or a closely related field, registered as a psychologist by the Board of Registration of Psychologists and primarily engaged in providing diagnostic evaluations, psychological counseling/therapy or development and implementation of behavioral treatment plans.
123	**Clinician (*formerly Psychologist - Master's*):** An individual holding a Master's degree in psychology (including behavioral psychologists) or a closely related field and primarily engaged in providing diagnostic evaluations, psychological counseling or development and implementation of behavioral treatment plans.
124	**Social Worker - LICSW:** An individual registered as a Licensed Independent Clinical Social Worker by the Board of Registration of Social Workers and primarily engaged in providing diagnostic evaluations, psychological counseling/therapy or development and implementation of behavioral treatment plans.
125	**Social Worker - LCSW:** An individual registered as a Licensed Certified Social Worker by the Board of Registration of Social Workers and providing social work services.
126	**Social Worker - LSW:** An individual registered as a Licensed Social Worker by the Board of Registration of Social Workers and providing social work services (including casework/counseling).
127	**Licensed Counselor:** An individual with at least a Master's degree in counseling, or a related discipline, who is licensed by the appropriate Board of Registration and who provides counseling services.
128	**Certified Vocational Rehabilitation Counselor:** An individual who is certified by the Committee on Accreditation of Rehabilitation Facilities and who provides vocational rehabilitation counseling.
129	**Certified Alcoholism Counselor, Certified Drug Abuse Counselor, Certified Alcoholism/Drug Abuse Counselor:** An individual who is registered as either an Alcoholism Counselor, a Drug Abuse Counselor or both by the Massachusetts Board of Substance Abuse Counselor Certification and who provides counseling services for substance abusers.
130	**Counselor:** An individual who provides therapeutic or instructive counseling to program clients/service recipients.
131	**Case Worker/Manager - Master's:** An individual possessing at least a Master's degree in counseling, or a closely related discipline, who provides casework/case management services including service eligibility determination, service plan development, service coordination, resource development, advocacy, etc.
132	**Case Worker/Manager:** An individual who provides casework/case management services, including service eligibility determination, service plan development, service coordination, resource development, advocacy, etc.
133	**Direct Care/Program Staff Supervisor:** A staff member whose primary responsibility is the supervision of nonprofessional or paraprofessional direct care/program staff in the performance of their programmatic functions or whose duties involve significant responsibility for program operations or logistics. A supervisor in this component may also perform direct client care
134	**Direct Care/Program Staff III:** Staff, other than those defined above, requiring a doctoral or Master's degree, specific credentials or licensure, significant experience, or specialized skills, who are responsible for the general daily care of program clients/service recipients or for primary program service delivery. This category may also be used to reflect a bilingually (including American Sign Language) or specialized staff requirements necessary to serve the developmental needs of the client(s) for staff otherwise categorized as Direct Care/Program Staff II.
135	**Direct Care/Program Staff II:** Staff, other than those defined above, requiring a Bachelor's degree, experience or specific skills, which are responsible for the general daily care of program clients/service recipients or for primary program service delivery. This category may also be used to reflect a bilingually (including American Sign Language) or specialized staff requirements based on the developmental needs of the client(s) for staff otherwise categorized as Direct Care/Program Staff I.
136	**Direct Care/Program Staff I:** Staff, other than those defined above, who are responsible for the general daily care of program clients/service recipients or for primary program service delivery. This includes relief employees on payroll.
137	**Program Secretarial, Clerical Staff:** Program secretarial and clerical staff required carrying on direct program clerical activities such as program or client record keeping. Accounting/Billing Staff. Staff assigned not assigned to a program but to duties related to functions of administration and overall direction of the agency are included as part of the Agency and Program Administration & Support component (Component 410).
138	**Program Support, Housekeeping, Maintenance, Janitorial, Groundskeeper, Driver, Cook:** Program housekeeping, maintenance and janitorial staff, ground keepers, drivers or cooks and staff who carry out direct program activities for client health and safety. Staff assigned to administrative facilities and functions is included in the Agency and Program Administration

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	& Support component (Component 410).
139	**Direct Care Overtime Expense:** Overtime payroll expense paid to exempt and nonexempt employees pursuant to discretionary overtime policies of the organizations, the U.S. Fair Labor Standards Act of 1938 and the Commonwealth's Minimum Fair Wage Law of MGL Chapter 151. Overtime payment represents the total amount of pay furnished for the time worked after the overtime threshold has been exceeded. Overtime pay is composed of strait time (regular fulltime pay for the time worked after the threshold has been exceeded) plus additional compensation furnished to an individual after the overtime time threshold has been exceeded (Time and ½ (or greater) for nonexempt employees working in excess of 40 hours per week). Discretionary overtime policies of the organizations may provide exempt employees with overtime using a threshold that may be greater or lesser than required for nonexempt employees.
140	**Shift Differential Salary Expense:** Salary expense incurred for providing on call services and working late night and early morning shifts. For instance, a nurse that is employed in a program who works full-time in the first shift may be paid less than the same type of nurse working full-time in the third shift. The nurse working in the second or third shift is paid the same full-time salary but receives an additional incentive payment or differential payment for working the third shift because working the third shift is a hardship. Similarly, the nurses noted above might receive payments in addition to their full-time salary and any overtime paid if the nurse agrees to be on call on days off in case the nurse's service is needed for an emergency.
141	**Relief Staff Expense:** Payments to an individual to provide direct care services to relieve regular employees of their direct care duties on a temporary basis. Individuals providing temporary direct care services may not be an employee of the Contractor employed to provide the same type of employment services as the relief staff services. This expense is related to individuals not considered to be independent Contractors and/or employees of the organization that are not entitled to receive overtime payments for furnishing direct care services to relieve regular employees of their duties on a temporary basis. Employees are generally entitled to receive overtime payments (not relief payments) if they occupy nonexempt positions and management permits them to work in excess of 40 hours a week to furnish employment services. Individuals not employed by the organization are considered independent Contractors if they were paid more than $600 during the year the services were furnished to the organization. The organization is required to furnish the independent Contractor noted above with an IRS form 1099MISC. See Title 202 for relief staff services furnished on a contracted basis.
150	**Payroll Taxes:** Employer's share of FICA, MUICA, Worker's Compensation Insurance, FUTA (in the case of For-Profit Providers) and other payroll taxes paid by the employer on the direct care/program staff listed in category 1 on the budget.
151	**Fringe Benefits:** Life, health and medical insurance, pension and annuity plan contributions, day care, tuition benefits and all other non-salary/wage benefits received by the direct care/program staff listed in category 1 on the budget as compensation fo their personal services.

CATEGORY 2: OTHER DIRECT CARE/PROGRAM RESOURCES

(Components 201 - 216): Category 2 includes resources, other than direct care staff/program staff, required to carry out direct client care or support the delivery of other primary program services.

201	**Direct Care Program Consultants:** Individuals possessing specialized experience or expertise in matters of individual service plan design, program design, program management or operation and who are engaged to provide technical assistance on matters of appropriate client care, program design, etc.
202	**Temporary Help:** Individuals, in some cases, possessing specialized skills or expertise in client care and treatment, engaged on an "as needed", "on call", "standby" or "specialist" basis, to provide client care or treatment. This component includes contracted relief staff services furnished by individuals or organizations.
203	**Provider Reimbursement/Stipends:** Per diem reimbursement to independent individual care givers (not provider agency employees), such as family day care providers, specialized home care providers or foster families, to compensate them for their personal services and/or to defray all or a portion of the costs associated with client care in their homes.
204	**Staff Training:** Formal instruction to meet professional continuing education requirements, to satisfy program licensure requirements or to enable direct care staff to acquire and maintain acceptable levels of knowledge, skill and proficiency for the routine performance of their assigned functions. (Note that the staff time devoted to training should be included in the calculation of required direct care staff FTEs. Staff tuition/educational benefits paid, as a condition of employment should be included in "Fringe Benefits" Component 151.)
205	**Staff Mileage/Travel:** Direct care staff travel within the normal scope of the staff members' assigned duties. This category includes use of a staff member's own vehicle, as well as public transportation.
206	**Subcontracted Direct Care:** Client care or other program services which are a primary and integral part of the total program but which are furnished to the program, under contract, by a separate program of another provider.
207	**Meals:** Food, cooking materials, and other resources (other than staff compensation) required for the planning, preparation and serving of meals and snacks to clients and, if programmatically necessary, to staff.
208	**Client Transportation:** The resources (other than staff compensation) associated with transportation of clients to, from or among program sites as a routine part of program participation. This component shall include Provider owned vehicles (depreciation and finance charges) or leased vehicles, all associated operating, maintenance, insurance and non-owned auto insurance costs, contracted transportation, etc.
209	**Incidental Health/Medical Care:** The resources (other than staff compensation) associated with providing health/medical care on an as needed or emergency basis (including ambulance services) to clients of a program, which is not primarily intended to address the on-going medical needs of program participants.
210	**Medicine/Pharmacy:** The resources (other than staff compensation) associated with on-site inventory and administration of medically necessary prescription pharmaceuticals, patent medicines and medical supplies.
211	**Client Personal Allowances:** Cash paid to program clients as an incentive to program participation, as part of instruction in money management, to give clients a measure of economic independence, to acquire personal items, or other program purpose. This category includes "indirect" client wages (i.e. "wages" which are not related to the economic value of the client's work product/productivity).
212	**Provision of Material Goods, Services and Benefits:** Resources, other than those defined above, associated with provision of material goods or services - such as prosthetic and adaptive devices, nutrition or day care vouchers - to eligible program

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	clients/recipients.
213	**Data Processing:** Resources (other than staff compensation) associated with the collection, analysis and reporting of data as a program and agency administrative support function, including owned (depreciation and finance charges only) or leased computer hardware and software. These resources should be included in the agency and program administrative support component 410.
214	**Commercial Income Resources:** Resources, other than those defined above, such as consumer wages, benefits and taxes, raw materials, production equipment and consumables, freight and transportation, and marketing associated with the use of client labor in the production or assembly of a product or service as a part of the client's program of vocational training/rehabilitation or sheltered employment.
215	**Program Supplies, Materials and Expendable Items of Equipment and Furnishings:** Program residential, educational, vocational and recreational supplies and materials and expendable items of equipment and furnishings that are not required to be capitalized and are routinely needed for ongoing direct client care or program service delivery.
216	**Program Support:** This component is for direct administrative program support that is associated with a single program(s) and **NOT** allocated across programs as an indirect cost or identified in component title 410 as other professional fees, office equipment depreciation, professional insurance, and working capital interest or in title 390 as leased office equipment and office furnishing used in a program. This component **does not include personnel**; all program personnel must be included in components 101 - 138. Program support is for costs separately identified in a POS program contract budget of Attachment 3 on the line titled Program Support. **These costs are intended to meet the specialized and/or non-recurring needs of the program, which may include maintenance, and accreditation fees.** This component title may not include resources defined as Non-Reimbursable Costs by regulation 808 CMR 1.05 (Effective 2\1\97 808 CMR 1.05), e.g., certain consultant compensation, current expensing of capital budgets, fund-raising etc.
CATEGORY 3: OCCUPANCY	
301	**Program Facilities:** Owned or leased program facilities and grounds (including rent or mortgage interest and building depreciation). This component may not include the costs of principal or amortization, which is non-reimbursable, costs under 808 CMR 1.00.
390	**Facilities Operation, Maintenance, Equipment and Furnishing:** This category includes all resources associated with occupancy; furnishing and maintenance of program facilities, including all utilities (other than telephone), contracted housekeeping, laundry, contracted grounds keeping, routine repair and maintenance, leased office equipment and office furnishings and equipment and routine replacement (depreciation and finance charges only) of capitalized program furnishings and equipment, property and general liability insurance, real estate taxes or payments in lieu of taxes, and all other such resources/expenses. This component does not include the cost of employees on the payroll (see 138 - Program Support Housekeeping, Maintenance, Groundskeeper, Janitorial, Driver, and Cook).
CATEGORY 4: ADMINISTRATIVE SUPPORT	
410	**Agency and Program Administration and Support:** This component is for resources related to administration and support activities that are both directly related to a program (direct costs) and those that are related to the overall direction of the agency. Cost associated with the overall direction of the agency may cross all agency programs and are not directly associated with any one program or a combination of programs but provide indirect benefit to those programs (indirect administration). Costs providing indirect benefit to programs include administrative costs, management and general costs and all resources reasonably necessary for the policy making, management, and administration related to the overall direction of the organization that are separately disclosed in the Statement of Functional Expenses Administration (MNGT. & GEN) column. Indirect administrative costs are also allocated to a program or programs as Admin (M&G) Reporting Center cost on 52E of the Admin (m&g) column of Organization Supplemental Information Schedule A to line 52E of the Program Supplemental Information Schedule B. These indirect Agency Administration costs indirectly benefiting a POS program are included in Attachment 3 of the POS contract budget on the line titled Agency Admin Support Allocation. In addition, this title includes administrative costs directly benefiting a program or programs that are charged to that program or programs as direct costs (ex. program other professional fees, program professional insurance, and program office equipment depreciation and working capital interest). Administrative costs that directly benefit programs are included in Attachment 3 of the POS contract budget on the line titled Other Direct Administrative Costs. Leased office equipment and office furnishings that are used in a program are disclosed in title 390 Facilities Operation, Maintenance, Equipment and Furnishing and included in Attachment 3 of the POS contract budget on the line titled Other Direct Administrative Costs. All other administrative costs that directly benefit a program and meet the specialized needs of the program are contained in title 216 Program Support. Title 216 Program Support costs are included in Attachment 3 of the POS contract budget on the line titled Program Support. Administration and support costs include but are not limited to administrative, clerical and support personnel (use title 137 if clerical and support personnel are assigned to a program), office supplies and materials, leasing or routine replacement (depreciation and financing interest only) of office equipment, telephone, costs related to occupancy of administrative premises, advertising and recruitment, postage, printing and reproduction, administrative and support staff training and travel, officer/director/trustee compensation, parent organization costs, legal, auditing, management consultants and other professional fees, working capital interest, directors and officers insurance, and all other similar or related resources/expenses. The reimbursable price may not include resources defined as Non-Reimbursable Costs by regulation 808 CMR 1.05 (Effective 2\1\97 808 CMR 1.05), e.g., fund-raising or discriminatory benefits. See component title 216 Program Support for related activity.
CATEGORY 5:	
510	Not in Use at DPH (DPH only uses cost reimbursement budgets, line 510 is not appropriate).

Attachment: PARTNERSFORSUCCESS13 (1931 : PARTNERSHIP II (PFSII) - No Match Required $360,000)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Tracye Whitfield
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1932

STOP ACCESS (5034) Increase - No Match Required $80,000 (Mayor Sarno)

WHEREAS, the Department of Health and Human Services has been awarded an increase of $80,000 to Stop Access Grant (5034) from State Department of Public Health, Bureau of Substance Abuse Services for the grant period July 1, 2012 through June 30, 2013; and

WHEREAS, the Department intends to use the grant funds to provide Stop Access prevention services; and

WHEREAS, the Department has accepted the grant funds and requests authorization from the City Council and the Mayor to expend the grant funds listed below for the purposes of such grants; and

WHEREAS, the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, has certified that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the expenditure of the grant funds listed herein, subject to the approval of the Mayor, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, acting pursuant to Mass. Gen. Laws ch. 44, sec. 53A, that the City Council approves the expenditure by the Department of the grant funds listed below for the purposes of such grant, subject to the approval of the Mayor, and the grant funds received shall be deposited with the City Treasurer and held as a separate account and may be expended for the purposes of the grant without further appropriation:

STOPS ACCESS (5034) - Increase: $80,000.00

The Commonwealth of Massachusetts
Executive Office of Health and Human Services
Department of Public Health
250 Washington Street, Boston, MA 02108-4619

DEVAL L. PATRICK
GOVERNOR

TIMOTHY P. MURRAY
LIEUTENANT GOVERNOR

JUDYANN BIGBY, MD
SECRETARY

JOHN AUERBACH
COMMISSIONER

SSP Access 5034

May 16, 2008

Helen R. Caulton-Harris, Director
City of Springfield, Department of Health & Human Services
95 State Street, Room 201
Springfield, MA 01103

Dear Ms. Caulton-Harris:

This is to inform you that the Massachusetts Department of Public Health, Bureau of Substance Abuse Services has awarded the City of Springfield a contract for Substance Abuse & Early Intervention services for the SAPI Coalition.This contract, #INTF2354MM3901115034 is in the amount of $80,000.00 and has a duration of July 1, 2008 through June 30, 2011 with options to be renewed through 2015.

Any funds designated in the budget that are unspent in any fiscal year will not be available for expenditure in the subsequent fiscal year without a formal contract amendment re-authorizing these funds. The maximum obligation of the contract will automatically be reduced by the amount of the unspent funds from a prior fiscal year and the Department may adjust the encumbrance in the accounting system to reflect the unspent funds for the prior fiscal year.

This Department of Public Health contract is subject to the *Commonwealth Terms and Conditions for Human and Social Services* and to the applicable policies and regulations of the Department. Specific programmatic or fiscal conditions may, also, be attached to this contract, as circumstances require.

Please return the enclosed contract package as soon as possible. If you have any questions, contact the Bureau at (617)624-5146 or the Purchase of Service Office at (617)624-5800.

Charles A. Whiteman, Director of Administration and Finance
Bureau of Substance Abuse Services

Attachment: STOPACCESS5034AWARD (1932 : STOP ACCESS (5034) - No Match Required $80,000)

Health and Human Services

Mass.Gov

Contract
» Contract Summary
» Fund Allocations
» Amendments
» Line Item Budgets
» Affiliates
» Request Amendment
» Activities
» Participating Organizations

Contract# INTF2354MM3901115034 - 2013 - CT - City of Springfield

Master Contract Number:	INTF2354MM3901115034		
Fiscal Year:	2013	Contract Type:	COST
MMARS Version Number:	7	EIM Version Number:	7

Activity Code	Description
4941	Community -based AOD prevention activities for youth and communities

2013 Fiscal Year

Original Current Year Maximum Obligation:	$80,000.00	Current Year Maximum Obligation:	$80,000.00
Current Year Amendment Total (Variance):	$0.00		
Unexpended Amount:	$80,000.00	Expended Amount Per Vendor:	$0.00
Hold Amount:	$0.00		
Pending Amount:	$0.00	Deficiency Amount:	$0.00
Last Bill Date:			
Effective From:	07/01/2012	Effective To:	06/30/2013
Accounts Payable End Date:	08/31/2013		
ReadyPay Rate Flag:	☐		
Location Specific SDR Flag:	☐		
* Enrollment Type:	Contract Type Specific	* Status:	Active
*Contract Access End Date:	08/18/2013		

Contacts

Name	Type	Mailing Address	Phone	Fax	Email
Morrissey, Maureen	Prov. Contract Mgr				mmorrissey@springfieldcityh
Marks, Amal	Agency Contract Mgr				amal.marks@state.ma.us
Mui, Lisa	Accounting Contact				lisa.mui@state.ma.us
Marks, Amal	Activity Fiscal Contact				amal.marks@state.ma.us

Save Changes

Attachment: STOPACCESS5034AWARD (1932 : STOP ACCESS (5034) - No Match Required $80,000)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Tracye Whitfield
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1933

STOP ACCESS (5035) - No Match Required $80,000 (Mayor Sarno)

WHEREAS, the Department of Health and Human Services has been awarded an increase of $80,000 to Stop Access Grant (5035) from State Department of Public Health, Bureau of Substance Abuse Services for the grant period July 1, 2012 through June 30, 2013; and

WHEREAS, the Department intends to use the grant funds to provide Stop Access prevention services; and

WHEREAS, the Department has accepted the grant funds and requests authorization from the City Council and the Mayor to expend the grant funds listed below for the purposes of such grants; and

WHEREAS, the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, has certified that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the expenditure of the grant funds listed herein, subject to the approval of the Mayor, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, acting pursuant to Mass. Gen. Laws ch. 44, sec. 53A, that the City Council approves the expenditure by the Department of the grant funds listed below for the purposes of such grant, subject to the approval of the Mayor, and the grant funds received shall be deposited with the City Treasurer and held as a separate account and may be expended for the purposes of the grant without further appropriation:

STOPS ACCESS (5035) - Increase: $80,000.00

The Commonwealth of Massachusetts
Executive Office of Health and Human Services
Department of Public Health
250 Washington Street, Boston, MA 02108-4619

DEVAL L. PATRICK
GOVERNOR

TIMOTHY P. MURRAY
LIEUTENANT GOVERNOR

JUDYANN BIGBY, MD
SECRETARY

JOHN AUERBACH
COMMISSIONER

Not Accepted 6035

May 16, 2008

Helen R. Caulton-Harris, Director
City of Springfield, Department of Health & Human Services
95 State Street, Room 201
Springfield, MA 01103

Dear Ms. Caulton-Harris:

This is to inform you that the Massachusetts Department of Public Health, Bureau of Substance Abuse Services has awarded the City of Springfield a contract for Substance Abuse & Early Intervention services for the SAPI Coalition.This contract, #INTF2354MM3901115035 is in the amount of $80,000.00 and has a duration of July 1, 2008 through June 30, 2011 with options to be renewed through 2015.

Any funds designated in the budget that are unspent in any fiscal year will not be available for expenditure in the subsequent fiscal year without a formal contract amendment re-authorizing these funds. The maximum obligation of the contract will automatically be reduced by the amount of the unspent funds from a prior fiscal year and the Department may adjust the encumbrance in the accounting system to reflect the unspent funds for the prior fiscal year.

This Department of Public Health contract is subject to the *Commonwealth Terms and Conditions for Human and Social Services* and to the applicable policies and regulations of the Department. Specific programmatic or fiscal conditions may, also, be attached to this contract, as circumstances require.

Please return the enclosed contract package as soon as possible. If you have any questions, contact the Bureau at (617)624-5146 or the Purchase of Service Office at (617)624-5800.

Charles A. Whiteman, Director of Administration and Finance
Bureau of Substance Abuse Services

Attachment: STOPACCESS5035AWARD (1933 : STOP ACCESS (5035) - No Match Required $80,000)

Health and Human Services

Mass.Gov

Contract
» Contract Summary
» Fund Allocations
» Amendments
» Line Item Budgets
» Affiliates
» Request Amendment
» Activities
» Participating Organizations

Contract# INTF2354MM3901115035 - 2013 - CT - City of Springfield

Master Contract Number:	INTF2354MM3901115035		
Fiscal Year:	2013	Contract Type:	COST
MMARS Version Number:	10	EIM Version Number:	13

Activity Code	Description
4941	Community -based AOD prevention activities for youth and communities

2013 Fiscal Year

Original Current Year Maximum Obligation:	$80,000.00	Current Year Maximum Obligation:	$80,000.00
Current Year Amendment Total (Variance):	$0.00		
Unexpended Amount:	$80,000.00	Expended Amount Per Vendor:	$0.00
Hold Amount:	$0.00		
Pending Amount:	$0.00	Deficiency Amount:	$0.00
Last Bill Date:			
Effective From:	07/01/2012	Effective To:	06/30/2013
Accounts Payable End Date:	08/31/2013		
ReadyPay Rate Flag:	☐		
Location Specific SDR Flag:	☐		
* Enrollment Type:	Contract Type Specific	* Status:	Active
*Contract Access End Date:	08/18/2013		

Contacts

Name	Type	Mailing Address	Phone	Fax	Email
Morrissey, Maureen	Prov. Contract Mgr				mmorrissey@springfieldcityha
Marks, Amal	Agency Contract Mgr				amal.marks@state.ma.us
Mui, Lisa	Accounting Contact				lisa.mui@state.ma.us
Marks, Amal	Activity Fiscal Contact				amal.marks@state.ma.us

Save Changes

Attachment: STOPACCESS5035AWARD (1933 : STOP ACCESS (5035) - No Match Required $80,000)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Tracye Whitfield
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1919

AHEC CORE - No Match Required $109,000 (Mayor Sarno)

WHEREAS, the Department of Health and Human Services ("Department") contracted with the University of Massachusetts/Worcester and has received a Grant for $109,000 from the Pioneer Valley Health Education Center (AHEC) Network ; and

WHEREAS, the mission of the Mass AHEC Network is to reduce health disparities across the Commonwealth by enhancing skills an increasing the diversity of the healthcare workforce and facilitation of access to culturally an linguistically responsive health care services; and

WHEREAS, the Department has accepted the grant funds and requests authorization from the City Council and the Mayor to expend the grant funds listed below for the purposes of such grants; and

WHEREAS, the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, has certified that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the expenditure of the grant funds listed herein, subject to the approval of the Mayor, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, acting pursuant to Mass. Gen. Laws ch. 44, sec. 53A, that the City Council approves the expenditure by the Department of the grant funds listed below for the purposes of such grant, subject to the approval of the Mayor, and the grant funds received shall be deposited with the City Treasurer and held as a separate account and may be expended for the purposes of the grant without further appropriation:

AHEC CORE - $109,000.00

AHEC Core

University of Massachusetts Medical School

MassAHEC Network
University of Massachusetts Medical School
333 South Street
Shrewsbury, MA 01545-2732 USA
508.856.4305 (office) 508.856.6128 (fax)

October 25, 2012

Helen Caulton Harris
Pioneer Valley AHEC
c/o Department of Health and Human Services
95 State Street
Springfield, MA 01109

Dear Ms. Caulton Harris:

Enclosed is the executed Contract for Services between the University of Massachusetts\Worcester and City of Springfield/Department of Health and Human Services in the amount of $109,000 for the period of July 1, 2012 to June 30, 2013.

The contract number that has been assigned to this contract is **P.O. 0006144535.** Please reference this number on all invoices submitted against this Contract for Services-Long Form.

If you have any questions, please do not hesitate to contact me at 508-856-4305.

Thank you.

Sincerely,

Joanne Dombrowski

Joanne Dombrowski
Project Coordinator

Encl.

rec 11/8/2012

Attachment: AHEC CORE109,000 (1919 : AHEC CORE - No Match Required $109,000)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Tracye Whitfield
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1911

Library of Trust Income - No Match Required $30,332.10 (Mayor Sarno)

WHEREAS, the Library Department ("Department") has been awarded Library Trust Income" of $30,332.10 From the Springfield Library Foundation; and

WHEREAS, the income represents the first quarter payment to the Library of trust income for FY2013;

WHEREAS, the Department intends to use the grant funds to library materials; and

WHEREAS, the Department has accepted the grant and requests authorization from the City Council and the Mayor to expend the grant funds listed below for the purposes of such grants; and

WHEREAS, the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, has certified that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the expenditure of the grant funds listed herein, subject to the approval of the Mayor, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, acting pursuant to Mass. Gen. Laws ch. 44, sec. 53A, that the City Council approves the expenditure by the Department of the grant funds listed below for the purposes of such grant, subject to the approval of the Mayor, and the grant funds received shall be deposited with the City Treasurer and held as a separate account and may be expended for the purposes of the grant without further appropriation:

Library Trust Income $30,332.10

Springfield Library Foundation
220 State Street
Springfield, MA 01103

October 17, 2012
Molly Fogarty, Director
City of Springfield Library Department
220 State Street
Springfield, MA 01103

Dear Lee,

The enclosed check, in the amount of $30,332.10 represents the first Quarter payment to the Library of Trust Income for Fiscal Year 2013.

The amounts from each Trust follow:

Corcoran	**$12,122.43**	87.78 = 12,034.72
Garvey	**1620.05**	87.78 = 1532.35
Moses	**5246.67**	87.78 = 5158.97
Walker	**11,693.76**	87.71 = 11,604.85
-3%	**-350.81**	
Total:	**$30,332.10**	30,332.10

The Board of Directors requests an accounting of the ways in which the Trust income has been used for purchases during Fiscal Year 2012 conforming to the wishes of the above donors.

Sincerely,

Barbara J. Garvey

Barbara J. Garvey, Treasurer

Attachment: library of trust 1st quarter 2013 (1911 : Library of Trust Income - No Match Required $30,332.10)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Tracye Whitfield
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1912

Springfield Library Foundation -$ 8,900.00 Fund Library Materials (Mayor Sarno)

WHEREAS, the Library Department has been awarded an endowment in the amount of $8,900.00 From the Springfield Libraries Foundation ; and

WHEREAS, this endowment will fund library books and material expenses

WHEREAS, the Department intends to use the funds to purchase books and materials

WHEREAS, the Department has accepted the grant and requests authorization from the City Council and the Mayor to expend the grant funds listed below for the purposes of such grants; and

WHEREAS, the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, has certified that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the expenditure of the grant funds listed herein, subject to the approval of the Mayor, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, acting pursuant to Mass. Gen. Laws ch. 44, sec. 53A, that the City Council approves the expenditure by the Department of the grant funds listed below for the purposes of such grant, subject to the approval of the Mayor, and the grant funds received shall be deposited with the City Treasurer and held as a separate account and may be expended for the purposes of the grant without further appropriation:

SPRINGFIELD LIBRARY FOUNDATION - $8,900.00

Springfield Library Foundation
220 State St.
Springfield, MA 01103

October 19, 2012

Molly Fogarty, Director
City of Springfield Library Department
220 State Street
Springfield, MA 01103

Dear Lee,

The Springfield Library Department has been awarded a grant from the Irene E. and George A. Davis Foundation to hire a consultant to study and create a model of sustainable library services for the Department. The Davis grant, in the amount of $10,000 for this purpose, is subject to a contribution from the Springfield Library Foundation in the amount of $16,100. The Springfield Library Foundation agrees to contribute this amount.

Our traditional award of $25,000 to the Library system will be honored again for Fiscal Year 201 This award will be made by sending a check in the amount of $8,900 to the Library (see check enclosed). This check represents the following endowments and the restrictions which apply:

William Tully Fund, medical books	$ 19.98
Edna Shadic Fund, books on gardening/antiques	4,347.36
Effaline King Fund, books on Art	4,532.66
	$8,900.00

Sincerely,

Barbara J. Garvey

Barbara J. Garvey
Treasurer

C: Calcasola, Dempsey

Attachment: springfield library foundation - materials fy13 (1912 : Springfield Library Foundation- $8,900.00 No Match)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: LeeAnn Pasquini
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1920

Veterans - Donation from Big Y (Mayor Sarno)

WHEREAS, the Veterans' Department ("Department") has been awarded a donation of tangible personal property (gift card) of $50.00 from Big Y Foods, Inc. at 2145 Roosevelt Avenue in Springfield, Massachusetts; and

WHEREAS, the Department intends to use the donation funds in celebration of the Veteran's Day holiday with coffee and pastries for Veterans in the office this Friday November 9, 2012; and

WHEREAS, the Department has accepted the donation and requests authorization from the City Council and the Mayor to expend the donation funds listed below for the purposes of such donation; and

WHEREAS, the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, has certified that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the expenditure of the donation funds listed herein, subject to the approval of the Mayor, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, acting pursuant to Mass. Gen. Laws ch. 44, sec 53A and sec 53A ½ , that the City Council approves the expenditure by the Department of the donation funds listed below for the purposes of such donation, subject to the approval of the Mayor, and the donation funds received may be expended for the purposes of the donation without further appropriation:

Big Y Foods, Inc.
2145 Roosevelt Ave.
Springfield, MA 01104
$50.00

Big Y Foods, Inc.
2145 Roosevelt Ave., P.O. Box 7840
Springfield, MA 01104-7840
(413) 784-0600

Dear Big Y Patron,

Thank you for reaching out to Big Y, for your fund raising and donation needs.

We are proud to send you this gift card, as a token of our appreciation to you, our customer.

Big Y receives up to 200 requests per day. We have every group imaginable, from Girl Scouts, Boy Scouts, Elks, Moose, Lions, military, schools, hospitals, Rotary Clubs, to private individuals in desperate situations. We aid the Red Cross, Military and Hospice. We were in the forefront of the disaster relief during the hurricane, tornado and serious weather conditions that attacked our region last year.

We try to honor all requests. The amounts vary according to the needs.

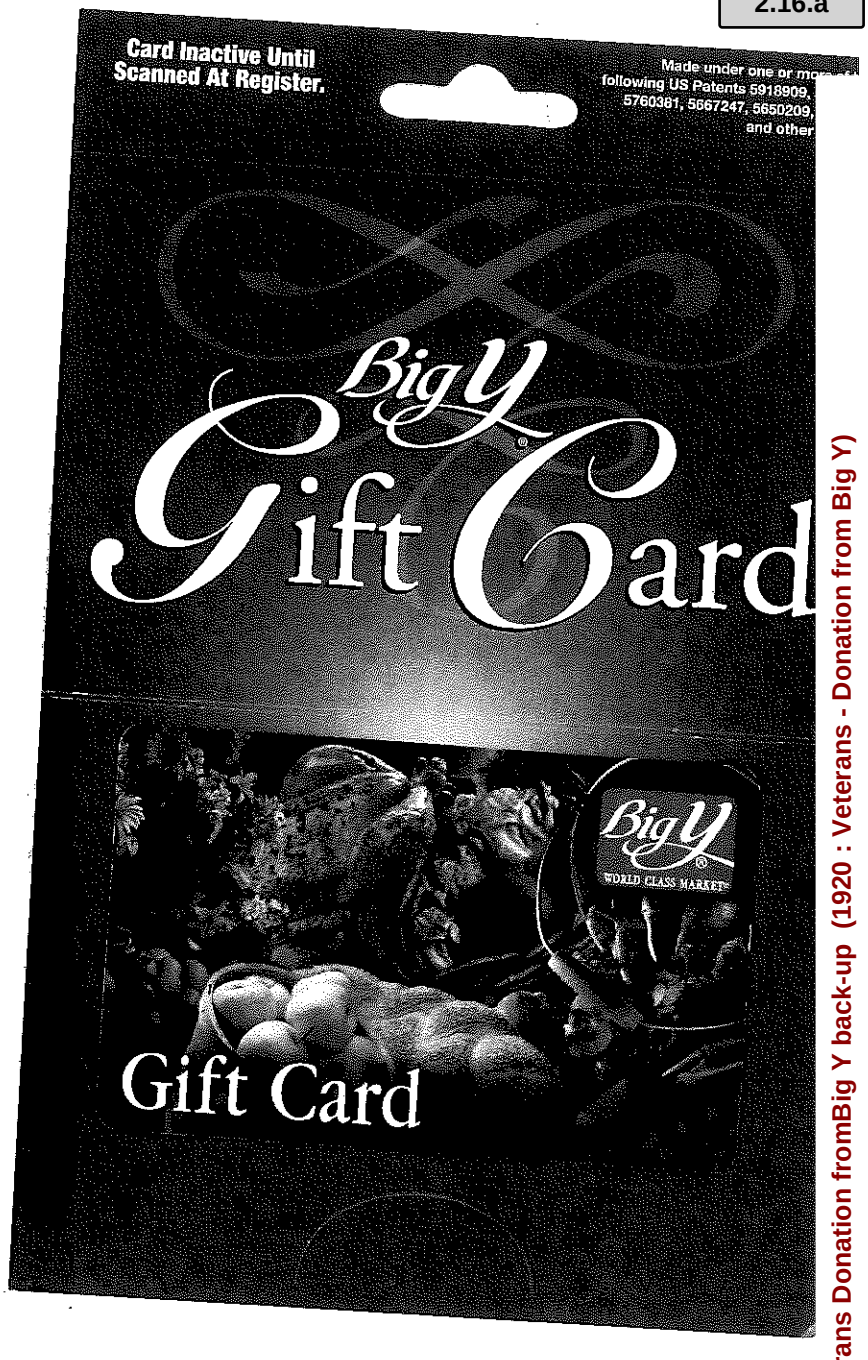
We hope you will accept this gift card, and our sincerest thanks for being our customer.

Sincerely,

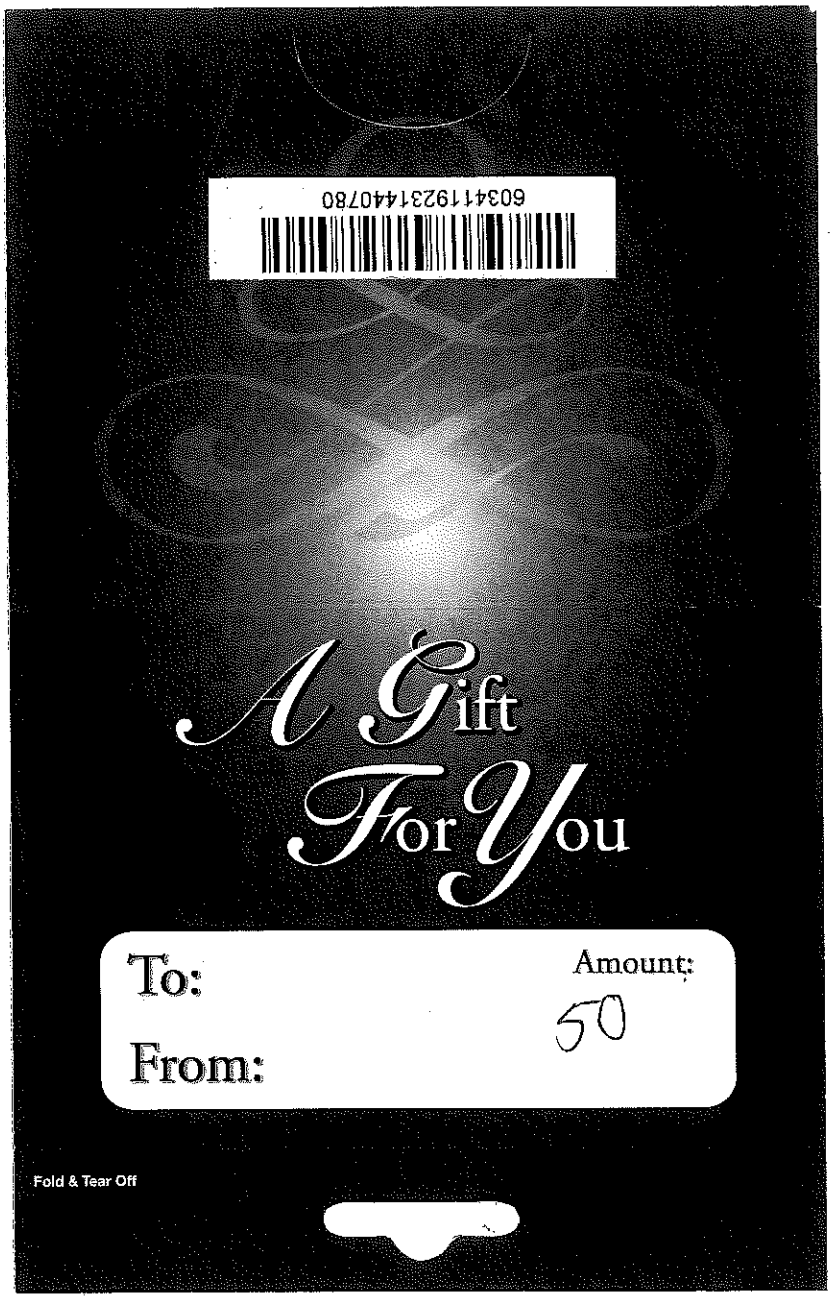
Betti Boggis

Betti Boggis
Corporate Consumer Relations

Attachment: Veterans Donation fromBig Y back-up (1920 : Veterans - Donation from Big Y)



Attachment: Veterans Donation fromBig Y back-up (1920 : Veterans - Donation from Big Y)



Attachment: Veterans Donation fromBig Y back-up (1920 : Veterans - Donation from Big Y)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Tracye Whitfield
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1934

TJO Donations for Animal Care - No Match Required $7,443.69 (Mayor Sarno)

WHEREAS, the Thomas J. O'Connor Animal Control and Adoption Center has been awarded gifts of $7,443.69 from various individuals and entities; and

WHEREAS, "The funds provided shall be used for the purpose as requested by the individuals and entities for animal care"; and

WHEREAS, the Department intends to use the gift funds for the purpose as requested by the donors for animal care; and

WHEREAS, the Department has accepted the gift funds and requests authorization from the City Council and the Mayor to expend the gift funds listed below for the purposes of animal care; and

WHEREAS, the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, has certified that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the expenditure of the grant funds listed herein, subject to the approval of the Mayor, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, acting pursuant to Mass. Gen. Laws Ch. 44, sec. 53A, that the City Council approves the expenditure by the Department of the gift funds listed below for the purposes of such gift, subject to the approval of the Mayor, and the gift funds received shall be deposited with the City Treasurer and held as a separate account and may be expended for the purposes of the gift without further appropriation:

Animal Care - $7,443.69

TJO Donations/City Account

4/8/2011 thru 10/31/2012

TYPE OF DONATION	DONATION AMOUNT	NUMBER OF DONORS
DONATION	*TOTAL: $7,433.69*	197

4/8/11	R11-010041	10.00	CHRISTINE TURCOTTE	9 CEDAR ST	ENFIELD CT 06082
4/10/11	R11-010050	30.00	TOM RONDEAU	50 LINDEN ST #2LR	CHICOPEE MA 01020
4/13/11	R11-010067	13.00	NATHANIEL PADDEN	UNKNOWN	
4/15/11	R11-010071	5.00	LAURA REMISZEWSKI	35 KAZBECK ST	INDIAN ORCHARD MA 01
4/17/11	R11-010094	150.00	MASSMUTUAL MATCHING GIFT	9002 P.O. BOX	STUART FL 34995
4/21/11	R11-010112	40.44	WALK YOUR DOG WITH LOVE	31 MEADOW LN	LANESBORO MA 01237
4/21/11	R11-010114	50.00	JODY LOS	585 SHERIDAN ST #22	CHICOPEE MA 01020
4/21/11	R11-010115	50.00	ELIZABETH SCHABACKER	56 GLENDALE RD	SOUTHAMPTON MA 0107
4/30/11	R11-010169	57.28	CENTRAL CONN UNITED WAY	30 LAUREL ST	HARTFORD CT 06016
4/30/11	R11-010170	144.16	CENTRAL CONN UNITED WAY	30 LAUREL ST	HARTFORD CT 06016
5/3/11	R11-010183	25.00	RJ HASKINS	58 CANAL ST	BRATTLEBORO VT 05301
5/10/11	R11-010216	50.00	DAN FREDETTE	15 LASKOWSKI ST	CHICOPEE MA 01020
5/10/11	R11-010219	10.00	UNKNOWN PERSON		
5/10/11	R11-010222	7.00	LORI CHARETTE	627 COTTAGE ST	SPRINGFIELD MA 01104
5/12/11	R11-010241	10.00	ROBERT ZAJAC	36 FAYMORE DR	AGAWAM MA 01001
5/12/11	R11-010242	10.00	JO ANN REPOLA	56 REDDEN ST	SPRINGFIELD MA 01119
5/12/11	R11-010243	10.00	JOHN FOGARTY	31 WOODS AVE	HOLYOKE MA 01040
5/12/11	R11-010244	130.00	DAN TAYLOR	UNKNOWN	
5/21/11	R11-010287	100.00	DEB HEADY	81 LAURENCE DR	WEST SPRINGFIELD MA
5/27/11	R11-010321	10.00	EDWARD MCSWEENEY	29 TEXEL DR	SPRINGFIELD MA 01108
6/2/11	R11-010338	100.00	DEB HEADY	81 LAURENCE DR	WEST SPRINGFIELD MA
6/3/11	R11-010349	60.00	LINDA BURR	73 WILKES ST	SPRINGFIELD MA 01119
6/3/11	R11-010351	20.00	MICHELINE FONTAINE	19 CATHERINE ST	CHICOPEE MA 01020
6/3/11	R11-010352	40.00	JANET DAIGO	4 CHARLES ST	CHICOPEE MA 01020
6/4/11	R11-010357	65.00	KATIE GLADDEN	53 APPLETON ST	SPRINGFIELD MA 01108
6/7/11	R11-010367	15.00	JANICE BOLGER	9 FLINT LOCKE RD	RANDOLPH MA 02368
6/10/11	R11-010387	50.00	RICHARD PAIGE	19 KNOLLWOOD DR	EAST LONGMEADOW MA
6/10/11	R11-010389	150.00	JEANNE COBURN	46 SHEEHAN DR	HOLYOKE MA 01040
6/10/11	R11-010390	40.00	BARBARA WATT	2431 ROOSEVELT AVE	SPRINGFIELD MA 01104
6/11/11	R11-010393	20.00	LINDA BOUSQUET	20 TAYLOR CT	ENFIELD CT 06082
6/11/11	R11-010395	30.00	ANDREA LUPPI	100 MARY COBURN RD	SPRINGFIELD MA 01129
6/13/11	R11-010400	40.00	SANDRA THOMAS	78 UPPER BEVERLY HILLS	WEST SPRINGFIELD MA
6/13/11	R11-010401	10.00	PATRICIA BOCCHINO	7 MEADE ST	PEABODY MA 01960
6/13/11	R11-010403	25.00	INGEBORG MARTINO	56 BRENTWOOD TER	CHICOPEE MA 01020
6/13/11	R11-010404	25.00	PATRICIA GUERTIN	441 GRANBY RD	SOUTH HADLEY MA 0107
6/13/11	R11-010405	25.00	LORI KEDZIOREK	90 FAIRWAY DR	LUDLOW MA 01056
6/13/11	R11-010406	100.00	MICHAEL RYE	55 MEADOWBROOK RD	LONGMEADOW MA 0110
6/14/11	R11-010412	25.00	ELIZABETH SOKOL	872 P.O. BOX	WEST SPRINGFIELD MA
6/14/11	R11-010413	25.00	AUDREY CONSOLMAGNO	210 BLISSWOOD VILLAGE DR	LUDLOW MA 01056
6/14/11	R11-010414	100.00	PEOPLES BANK	330 WHITNEY AVE	HOLYOKE MA 01040
6/14/11	R11-010415	20.00	FRANCIS LUTWINAS	113 BRAINARD RD	ENFIELD CT 06082
6/15/11	R11-010416	50.00	JANIS HENDERSON	244 WOODPOND RD	GLASTONBURY CT 0603
6/18/11	R11-010440	15.01	SYLVIA MANGO	287 ABBOTT ST	SPRINGFIELD MA 01118
6/20/11	R11-010441	100.00	SHARON OBER	148 SCANTIC RD	HAMPDEN MA 01036
6/21/11	R11-010442	50.00	DOUGLAS BRADWAY	35 CEDAR ST	SPRINGFIELD MA 01105
6/21/11	R11-010443	25.00	JEANNE ZINN	269 STONY HILL RD	WILBRAHAM MA 01095
6/21/11	R11-010446	100.00	DAVID KENNEDY	13 BROOK ST	EASTHAMPTON MA 0102
6/21/11	R11-010447	2.00	MARION BAILEY	107 WELLINGTON ST	SPRINGFIELD MA 01109
6/23/11	R11-010459	3.00	FRANCISCO RAMOS	132 GARFIELD ST	SPRINGFIELD MA 01108

Attachment: donations__donors_cover1 (1934 : TJO Donations - No Match Required $7,443.69)

TJO Donations/City Account

4/8/2011 thru 10/31/2012

	TYPE OF DONATION	DONATION AMOUNT	NUMBER OF DONORS		
6/24/11	R11-010461	10.00	EILEEN COURTNEY	19 COLONIAL RD	WOBURN MA 01801
6/24/11	R11-010467	20.00	PATRICE MCNAUGHTON	123 POND RD	STONEHAM MA 02180
6/24/11	R11-010469	40.00	JOANNA HIBBARD	17 HIGGINS ST	ARLINGTON MA 02476
6/24/11	R11-010470	25.00	ELIZABETH PICKERING	13 PURITAN RD	DANVERS MA 01923
6/24/11	R11-010471	25.00	LINWOOD BEVERLY	10 BROOK LN	BERLIN MA 01503
6/24/11	R11-010472	50.00	MARIA METCALFE	18 HALL RD	STONEHAM MA 02180
6/24/11	R11-010473	5.00	JOAN DOLEVA	127 RAYMOND DR	HAMPDEN MA 01036
6/24/11	R11-010474	50.00	MARY ELLEN RUSSELL- OBRIEN	109 STERLING ST	SPRINGFIELD MA 01107
6/25/11	R11-010484	7.00	MIGDALIA HOLT	195 HICKORY ST	SPRINGFIELD MA 01109
6/28/11	R11-010492	2.00	ROBIN FOSTER	31 JOHN ST	CHICOPEE MA 01013
6/28/11	R11-010503	25.00	HELEN ROBBINS	16 COREY CYN	AGAWAM MA 01001
6/28/11	R11-010504	62.00	SPRINGFIELD GOLDEN AGE CLUB	600 E COLUMBUS AVE	SPRINGFIELD MA 01103
7/2/11	R11-010524	25.00	KEVIN BRIGGS	39 SEDGELIA ST	SPRINGFIELD MA 01104
7/2/11	R11-010525	35.00	RACHEL & JEREMY ACKMAN	184 WASHINGTON ST #1	QUINCY MA 02169
7/12/11	R11-010580	125.00	LISA LAVIOLETTE	1550 N MAIN ST	PALMER MA 01069
7/15/11	R11-010607	100.00	CHERYL STUPSKI	15 JIM ASH RD	PALMER MA 01069
7/16/11	R11-010610	20.00	STEPHEN HUME	549 RUSSELL ST	WESTFIELD MA 01085
7/16/11	R11-010612	13.00	CARLOS ARROYO	129 MILLER ST	SPRINGFIELD MA 01104
7/16/11	R11-010620	70.00	KELLEY BARBER	14 BROOKSIDE CIR	SPRINGFIELD MA 01129
7/16/11	R11-010623	1.00	CHRIS DECKER	128 MEADOWBROOK RD	LONGMEADOW MA 0110
7/21/11	R11-010642	20.00	GLORIA BEYER	132 AMBROSE ST	SPRINGFIELD MA 01109
7/22/11	R11-010645	25.00	HEIDI JOHNSTON	57 GROVE ST	PAXTON MA 01612
7/22/11	R11-010646	25.00	CINDY CHURCHILL	28 PINE HILL RD	RUSSELL MA
7/22/11	R11-010647	150.00	WESTFIELD RIDING CLUB	8092 P.O. BOX	WESTFIELD MA 01086
7/23/11	R11-010652	15.00	CANDIDO ROSADO	32 FOREST ST	SPRINGFIELD MA 01108
7/23/11	R11-010654	10.00	HELEN GUIHEEN	53 EAST ST	SOUTHAMPTON MA 0107
7/23/11	R11-010657	2.00	KELLY HOOPER	67 WARRENTON ST	SPRINGFIELD MA 01109
7/26/11	R11-010664	20.00	CANDIDO ROSADO	32 FOREST ST	SPRINGFIELD MA 01108
7/26/11	R11-010665	3.00	ALICIA HERBERT	11 DONNA ST	ENFIELD CT 06082
7/26/11	R11-010667	40.00	KEITH OUELETTE	1361 LYON ST	LUDLOW MA 01056
7/26/11	R11-010673	5.00	GLADYS MONTERO	19 CLINTON AVE	HOLYOKE MA 01040
7/26/11	R11-010679	20.00	NANCY WALLER	22 BLUEBIRD CIR	CHICOPEE MA 01020
7/29/11	R11-010683	50.00	RAYMOND MILLER	97 ALLEN ST	EAST LONGMEADOW MA
7/29/11	R11-010684	10.00	WILLIAM KLAUS	PARK VILLA DR #3G	TURNERS FALLS MA 013
7/30/11	R11-010692	100.00	KELLEY RICHARDS	36 SENATOR AVE	AGAWAM MA 01001
8/4/11	R11-010713	3.00	ANGELA DITERLIZZI	980 SOUTH EAST ST	AMHERST MA 01002
8/5/11	R11-010716	11.00	TRENEZ FORRESTER	37 GREENE ST	SPRINGFIELD MA 01109
8/6/11	R11-010723	10.00	LAURENE VERALLIS	130 HAMPSHIRE ST	INDIAN ORCHARD MA 01
8/8/11	R11-010731	10.00	JACK+LYNN MARTIN	45 GRAND BLVD	ELLINGTON CT 06029
8/15/11	R11-010768	25.00	MARILYNN DULUDE	99 DURYEA ST	SPRINGFIELD MA 01104
8/18/11	R11-010778	10.00	JACQUELINE LOPEZ	25 GREENWICH ST	SPRINGFIELD MA 01107
8/18/11	R11-010783	100.00	LINDA GENDUSO	33 WOODLAND HEIGHTS DR	WEST BOYLSTON MA 01
8/20/11	R11-010790	10.00	CHRISTINA ZIMMERMAN	O	
8/20/11	R11-010794	10.00	THERESA PAGE	198 ARCADE ST	CHICOPEE MA 01020
8/23/11	R11-010804	10.00	KIM LITTMANN	82 NORTH ST	THREE RIVERS MA 0108
9/1/11	R11-010836	3.50	HALENA RAMOS	223 THREE RIVERS RD	WILBRAHAM MA 01095
9/3/11	R11-010855	45.00	RICH + CAROL PIERCE	808 MAIN RD	GRANVILLE MA 01034
9/10/11	R11-010889	12.00	LUIS TORRES	113 HASKIN ST	SPRINGFIELD MA 01109
9/13/11	R11-010905	50.00	WENDY KELLER	3 SCOTT HOLLOW RD	HOLYOKE MA
9/15/11	R11-010916	10.00	STEPHEN MILLER	881 DEWEY ST	W. SPRINGFIELD MA 010
9/22/11	R11-010957	10.00	THERESA PAGE	198 ARCADE ST	CHICOPEE MA 01020
9/22/11	R11-010958	125.00	MATTHEW LOVELING	30 BURLEIGH RD	HAMPDEN MA 01036

Attachment: donations__donors_cover1 (1934 : TJO Donations - No Match Required $7,443.69)

TJO Donations/City Account

4/8/2011 thru 10/31/2012

	TYPE OF DONATION	DONATION AMOUNT	NUMBER OF DONORS		
9/29/11	R11-010987	25.00	KATHY BULAT	12 HUNT ST	AGAWAM MA 01001
9/29/11	R11-010989	9.00	DANIEL GARNETT	385 WORTHINGTON ST #213	SPRINGFIELD MA 01103
10/1/11	R11-011000	3.00	NATALYA ALZHANOVA	71 MANOR CT	SPRINGFIELD MA 01118
10/6/11	R11-011012	2.00	DANA HARVEY	30 CAROLYN DR	DANVERS MA 01923
10/11/11	R11-011037	4.00	MICHAEL ROCHE	UNKNOWN	
10/13/11	R11-011044	4.00	SHANNON WHALEN	15 DALEY ST	CHICOPEE MA 01013
10/18/11	R11-011078	110.00	BARBARA CYR	223 TALMADGE DR	SPRINGFIELD MA 01118
10/20/11	R11-011085	50.00	CAROLYN CHAPUT	55 MEMORIAL DR	HOLYOKE MA 01040
10/22/11	R11-011102	2.00	DOMINIC DECARO	228 CADY ST	LUDLOW MA 01056
11/1/11	R11-011155	30.00	EDMUND TADDEY	960 BURNETT RD	CHICOPEE MA 01020
11/10/11	R11-011190	75.00	FRANK MILLER	235 INVERNESS LN	LONGMEADOW MA 0110
11/12/11	R11-011198	25.00	JEROME SILLS	31 HAWTHORNE ST	SPRINGFIELD MA 01105
11/19/11	R11-011236	6.00	ROBERT GOFF	39 KING ST	AGAWAM MA 01001
11/25/11	R11-011263	50.00	ELAINE HASTINGS	184 POWELL AVE	SPRINGFIELD MA 01118
12/3/11	R11-011317	0.55	GLORIA BEAUCHMIN	131 DESLAURIERS ST	CHICOPEE MA 01020
12/5/11	R11-011323	25.00	MARY TESINI	5 VILLAGE RD	HOLYOKE MA 01040
12/5/11	R11-011324	50.00	KRISTINA BORDIERI	129 DARTMOUTH TER #B	SPRINGFIELD MA 01109
12/7/11	R11-011337	50.00	MARY ELLEN PRINCE	59 NORTHWEST RD	WESTHAMPTON MA 010
12/15/11	R11-011376	10.00	AARON O'QUINN	167 REGENCY PARK DR	AGAWAM MA 01001
12/15/11	R11-011377	10.00	LAURA REINHOLZ	7 PARSONS AVE	SOUTHAMPTON MA 0107
12/17/11	R11-011387	11.00	JOHN CLYDESDALE	361 W PELHAM RD	SHUTESBURY MA 01072
12/20/11	R11-011407	10.00	PHIL GLACKIN	33 OAKWOOD TER	SPRINGFIELD MA 01109
12/20/11	R11-011417	25.00	PATRICIA HENNESSY	11 VICTORIA PL	CHICOPEE MA
12/22/11	R11-011418	200.00	JAMES ROBERT	217 CADY ST	LUDLOW MA 01056
12/22/11	R11-011424	10.00	CAROL SHEA	60 UNCLE BEN"S WAY	SOUTH DENNIS MA
12/24/11	R11-011447	5.00	ALLISON COLBERT	69 BRANDON AVE	SPRINGFIELD MA 01119
12/29/11	R11-011478	10.00	ELAINE GAUTHIER	33 GOLD ST	SPRINGFIELD MA 01107
1/3/12	R12-011494	10.00	ROBERT GOGOL	850 WESTERN AVE	WESTFIELD MA 01085
1/5/12	R12-011504	7.00	JACQUELINE ORTIZ	180 SAINT JAMES AVE	CHICOPEE MA 01020
1/7/12	R12-011511	7.00	RONALD COOLEY	419 MONTCALM ST	CHICOPEE MA 01020
1/7/12	R12-011516	20.00	DAVID BLAIS	22 RAYMOND DR	HAMPDEN MA 01036
1/7/12	R12-011520	5.00	PATRICIA WANAT-ALLARD	20 MARTEL RD	SPRINGFIELD MA 01119
1/8/12	R12-011522	10.00	LINDA O'MALLEY	55 KAMUDA ST	INDIAN ORCHARD MA 01
1/12/12	R12-011539	25.00	PAT SINATRA	120 SHERMAN RD	KINGSTON 12401
1/14/12	R12-011553	30.00	ROB KIMMEL	88 N MAIN ST	FLORENCE MA 01062
1/28/12	R12-011605	5.00	SHANNON TABBI	48 FIELD ST	WEST SPRINGFIELD MA
2/2/12	R12-011616	75.00	JOANN MARTIN	103 GLENDALE RD	SOUTHAMPTON MA 0107
2/2/12	R12-011626	1.00	BRITTANY CRIPPS	76 CANTERBURY RD	SPRINGFIELD MA 01118
2/4/12	R12-011627	584.25	JOHN BOYLE O'REILLY	33 PROGRESS AVE	SPRINGFIELD MA 01104
2/14/12	R12-011681	20.00	BRIAN MCCLAIN	1704 MEMORIAL DR	CHICOPEE MA 01020
3/1/12	R12-011768	5.00	FRAUKE BEACHAM	346 BENNETT RD	HAMPDEN MA 01036
3/1/12	R12-011772	5.00	SAMANTHA CARSON	14 KENNETH RD	EASTHAMPTON MA 0102
3/15/12	R12-011856	175.00	BETTY JANE GILES	12 SYCAMORE KNOLLS	SO HADLEY MA 01075
3/16/12	R12-011851	25.00	ALAN AXLER	1095 DICKINSON ST	SPRINGFIELD MA 01108
3/27/12	R12-011900	25.00	CHARLOTTE ROBERTS-MESSNER	455 OLD POST RD	MARSTONS MILLS MA 02
3/31/12	R12-011931	70.00	ROBERT WHITE	104 RIDGEVIEW CIR	LUDLOW MA 01056
4/2/12	R12-011938	75.00	GWEN BURKE	97 WINDING LN	SPRINGFIELD MA 01118
4/13/12	R12-012008	5.00	KAREN BURKHARDT	49 OLIVER ST	EASTHAMPTON MA 0102
4/14/12	R12-012016	5.00	LINDA SINGER	66 DARTMOUTH ST	SPRINGFIELD MA 01109
4/16/12	R12-012023	20.00	DEBORAH MYKYTIUK	57 JEFFERSON AVE	CHICOPEE MA 01020
4/19/12	R12-012043	11.00	KALEEN SWEENEY-MONTAQUE	80 SYCAMORE ST #1	SPRINGFIELD MA 01109
4/20/12	R12-012053	23.00	JEROD+SERENA HOWELL	12 GREENPOINT CIR	CHICOPEE MA 01020

Attachment: donations__donors_cover1 (1934 : TJO Donations - No Match Required $7,443.69)

TJO Donations/City Account

4/8/2011 thru 10/31/2012

	TYPE OF DONATION	DONATION AMOUNT	NUMBER OF DONORS		
4/28/12	R12-012108	80.00	KATE LEDOUX	42 CONCORD SQ #3	BOSTON MA 02118
5/1/12	R12-012135	125.00	FLORENCE LOPES	63 DREXEL ST	SPRINGFIELD MA 01104
5/4/12	R12-012165	20.00	LEE ANN CORBETT	16 UPPER HAMPDEN RD	MONSON MA 01057
5/5/12	R12-012179	30.00	ETHAN+NIKKI LAFORTE	21 N MAPLE ST	HADLEY MA 01035
5/8/12	R12-012189	30.00	KAREN SENECAL	49 MARTHA ST	INDIAN ORCHARD MA 01
5/8/12	R12-012192	2.00	THERESA KOSZEWSKI	21 MOSS AVE	HOLYOKE MA 01040
5/10/12	R12-012210	50.00	RALPH ROYLAND	9 PINEVALE ST	INDIAN ORCHARD MA 01
5/17/12	R12-012233	4.00	ANNEMARIE POPOWSKI	97 FERNWOLD ST	SPRINGFIELD MA 01104
5/21/12	R12-012261	5.00	GWEN HOUSTON	400 MAPLETON AVE	SUFFIELD CT 06078
5/22/12	R12-012268	25.00	JESSICA SWEET	14 BARNES ST	WARE MA 01082
5/23/12	R12-012273	5.00	TYLER FRANCE	50 MEADOW ST #5	AMHERST MA 01002
5/24/12	R12-012282	75.00	PETER ROZKUSZKA	5 KING ST	WARE MA 01082
6/12/12	R12-012407	5.00	ASHLEY KOWARSKY	81 MAPLE ST	WARREN MA 01083
6/16/12	R12-012445	60.00	MARY HOTT	3 WOODRIDGE RD	MONSON MA 01057
6/18/12	R12-012451	5.00	SUE PLANKEY	23 OVERLEA DR	SPRINGFIELD MA 01119
6/18/12	R12-012454	5.00	PATRICIA TOMALA	46 ROCHELLE ST #2	SPRINGFIELD MA 01109
6/25/12	R12-012499	2.00	MAHALY VALENTIN	278 WEST FRANKLIN ST	HOLYOKE MA 01040
7/7/12	R12-012583	10.00	STACY STROM	43 BETTER WAY	SPRINGFIELD MA 01119
7/13/12	R12-012635	3.50	MICHELLE CHAMPAGNE	25 JACOB ST	CHICOPEE MA 01020
7/17/12	R12-012657	1.00	GEORGE BRUSO	103 CHAPEL ST	CHICOPEE MA 01020
7/19/12	R12-012672	4.00	JEN JEFFERY VANASSE	92 NORTH MAPLE ST	HADLEY MA 01035
7/19/12	R12-012675	10.00	LOIDA RAMOS	81 CONZ ST	NORTHAMPTON MA 010
7/21/12	R12-012689	15.00	ROY LANGFORD	37 SHERBROOKE ST	SPRINGFIELD MA 01104
7/24/12	R12-012717	1.00	LISA CORBITT	69 ASHLAND AVE	SPRINGFIELD MA 01119
7/26/12	R12-012720	50.00	NANCY SMITH	2 SUTTON DR	NORTH GRANBY CT 060
8/7/12	R12-012808	4.00	ANDREA VAN DEXTER	1740 STONY LN	NORTH KINGSTOWN RI (
8/7/12	R12-012812	3.00	ALYCIA CAVANAUGH	95 DARTMOUTH ST	SPRINGFIELD MA 01109
8/10/12	R12-012833	8.00	LYNN PANDOLFI	8 STILLBROOK LN #8	FEEDING HILLS MA 0103
8/13/12	R12-012861	1.50	ELIZABETH SALLS	43 WARWICK RD	NORTHFIELD MA 01360
8/15/12	R12-012874	11.50	CHRISTINA SCHARNOTT	665 TAMARAC RD	TROY NY 12190
8/16/12	R12-012881	25.00	HEATHER WASILEWSKI	112 HAMPDEN RD	EAST LONGMEADOW MA
8/20/12	R12-012932	2.00	HEATHER GAGNE	501 HOLYOKE ST	LUDLOW MA 01056
8/21/12	R12-012936	135.00	JP HAMMOND	1022 AMOSTOWN RD	WEST SPRINGFIELD MA
8/30/12	R12-012984	10.00	DAWN MURPHY	53 WOODSONG RD	WESTFIELD MA 01085
8/30/12	R12-012996	13.00	KRISTIN O'DONNELL	16 SUNRISE TER	SPRINGFIELD MA 01119
9/4/12	R12-013012	30.00	MICHAEL HAZARD	1319 NORTHAMPTON ST	HOLYOKE MA 01040
9/4/12	R12-013016	20.00	GUISEPPE CALVANESE	228 OAKLAND ST	SPRINGFIELD MA 01108
9/8/12	R12-013039	150.00	JAMIE HEBERT	65 ARDMORE ST	SPRINGFIELD MA 01104
9/13/12	R12-013084	13.00	KELLY FAROUSI	156 SUFFIELD ST #11	AGAWAM MA 01001
9/18/12	R12-013148	5.00	JILLIAN TAYLOR	500 PLEASANT ST #1	HOLYOKE MA 01040
9/20/12	R12-013123	10.00	LAURIE NAREY	200 LATHROP ST	SOUTH HADLEY MA 0107
9/25/12	R12-013153	3.00	SUE RAYMOND	42 SPRING ST	SOUTH HADLEY MA 0107
10/9/12	R12-013228	325.00	DONALD MARTEL	1343 PAGE BLVD	SPRINGFIELD MA 01104
10/18/12	R12-013271	4.00	STEPHEN HATTLER	25 DUBLIN ST	PALMER MA 01069
10/19/12	R12-013276	70.00	KATHY CHOUINARD	37 STEUBEN ST	INDIAN ORCHARD MA 01
10/20/12	R12-013286	2.00	TYLER CROTHERS	464 GRATTAN ST #1ST	CHICOPEE MA 01020
10/20/12	R12-013287	5.00	ELAINE DUCLOS	81 JOANNE RD	SPRINGFIELD MA 01119
10/22/12	R12-013295	5.00	GEORGE SCOTT	55 BEECH ST	PALMER MA 01069

Attachment: donations__donors_cover1 (1934 : TJO Donations - No Match Required $7,443.69)

Thomas J. O'Connor
Animal Control & Adoption Center

TJO Donations/City Account
4/8/2011 thru 10/31/2012

TYPE OF DONATION	DONATION AMOUNT	NUMBER OF DONORS

GRAND TOTAL: $ 7,433.69 ***Total Donors 197***

Attachment: donations__donors_cover1 (1934 : TJO Donations - No Match Required $7,443.69)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: LeeAnn Pasquini
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1918

FY13 - Q1 Report (Mayor Sarno)

City of Springfield, Massachusetts

FY13
Quarterly Financial Report

Quarter Ending: September 30, 2012

Presented: November 19, 2012

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

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6. Forecast……...22

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

SECTION 1: INTRODUCTION / SUMMARY

Honorable Members of the City Council:

The following pages represent the Quarterly Financial Report for the City of Springfield examining the period of July 1, 2012 through September 30, 2012 or the first quarter of Fiscal Year 2013 (FY13). The following information is included:

- Balance Sheets
- Revenue Data
- Expenditure Data
- Grants Data
- FY End Forecast Data

The goal of the report is to be open and transparent about the City's finances and to educate as many people as possible as to the challenges that the City continues to face. This report is focused on the General Fund budget and does not include the Tornado fund or the October Snow Storm Fund.

If you have any questions about the information in these pages, our team will be available to discuss. Thank you for your attention to this important information.

Respectfully,

Domenic J. Sarno
Mayor

Lee C. Erdmann
CAFO

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

SECTION 2: BALANCE SHEETS

Section two of the Quarterly Financial Report is intended to serve as an introduction to the City of Springfield's balance sheets. These balance sheets are comprised of three components.

Governmental **Proprietary** **Fiduciary**

GOVERNMENTAL FUND TYPE

General Fund – The fund used to account for most financial resources and activities governed by the normal town meeting/city council appropriation process.

Stabilization Fund – A fund designed to accumulate amounts for capital and other future spending purposes, although it may be appropriated for any lawful purpose (MGL Ch. 40 §5B). Communities may establish one or more stabilization funds for different purposes and may appropriate into them in any year an amount not to exceed ten percent of the prior year's tax levy. The total of all stabilization fund balances shall not exceed ten percent of the community's equalized value, and any interest shall be added to and become a part of the funds. A two-thirds vote of town meeting or city council is required to establish, amend the purpose of, or appropriate money into or from the stabilization fund. (See DOR IGR 04-201)

Special Revenue Fund – Funds, established by statute only, containing revenues that are earmarked for and restricted to expenditures for specific purposes. Special revenue funds include receipts reserved for appropriation, revolving funds, grants from governmental entities, and gifts from private individuals or organizations.

Capital Projects Fund – Fund type used to account for financial resources to be used for the acquisition or construction of major capital facilities (other than those financed by proprietary funds).

PROPRIETARY FUND TYPE

Enterprise Fund – An enterprise fund, authorized by MGL Ch. 44 §53F½, is a separate accounting and financial reporting mechanism for municipal services for which a fee is charged in exchange for goods or services. It allows a community to demonstrate to the public the portion of total costs of a service that is recovered through user charges and the portion that is subsidized by the tax levy, if any. With an enterprise fund, all costs of service delivery--direct, indirect, and capital costs—are identified. This allows the community to recover total service costs through user fees if it chooses. Enterprise accounting also enables communities to reserve the "surplus" or net assets unrestricted generated by the operation of the enterprise rather than closing it out to the general fund at year-end. Services that may be treated as enterprises include, but are not limited to, water, sewer, hospital, and airport services. See DOR IGR 08-101

Internal Service Fund – A municipal accounting fund used to accumulate the cost of central services such as data processing, printing, postage, motor pool. Costs or charges to an internal service fund are then allocated to other departments or funds within the government unit.

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

FIDUCIARY FUND TYPE

Trust Fund – In general, a fund for money donated or transferred to a municipality with specific instructions on its use. As custodian of trust funds, the treasurer invests and expends such funds as stipulated by trust agreements, as directed by the commissioners of trust funds or by town meeting. Both principal and interest may be used if the trust is established as an expendable trust. For nonexpendable trust funds, only interest (not principal) may be expended as directed.

Private-Purpose Trust Funds – A fiduciary trust fund type used to report all trust arrangements, other than those properly reported in pension trust funds or investment trust funds, under which principal and income benefits individuals, private organizations, or other governments. An example is a scholarship fund.

Agency Fund – This is one of four types of fiduciary funds. It is used to report resources in a purely custodial capacity by a governmental unit. Agency funds generally involve only the receipt, temporary investment, and periodic transfer of money to fulfill legal obligations to individuals, private organizations, or other governments. For example, certain employee payroll withholdings typically accumulate in an agency fund until due
and forwarded to the federal government, health care provider, and so forth.

The following information is to define specific line items in the balance sheets to help the user have a clearer understanding of the information presented.

Encumbrance – A reservation of funds to cover obligations arising from purchase orders, contracts, or salary commitments that is chargeable to, but not yet paid from,
a specific appropriation account.

Massachusetts General Laws Chapter 656 of the Acts of 1989 - This statue requires the City of Springfield to account for one percent of the prior year's gross amount to be raised on the tax re-cap as a reservation of fund balance in the general fund.

Net School Spending (NSS) – School budget and municipal budget amounts attributable to education, excluding long-term debt service, student transportation, school lunches and certain other specified school expenditures. A community's NSS funding must equal or exceed the NSS Requirement established annually by the Department of Elementary and Secondary Education (DESE). (See Education Reform Act of 1993)

Undesignated Fund Balance – Monies in the various government funds as of June 30 that are neither encumbered nor reserved, and are therefore available for expenditure once certified as part of free cash. (See Designated Fund Balance)

Unreserved Fund Balance (Surplus Revenue Account) – The amount by which cash, accounts receivable, and other assets exceed liabilities and restricted reserves. It is akin to a "stockholders' equity" account on a corporate balance sheet. It is not, however, available for appropriation in full because a portion of the assets listed as "accounts receivable" may be taxes receivable and uncollected. (See Free Cash)
Source: DOR UMAS manual

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

City of Springfield, Massachusetts
All Funds
Combined Balance Sheet
September 30, 2012

	Governmental Fund Types				
	General	Stabilization Reserves	Special Revenue	MGL Ch. 44 Sec. 31 Deficit Funds	Capital Projects
ASSETS					
Cash and cash equivalents	$ 57,976,429	$ 31,060,239	$ 46,644,827	$ (12,911,729)	$ (5,716,189)
Receivables, net of uncollectible:					
Real estate and personal property taxes	49,162,055	-	-	-	-
Tax liens	2,506,504	-	-	-	-
Motor vehicle excise taxes	7,403,581	-	-	-	-
Departmental and other	1,505,099	-	737,091	-	-
Due from Commonwealth	-	-	-	-	103,091
Due from other funds	-	-	-	-	-
Tax foreclosures	19,126,205	-	-	-	-
User Charges Receivable	-	-	-	-	-
User Trash Lien Receivable	-	-	-	-	-
Amt to be provided for LTD obligations	-	-	-	-	-
TOTAL ASSETS	$ 137,679,874	$ 31,060,239	$ 47,381,917	$ (12,911,729)	$ (5,613,098)
LIABILITIES AND FUND BALANCES					
LIABILITIES:					
Warrants payable	$ 30,165	$ -	$ 205,819	$ 141,948	$ 1,464,585
Tax refunds payable	13,713,635	-	-	-	-
Deputy Fees payable	-	-	-	-	-
Payroll withholdings	-	-	-	-	-
Abandoned property	1,275,762	-	-	-	-
Other liabilities	1,460,217	-	4,463,742	-	-
General Obligation Long-term Debt	-	-	-	-	-
Deferred revenues-User Charges	-	-	-	-	-
Deferred revenues	79,703,445	-	737,089	-	-
TOTAL LIABILITIES	$ 96,183,224	$ -	$ 5,406,651	$ 141,948	$ 1,464,585
FUND BALANCES:					
Reserved for:					
Encumbrances	49,295,610	-	41,739,828	4,618,830	55,227,917
Chapter 656 Reserve	5,876,995	-	-	-	-
Petty Cash	54,189	-	-	-	-
Net School Spending Carry-over	2,727,779	-	-	-	-
Unreserved:					
Continued Appropriations	5,000,000	-	-	-	-
Undesignated, reported in:					
General fund	(21,457,924)	-	-	-	-
Special revenue funds	-	31,060,239	235,439	(17,672,507)	-
Capital projects funds	-	-	-	-	(62,305,600)
Retained Earnings	-	-	-	-	-
TOTAL FUND BALANCES	41,496,650	31,060,239	41,975,267	(13,053,677)	(7,077,683)
TOTAL LIABILITIES AND FUND BALANCES	$ 137,679,874	$ 31,060,239	$ 47,381,917	$ (12,911,729)	$ (5,613,098)

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

City of Springfield, Massachusetts
All Funds
Combined Balance Sheet
September 30, 2012

	Proprietary Funds	Fiduciary Funds	Totals	Account Group: General Long-Term Debt
ASSETS				
Cash and cash equivalents	$ 4,978,254	$ 14,303,565	$ 136,335,397	-
Receivables, net of uncollectible:				-
Real estate and personal property taxes	-	-	49,162,055	-
Tax liens	-	-	2,506,504	-
Motor vehicle excise taxes	-	-	7,403,581	-
Departmental and other		17,172	2,259,362	-
Due from Commonwealth	-	-	103,091	-
Due from other funds	-	1,507	1,507	-
Tax foreclosures		-	19,126,205	-
User Charges Receivable	898,887	-	898,887	-
User Trash Lien Receivable	93,501	-	93,501	-
Amt to be provided for LTD obligations	-	-	-	259,888,881
TOTAL ASSETS	**$ 5,970,642**	**$ 14,322,245**	**$ 217,890,091**	**$ 259,888,881**
LIABILITIES AND FUND BALANCES				
LIABILITIES:				
Warrants payable	$ -	$ 7,118	$ 1,849,635	-
Tax refunds payable		-	13,713,635	-
Deputy Fees payable	-	310,200	310,200	
Payroll withholdings	-	52,631	52,631	-
Abandoned property	-	-	1,275,762	-
Other liabilities	-	244,047	6,168,006	-
General Obligation Long-term Debt	-	-	-	259,888,881
Deferred revenues-User Charges	992,387	-	992,387	-
Deferred revenues	-	17,172	80,457,707	-
TOTAL LIABILITIES	**$ 992,387**	**$ 631,168**	**$ 104,819,963**	**$ 259,888,881**
FUND BALANCES:				
Reserved for:				
Encumbrances	4,088,600	94,942	155,065,728	-
Chapter 656 Reserve	-	-	5,876,995	-
Petty Cash	-	-	54,189	
Net School Spending Carry-over	-	-	2,727,779	-
Unreserved:				-
Continued Appropriations	-	-	5,000,000	-
Undesignated, reported in:				-
General fund	-	-	(21,457,924)	-
Special revenue funds	-	13,596,135	27,219,306	-
Capital projects funds	-	-	(62,305,600)	-
Retained Earnings	889,654	-	889,654	-
TOTAL FUND BALANCES	4,978,254	13,691,077	113,070,127	-
TOTAL LIABILITIES AND FUND BALANCES	$ 5,970,642	$ 14,322,245	$ 217,890,091	$ 259,888,881

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

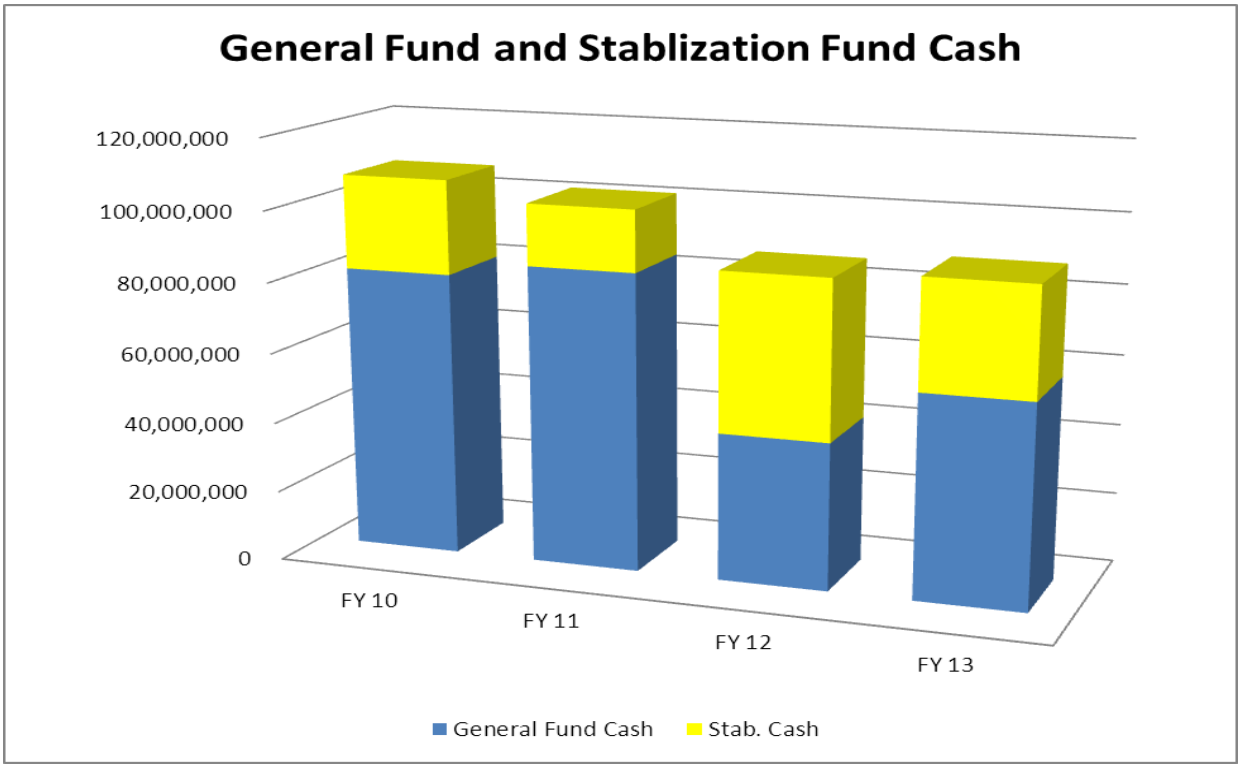
City of Springfield, Massachusetts
General Fund
Comparative Balance Sheet

	General Fund			
	As of 30-Sep-12	As of 30-Sep-11	As of 30-Sep-10	As of 30-Sep-09
ASSETS				
Cash and cash equivalents	$ 57,976,429	$ 42,017,172	$ 84,852,334	$ 80,834,543
Receivables, net of uncollectible:				
Real estate and personal property taxes	49,162,055	48,358,131	50,637,034	48,684,108
Tax liens	2,506,504	5,306,758	1,819,168	4,184,721
Motor vehicle excise taxes	7,403,581	7,547,007	7,296,374	7,226,690
Departmental and other	1,505,099	1,409,911	1,260,536	334,131
Due from Commonwealth	-	-	-	-
Due from Teacher Overpayment	-	874,501	-	-
Tax foreclosures	19,126,205	19,323,777	16,703,514	15,729,429
TOTAL ASSETS	$ 137,679,874	$ 124,837,257	$ 162,568,961	$ 156,993,623
LIABILITIES AND FUND BALANCES				
LIABILITIES:				
Warrants payable	$ 30,165	$ 291,616	$ 1,907,316	$ 790,124
Accrued payroll	-	-	-	857,932
Tax refunds payable	13,713,635	13,713,635	12,745,036	12,850,448
Payroll withholdings	-	-	-	-
Abandoned property	1,275,762	1,275,762	1,275,762	1,275,762
Other liabilities	1,460,217	1,464,098	243,439	13,280
Revenues Collected in advance	-	-	307,130	307,303
Deferred revenues	79,703,445	81,945,585	77,716,628	76,159,080
TOTAL LIABILITIES	$ 96,183,224	$ 98,690,696	$ 94,195,310	$ 92,253,927
FUND BALANCES:				
Reserved for:				
Encumbrances	49,295,610	50,289,692	50,194,103	38,593,087
Chapter 656 Reserve	5,876,995	5,505,766	5,794,053	6,042,256
Petty Cash	54,189	54,689	54,689	54,689
Net School Spending Carry-over	2,727,779	1,292,632	12,486,961	4,240,600
Unreserved:				
Continued Appropriations	5,000,000	5,000,000	1,897,128	2,845,611
Undesignated, reported in:				
General fund	(21,457,924)	(35,996,217)	(2,053,283)	12,963,452
TOTAL FUND BALANCES	$ 41,496,650	$ 26,146,561	$ 68,373,651	$ 64,739,695
TOTAL LIABILITIES AND FUND BALANCES	$ 137,679,874	$ 124,837,257	$ 162,568,961	$ 156,993,623

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

City of Springfield, Massachusetts
STABILIZATION RESERVE FUNDS
Comparative Balance Sheet

	Governmental Fund Types			
	As of 30-Sep-12	As of 30-Sep-11	As of 30-Sep-10	As of 30-Sep-09
ASSETS				
Cash and cash equivalents	$ 31,060,239	$ 45,101,830	$ 17,348,008	26,533,200
Investments	-	-	-	-
Due from other funds	-	-	-	-
Other assets	-	-	-	-
TOTAL ASSETS	**$ 31,060,239**	**$ 45,101,830**	**$ 17,348,008**	26,533,200
LIABILITIES AND FUND BALANCES				
TOTAL LIABILITIES	$ -	$ -	$ -	-
FUND BALANCES:				
Undesignated, reported in:	-	-	-	-
Special revenue funds	31,060,239	45,101,830	17,348,008	26,533,200
TOTAL FUND BALANCES	$ 31,060,239	$ 45,101,830	$ 17,348,008	26,533,200
TOTAL LIABILITIES AND FUND BALANCES	$ 31,060,239	$ 45,101,830	$ 17,348,008	26,533,200



Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

CITY OF SPRINGFIELD, MASSACHUSETTS
Statement of Revenues and Other Sources,
and Expenditures and Other Uses
Budget and Actual - General Fund
For the period ended
09/30/12

Revenues and Other Sources:	Revised Budget	Actual	Variance Over/(Under)	%
Real Estate & Personal Property Taxes	$ 157,492,170	$ 41,992,671	$(115,499,499)	26.66%
Real Estate & Personal Property Taxes - Tax Liens	-	770,528	770,528	0.00%
Motor Vehicle Excise	8,500,000	1,088,388	(7,411,612)	12.80%
Penalties, interest and other taxes	6,767,200	646,965	(6,120,235)	9.56%
Charges for Services	12,174,321	2,067,784	(10,106,537)	16.98%
Intergovernmental	326,607,051	80,799,584	(245,807,467)	24.74%
MSBA Payments	15,756,614	5,184,542	(10,572,072)	32.90%
Licenses and Permits	4,401,040	881,581	(3,519,459)	20.03%
Fines and Forfeits	522,250	64,078	(458,172)	12.27%
Interest earned on Investments	1,514,287	71,276	(1,443,011)	4.71%
Miscellaneous	4,746,410	104,018	(4,642,392)	2.19%
NSS Carryover (a.)	10,000,000	10,000,000	-	100.00%
Stabilization Reserves	8,575,000	8,575,000	-	100.00%
Other Financing Sources	5,420,000	5,420,000	-	100.00%
Total Revenues and Other Sources	$ 562,476,343	$ 157,666,415	$(404,809,928)	28.03%
Expenditures and Other Uses:				
General government	$ 22,366,942	$ 9,879,922	$ 12,487,020	44.17%
Public safety				
Police	35,252,755	8,018,897	27,233,858	22.75%
Fire	19,135,621	4,916,938	14,218,682	25.70%
Centralized Dispatch	1,363,115	367,587	995,528	26.97%
Other	3,198,616	1,081,827	2,116,789	33.82%
Health and Welfare	4,787,064	1,266,038	3,521,026	26.45%
Public works	10,322,690	5,224,598	5,098,092	50.61%
Education	354,286,267	93,236,322	261,049,945	26.32%
Culture and recreation	11,427,990	4,673,286	6,754,704	40.89%
Pension and fringe	49,372,465	31,107,943	18,264,522	63.01%
State and district assessments	2,958,885	746,497	2,212,388	25.23%
Debt Service	40,381,571	28,717,607	11,663,964	71.12%
Pay as you go Capital	2,882,300	301,510	2,580,790	10.46%
Other Financing Use - Trash Enterprise Supplement	4,740,063	4,740,063	-	100.00%
Total Expenditures and Other Uses	$ 562,476,343	$ 194,279,034	$ 368,197,309	34.54%
Excess (deficiency) of revenues and other sources over expenditures and other uses	$ -	$ (36,612,619)	$ (36,612,619)	

(a.) The FY 2012 Net School Spending Requirement shortfall must be appropriated in the School Budget pursuant to the Education Reform Act of 1993.

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

SECTION 3: REVENUES HIGHLIGHTS

The City of Springfield receives intergovernmental aid from the state on a quarterly basis

Real Estate and Personal Property taxes are due on a quarterly basis. Payments are due in August, November, February, and May

The City issues the major Motor Vehicle Excise bills in the month of March. Bills are issued throughout the fiscal year for those citizens who purchase vehicles.

MSBA payments are reimbursements received from the state for approved school construction projects. The City has been reimbursed in FY 13 for all prior grant school construction projects

The FY 2012 Net School Spending Requirement shortfall must be appropriated in the School Budget pursuant to the Education Reform Act of 1993.

Below is a detailed listing of items added to the FY 2013 Adopted Budget:

Description	Budget Activity
FY 13 Adopted	551,776,343
FY 2012 Net School Spending Shortfall (a.)	10,000,000
Cyr Arena Supplemental Budget	125,000
Transfer from Stabilization Reserves to Law Department	575,000
Total	**562,476,343**

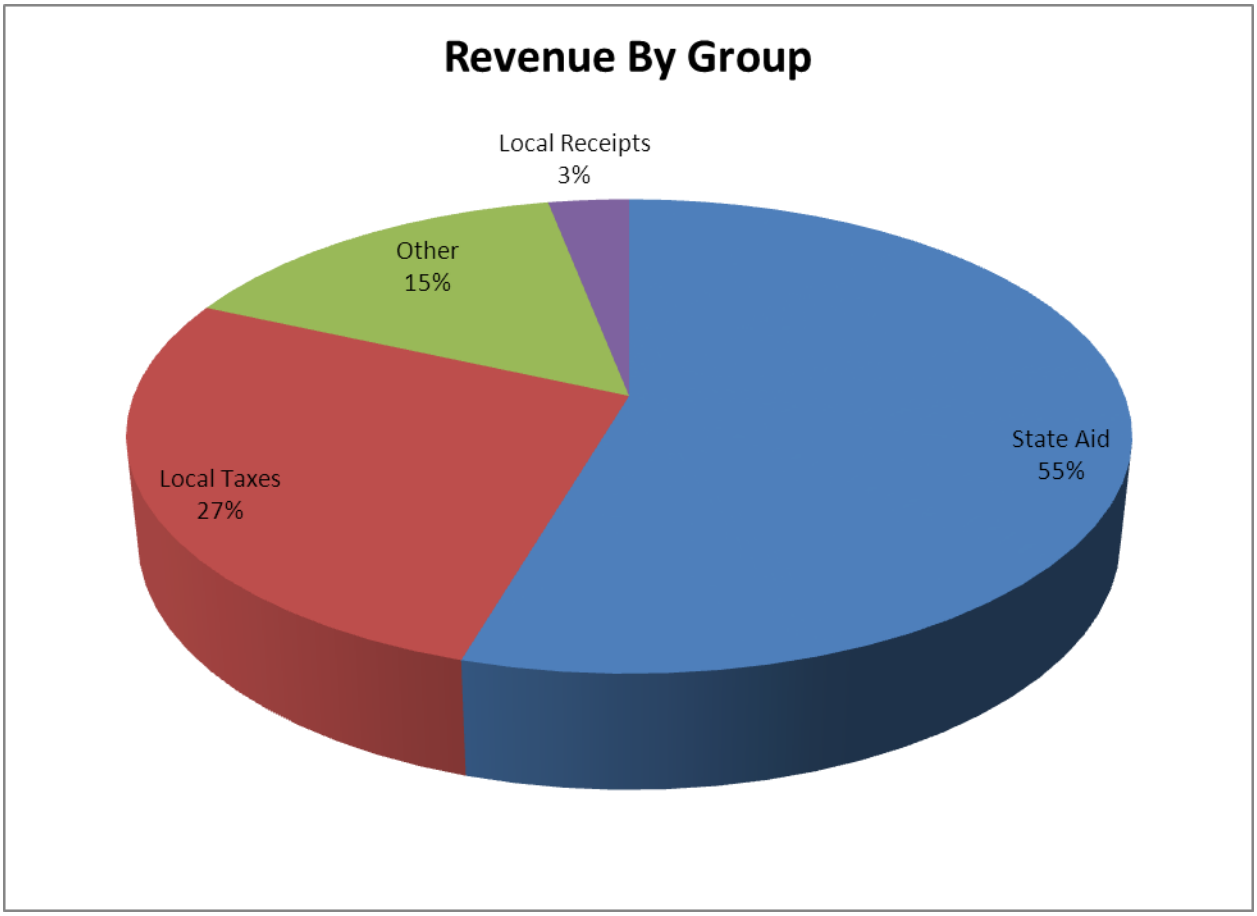
Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

CITY OF SPRINGFIELD, MASSACHUSETTS
Two Year Comparative Statement of Revenues and Other Sources
Budget and Actual - General Fund
For the period ended
09/30/12

Revenues and Other Sources:	Budget FY 2013	Budget FY 2012	Variance FY 2013 V FY 2012	Actual FY 2013	Actual FY 2012	Variance FY 2013 V FY 2012
Real Estate & Personal Property Taxes	$ 157,492,170	$ 164,232,988	$ (6,740,818)	$ 41,992,671	$ 40,578,888	$ 1,413,783
RE & PP - Tax Liens	-	-	-	770,528	774,603	(4,075)
Motor Vehicle Excise	8,500,000	7,650,000	850,000	1,088,388	1,170,244	(81,856)
Penalties, interest and other taxes	6,767,200	7,347,615	(580,415)	646,965	973,864	(326,899)
Charges for Services	12,174,321	12,072,870	101,451	2,067,784	1,843,467	224,317
Intergovernmental	326,607,051	312,815,124	13,791,927	80,799,584	77,472,378	3,327,206
MSBA Payments	15,756,614	15,991,611	(234,997)	5,184,542	-	5,184,542
Licenses and Permits	4,401,040	4,107,430	293,610	881,581	947,495	(65,913)
Fines and Forfeits	522,250	525,600	(3,350)	64,078	108,718	(44,640)
Interest earned on Investments	1,514,287	1,502,623	11,664	71,276	51,252	20,024
Miscellaneous	4,746,410	2,388,711	2,357,699	104,018	43,607	60,410
NSS Carryover (a.)	10,000,000	11,000,000	(1,000,000)	10,000,000	11,000,000	(1,000,000)
Stabilization Reserves	8,575,000	-	8,575,000	8,575,000	-	8,575,000
Other Financing Sources	5,420,000	5,000,000	420,000	5,420,000	5,000,000	420,000
Total Revenues and Other Sources	$ 562,476,343	$ 544,634,572	$ 17,841,771	$ 157,666,415	$ 139,964,516	$ 17,701,899

(a.)Net School Spending Requirement shortfall must be appropriated in the School Budget pursuant to the Education Reform Act of 1993.

Note: These statements are unaudited
Numbers may not total due to rounding



Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

CITY OF SPRINGFIELD, MASSACHUSETTS
Revenues by Department
Budget and Actual - General Fund
For the period ended
09/30/12

DEPARTMENT	ORIGINAL EST REVENUE	REVISED EST REVENUE	ACTUAL YTD REVENUE	VARIANCE OVER/(UNDER)	PCT COLLECTED
STABILZATION FUNDS	$ -	$ 8,575,000	$ 8,575,000	$ -	100.00%
FINANCE	2,121,457	2,121,457	2,968	(2,118,489)	0.14%
COMPTROLLERS OFFICE	1,617,453	1,617,453	221,540	(1,395,913)	13.70%
OFFICE OF PROCUREMENT	-	1,000,000	54,602	(945,398)	100.00%
BOARD OF ASSESSORS	4,077,200	4,077,200	7,983	(4,069,217)	0.20%
CITY TREASURER	346,300,231	346,065,231	87,342,639	(258,722,592)	25.24%
CITY COLLECTOR	168,029,716	168,029,716	43,475,588	(124,554,128)	25.87%
PARKING CLERK	1,900,000	1,900,000	432,074	(1,467,926)	22.74%
LAW	804,132	804,132	9,628	(794,504)	1.20%
PERSONNEL	1,416,500	1,416,500	5,404	(1,411,096)	0.38%
ITD	-	-	555	555	100.00%
CITY CLERK	1,035,000	1,035,000	200,095	(834,905)	19.33%
ELECTIONS COMMISSION	49,193	49,193	49,398	205	100.42%
PLANNING & ECONOMIC DEVELOPMENT	5,600	5,600	983	(4,617)	17.56%
FACILITIES MANAGEMENT	161,000	161,000	18,900	(142,100)	11.74%
POLICE	440,000	440,000	108,163	(331,837)	24.58%
FIRE	295,060	295,060	61,640	(233,420)	20.89%
BUILDING - CODE ENFORCEMENT	2,069,000	2,069,000	597,059	(1,471,942)	28.86%
HOUSING - CODE ENFORCEMENT	139,000	139,000	35,094	(103,906)	25.25%
TJO ANIMAL CONTROL	618,610	618,610	75,602	(543,008)	12.22%
SCHOOLS	3,251,000	3,251,000	79,407	(3,171,593)	2.44%
STREETS AND ENGINEERING	423,370	423,370	67,171	(356,199)	15.87%
HEALTH DEPARTMENT	491,650	491,650	43,764	(447,886)	8.90%
SPRINGFIELD CITY LIBRARY	178,000	178,000	4,093	(173,907)	2.30%
PARK	2,168,171	2,293,171	777,066	(1,516,105)	33.89%
NSS CARRYOVER	-	10,000,000	10,000,000	-	100.00%
OTHER FINANCING SOURCES	5,000,000	5,420,000	5,420,000	-	100.00%
GRAND TOTAL	**$ 542,591,343**	**$ 562,476,343**	**$ 157,666,415**	**$ (404,809,928)**	**28.03%**

Note: These statements are unaudited
Numbers may not total due to rounding

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

SECTION 4: EXPENDITURES HIGHLIGHTS

The City of Springfield makes a one time payment to the Retirement System in July of the fiscal year. The FY 13 payment was $24.3M for City workers, and $11M for School workers.

All School Teachers' salaries are expended between the months of September-June.

The Park Department runs many seasonal programs occurring in the warmer months of the fiscal year. The Park Department also operates two Municipal Golf Courses, Veterans and Franconia.

The Department of Public Works (DPW) normally experiences higher expenditures in the winter months due to the need for Snow and Ice Removal.

The City of Springfield offers Police Officers a yearly career incentive (Quinn Bill). This amount is partially subsidized by the school department for the Springfield support unit.

The City of Springfield has established a Utility Revolving Fund. Department's telephone and electric budgets are expended in the General Fund and moved to the Revolving Fund. This fund allows the City to pay telephone and electric bills in a central location.

The City of Springfield builds a lost time projection into the General Fund operating budget. The projected lost time in the FY 13 operating budget is $800K.

The City of Springfield supplements the Trash Enterprise fund with a payment from the General Fund operating budget. This supplement is necessary to fully fund the City's solid waste operation. In FY 13 this supplemental payment was made in July for $4.7M.

$42.3M has been encumbered in the current budget by the City to cover obligations arising from purchase orders and contracts; that are chargeable to a department's budget but not yet paid from a specific appropriation account.

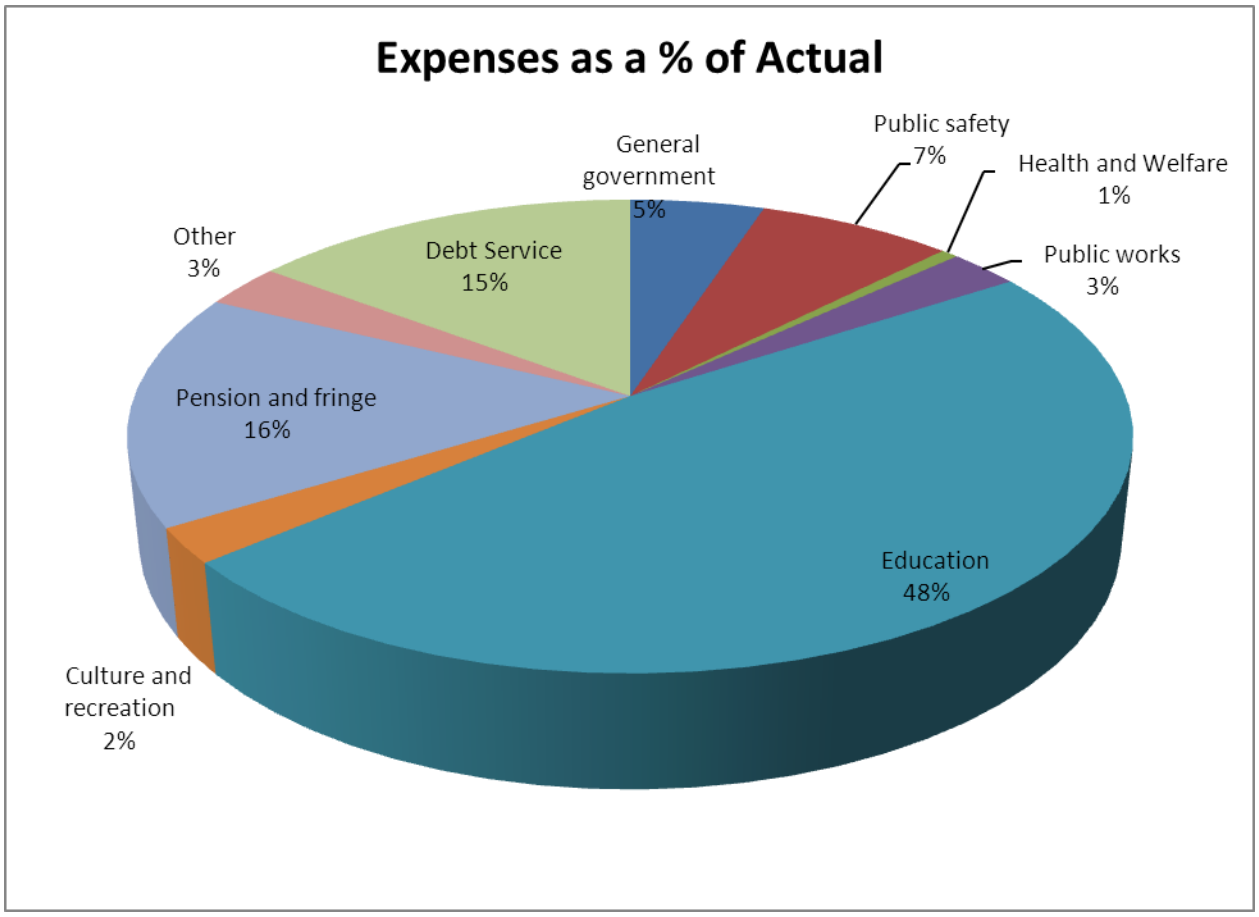
The City expended $28.1M of the Long Term Debt budget in the month of September.

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

CITY OF SPRINGFIELD, MASSACHUSETTS
Two Year Comparative Statement of Expenditures and Other Uses
Budget and Actual - General Fund
For the period ended
09/30/12

Expenditures and Other Uses:	Budget FY 2013	Budget FY 2012	Variance FY 2013 V FY 2012	Actual FY 2013	Actual FY 2012	Variance FY 2013 V FY 2012
General government	$ 22,366,942	$ 25,656,148	$ (3,289,206)	$ 9,879,922	$ 10,734,538	$ (854,616)
Public safety						
Police	35,252,755	37,083,691	(1,830,936)	8,018,897	8,394,618	(375,722)
Fire	19,135,621	18,066,786	1,068,835	4,916,938	4,986,655	(69,717)
Centralized Dispatch	1,363,115	1,422,349	(59,234)	367,587	380,071	(12,484)
Other	3,198,616	3,140,696	57,920	1,081,827	1,042,278	39,549
Health and Welfare	4,787,064	3,937,959	849,105	1,266,038	1,152,116	113,922
Public works	10,322,690	10,144,935	177,755	5,224,598	5,507,009	(282,412)
Education	354,286,267	341,834,328	12,451,939	93,236,322	94,949,962	(1,713,641)
Culture and recreation	11,427,990	11,229,316	198,674	4,673,286	3,945,708	727,578
Pension and fringe	49,372,465	49,343,141	29,324	31,107,943	30,863,329	244,614
State and district assessments	2,958,885	2,997,635	(38,750)	746,497	714,879	31,618
Debt Service	40,381,571	40,778,830	(397,259)	28,717,607	28,662,704	54,903
Pay as you go Capital	2,882,300	3,018,418	(136,118)	301,510	51,000	250,510
Other Financing Use - Trash Enterprise Supplement	4,740,063	4,548,790	191,273	4,740,063	4,548,790	191,273
Total Expenditures and Other Uses	**$ 562,476,343**	**$ 553,203,022**	**$ 9,273,322**	**$ 194,279,034**	**$ 195,933,658**	**$ (1,654,624)**

Note: These statements are unaudited
Numbers may not total due to rounding



Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

CITY OF SPRINGFIELD, MASSACHUSETTS
Expenditures by Department
Budget and Actual - General Fund
For the period ended
09/30/12

DEPARTMENT	ORIGINAL APPROPRIATION	REVISED BUDGET	EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	% Used
GENERAL GOVERNMENT:						
CITY COUNCIL	$ 346,717	$ 346,717	$ 83,234	$ 1,360	$ 262,123	24.40%
MAYOR	437,294	437,294	97,943	334	339,017	22.47%
CHIEF ADMIN FINANCIAL OFFICER	229,927	229,927	53,405	1,917	174,604	24.06%
311 CALL CENTER	344,785	344,785	132,332	963	211,490	38.66%
FINANCE	497,608	553,721	114,420	66,009	373,292	32.58%
COMPTROLLERS OFFICE	591,626	591,626	131,956	-	459,670	22.30%
DIVISION OF INTERNAL AUDIT	399,438	399,438	62,215	63,300	273,923	31.42%
OFFICE OF PROCUREMENT	415,248	415,248	86,987	41,991	286,270	31.06%
BOARD OF ASSESSORS	705,826	705,826	138,130	3,380	564,316	20.05%
CITY TREASURER	2,858,762	2,858,762	508,483	1,209,182	1,141,097	60.08%
CITY COLLECTOR	419,768	419,768	85,032	10,212	324,525	22.69%
PARKING CLERK	1,497,884	1,497,884	-	873,766	624,118	58.33%
LAW	1,237,488	1,812,488	940,316	22,603	849,569	53.13%
PERSONNEL	3,257,911	3,257,911	621,117	254,858	2,381,936	26.89%
PAYROLL DEPT	111,735	111,735	633	1,675	109,426	2.07%
ITD	2,909,117	2,909,117	1,346,013	147,233	1,415,871	51.33%
CITY CLERK	480,032	480,032	107,702	2,381	369,950	22.93%
ELECTIONS COMMISSION	477,427	477,427	146,069	4,795	326,564	31.60%
PLANNING & ECONOMIC DEVELOPMENT	1,286,155	1,286,155	388,050	162,466	735,639	42.80%
FACILITIES MANAGEMENT	3,592,449	3,592,449	911,422	1,017,099	1,663,928	53.68%
CAPITAL ASSET MANAGEMENT	382,732	382,732	35,605	3,337	343,791	10.17%
LOST TIME	(800,000)	(800,000)	-	-	(800,000)	0.00%
RESERVE FOR CONTINGENCIES	300,000	55,900	-	-	55,900	0.00%
TOTAL GENERAL GOVERNMENT	**$ 21,979,929**	**$ 22,366,942**	**$ 5,991,062**	**$ 3,888,860**	**$ 12,487,020**	**44.17%**
PUBLIC SAFETY:						
POLICE	$ 35,252,755	$ 35,252,755	$ 7,694,365	$ 324,532	$ 27,233,858	22.75%
FIRE	19,135,621	19,135,621	4,572,734	344,205	14,218,683	25.70%
BUILDING - CODE ENFORCEMENT	1,361,150	1,361,150	305,052	-	1,056,098	22.41%
HOUSING - CODE ENFORCEMENT	619,253	619,253	138,053	91,109	390,090	37.01%
CENTRALIZED DISPATCH	1,363,115	1,363,115	367,144	443	995,528	26.97%
TJO ANIMAL CONTROL	1,218,213	1,218,213	261,826	285,787	670,601	44.95%
TOTAL PUBLIC SAFETY	**$ 58,950,107**	**$ 58,950,107**	**$ 13,339,174**	**$ 1,046,075**	**$ 44,564,858**	**24.40%**
EDUCATION:						
SCHOOLS	$ 344,286,267	$ 354,286,266	$ 59,074,950	$ 34,161,372	$ 261,049,944	26.32%
TOTAL EDUCATION	**$ 344,286,267**	**$ 354,286,266**	**$ 59,074,950**	**$ 34,161,372**	**$ 261,049,944**	**26.32%**
HEALTH & WELFARE:						
HEALTH DEPARTMENT	$ 1,337,057	$ 1,338,486	$ 291,890	$ 39,966	$ 1,006,630	24.79%
ELDER AFFAIRS	298,516	298,516	74,254	2,419	221,843	25.68%
VETERANS	3,151,491	3,150,062	856,687	821	2,292,554	27.22%
TOTAL HEALTH & WELFARE	**$ 4,787,064**	**$ 4,787,064**	**$ 1,222,831**	**$ 43,207**	**$ 3,521,026**	**26.45%**

Note: These statements are unaudited
Numbers may not total due to rounding

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

CITY OF SPRINGFIELD, MASSACHUSETTS
Expenditures by Department
Budget and Actual - General Fund
For the period ended
09/30/12

DEPARTMENT	ORIGINAL APPROPRIATION	REVISED BUDGET	EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	% Used
PUBLIC WORKS:						
STREETS AND ENGINEERING	$ 10,078,590	$ 10,322,690	$ 4,682,412	$ 542,185	$ 5,098,092	50.61%
TOTAL PUBLIC WORKS:	**$ 10,078,590**	**$ 10,322,690**	**$ 4,682,412**	**$ 542,185**	**$ 5,098,092**	**50.61%**
CULTURE & RECREATION:						
SPRINGFIELD CITY LIBRARY	$ 3,555,318	$ 3,755,318	$ 712,847	$ 378,299	$ 2,664,172	29.06%
PARK	7,547,672	7,672,672	2,211,708	1,370,433	4,090,532	46.69%
TOTAL CULTURE & RECREATION	**$ 11,102,990**	**$ 11,427,990**	**$ 2,924,555**	**$ 1,748,731**	**$ 6,754,704**	**40.89%**
PENSION & FRINGE:						
FRINGE BENEFITS	$ 23,430,308	$ 23,430,308	$ 5,350,871	$ -	$ 18,079,437	22.84%
CITY RETIREMENT CONTRIBUTION	24,622,157	24,622,157	24,437,072	-	185,085	99.25%
MUSEUM	1,320,000	1,320,000	660,000	660,000	-	100.00%
TOTAL PENSION & FRINGE	**$ 49,372,465**	**$ 49,372,465**	**$ 30,447,943**	**$ 660,000**	**$ 18,264,522**	**63.01%**
STATE & DISTRICT ASSESSMENTS:						
STATE & DISTRICT ASSESSMENTS	$ 2,958,885	$ 2,958,885	$ 746,497	$ -	$ 2,212,388	25.23%
TOTAL STATE & DISTRICT ASSESSMENTS	**$ 2,958,885**	**$ 2,958,885**	**$ 746,497**	**$ -**	**$ 2,212,388**	**25.23%**
DEBT SERVICE:						
DEBT SERVICE	$ 40,381,571	$ 40,381,571	$ 28,717,607	$ -	$ 11,663,964	71.12%
TOTAL DEBT SERVICE	**$ 40,381,571**	**$ 40,381,571**	**$ 28,717,607**	**$ -**	**$ 11,663,964**	**71.12%**
PAY AS YOU GO CAPITAL:						
PAY AS YOU GO CAPITAL	$ 2,938,413	$ 2,882,300	$ 14,260	$ 287,250	$ 2,580,790	10.46%
TOTAL PAY AS YOU GO CAPITAL	**$ 2,938,413**	**$ 2,882,300**	**$ 14,260**	**$ 287,250**	**$ 2,580,790**	**10.46%**
OTHER FINANCING USES						
TRASH SUPPLEMENT	$ 4,740,063	$ 4,740,063	$ 4,740,063	$ -	$ -	100.00%
TOTAL OTHER FINANCING USES	**$ 4,740,063**	**$ 4,740,063**	**$ 4,740,063**	**$ -**	**$ -**	**100.00%**
GRAND TOTAL	**$ 551,576,344**	**$ 562,476,343**	**$ 151,901,353**	**$ 42,377,681**	**$ 368,197,309**	**34.54%**

Note: These statements are unaudited
Numbers may not total due to rounding

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

SECTION 5: GRANTS / GIFTS

The City of Springfield received roughly $26.4 million in grant funding last fiscal year (2012).[1] This funding enhanced programming and services throughout the City. Through the use of grants, Springfield is able to hire personnel, as well as procure professional services, supplies, and equipment that the general fund or taxpayers would otherwise be required to pay for or do without.

Grant Funds Accepted for this Financial Reporting Period: $6,849,605

City Department:	Facilities
Grant Award:	161,592
Source:	MA Department of Energy Resources
Purpose:	To purchase and install boilers and indirect water tanks at Pine Point Library and an energy management system at Balliet Middle School
City Department:	Parks
Grant Award:	1,000,000
Source:	MA Executive Office of Energy & Environmental Affairs
Purpose:	For debris removal, reforestation and stabilization to Park areas: Murphy Park, Nathan Bill Park, Murray Park, South Branch Parkway Park, Camp Wilder, Riverfront Park, Leonardo DaVinci Park, Veterans Golf Course and Woodland Road.
City Department:	Mayor's Office
Grant Award:	78,000
Source:	MA Office of the Attorney General
Purpose:	For the operation of a Local Consumer Program to educate consumers on their consumer protection rights and to mediate consumer complaints.
City Department:	Elections
Grant Award:	10,000
Source:	MA Elections Commission
Purpose:	For voter registration and voter education activities.

[1] Does not include the Springfield Public Schools (SPS) which receives an estimated $68.6M in grant funding annually. The City's Grants Director has written/submitted three grants for the SPS and continues to work with its staff when asked.

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

City Department:	Library
Grant Award:	195,695
Source:	MA Department of Elementary & Secondary Education
Purpose:	For the Library Department Distance Learning Program.
City Department:	Public Works
Grant Award:	3,625,910
Source:	MA Department of Transportation
Purpose:	For costs associated with roadway improvements per the State's Chapter 90 Program.
City Department:	Parks
Grant Award:	125,000
Source:	MA Clean Energy Center
Purpose:	For tree planting in the tornado zone primarily in back yards to improve the energy efficiency of homes.
City Department:	Elders
Grant Award:	88,890
Source:	Greater Springfield Senior Services Board
Purpose:	For outreach related activities to Elders.
City Department:	Elders
Grant Award:	167,188
Source:	Executive Office of Elder Affairs
Purpose:	To support the activities of the Councils on Aging.
City Department:	Elders
Grant Award:	167,307
Source:	Senior Services America, Inc.
Purpose:	To support a Senior Aide work program within the City of Springfield.
City Department:	Fire
Grant Award:	5,000
Source:	MA Department of Conservation & Recreation
Purpose:	To replace equipment that was damaged by use in response to the June 1, 2011 tornado.

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

City Department:	Fire
Grant Award:	160,238
Source:	Federal Emergency Management Agency
Purpose:	To assist the Fire Department in purchasing and upgrading safety equipment specifically radio and communications equipment to comply with the Federal narrow banding mandate.
City Department:	Parks
Grant Award:	400,000
Source:	MA Department of Conservation & Recreation
Purpose:	To re-plant public shade trees in the tornado zone.
City Department:	Health & Human Services
Grant Award:	60,082
Source:	MA Department of Public Health
Purpose:	To support the department's tobacco control program operations.
City Department:	Health & Human Services
Grant Award:	7,500
Source:	MA Department of Public Health
Purpose:	To improve policy, systems and environmental conditions that impact the community through the Mass In Motion / Community Transformation Initiative.
City Department:	Health & Human Services
Grant Award:	90,000
Source:	MA Department of Public Health
Purpose:	To provide MassCall prevention services.
City Department:	Health & Human Services
Grant Award:	10,000
Source:	Health Resources
Purpose:	To support healthier eating and active living in the expansion of the corners store pilot program.
City Department:	Animal Control
Grant Award:	12,000
Source:	MA Animal Coalition
Purpose:	To spay and neuter animals.

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

City Department:	Library
Grant Award:	14,000
Source:	MA Department of Early Education & Care
Purpose:	To provide outreach, child/family programming, professional development opportunities and literacy events.
City Department:	Library
Grant Award:	134,413
Source:	Springfield Libraries Foundation, Inc.
Purpose:	To fund operational needs and capital improvements to the Mason Square library.
City Department:	Police
Grant Award:	170,077
Source:	Department of Justice
Purpose:	To fund the Edward Byrne JAG grant for equipment and technology upgrades.
City Department:	Dispatch
Grant Award:	149,713
Source:	MA 911 Department
Purpose:	For training related costs of the 911 system.
City Department:	Library
Grant Award:	17,000
Source:	MA Board of Library Commissioners
Purpose:	For library workshops to individuals of diverse geographic, cultural and socioeconomic backgrounds.

	Quarter 2011	**Quarter 2012**	**Quarter 2013**
Q1	3,026,944	3,126,354	6,849,605
Q2	2,488,853	2,918,980	
Q3	2,614,439	11,729,961	
Q4	8,561,336	8,603,639	
TOTAL	**16,691,572**	**26,378,934**	**6,849,605**

Note – The difference between FY11 and FY12 Q1 and FY13 Q1 is that Chapter 90 was not calculated into the grants total in FY11 and FY12.

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

SECTION 6: FORECAST

The forecast looks at the two major aspects of the annual operating budget:

- Revenue – The revenue forecast shows the FY09, FY10, FY11 and FY12 actual collections along with what was budgeted for FY13. In addition, it shows a projection for the FY13 year-end based on year to date collections and known collection cycles for the remainder of the fiscal year.
- Spending – The spending forecast shows the FY09, FY10, FY11 and FY12 actual spending by department along with what was budgeted for spending for FY13. In addition, it shows a projection for the FY13 year-end based on year to date spending and knowledge and trends of the department.
- ***The forecast is subject to change before the end of the fiscal year and does not represent a definite surplus or deficiency.***

FY13 Adopted Budget	
FY13 Adopted Spending	551,776,343
FY13 Adopted Revenue	551,776,343
Surplus / (Deficiency)	0
FY13 Revised Spending	562,476,343
FY13 Revised Revenue	562,476,343
Surplus / (Deficiency)	0
FY13 Projected Budget (as of 9/30/12)	
FY13 Projected Spending	562,476,340
FY13 Projected Revenue	562,476,343
Surplus / (Deficiency)	3

Revenue Projection:

There are some exposures in revenue that are currently on the City's list of "watch' items. We will continue to monitor these areas for potential exposures as collections continue during the fiscal year. They include:

- Property Taxes / Tax Liens – The budget contemplates 100% collection in the property tax levy and 0% collection in tax liens. History shows that the collection of taxes often equals 100% of the budgeted levy with the combination of current year collections and liens. We look at these items in conjunction when reviewing the quarterly projection.

- Administrative Rate – In FY13, the budget contemplated $2.1M in an administrative rate charged to School Department grants to account for the back-office functions of the City. The rate used was 4% however it has been discussed by DESE that a lower rate may be utilized for this purpose. The City waits for an official ruling on this matter to determine if an exposure exists.

- Investment Income – In order to meet our cash flow needs for storm related costs, the City has been allowed to deficit spend and use cash on hand to ensure timely payment of bills. This has left less cash to invest lowering our investment earnings potential. With borrowing underway to meet the storm needs, we may see some recovery in this revenue source as the year progresses.

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

City of Springfield, Massachusetts
Quarterly Financial Report
QE 9/30/2012

City Revenues	ACTUAL FY2009 GENERAL FUND	ACTUAL FY2010 GENERAL FUND	ACTUAL FY2011 GENERAL FUND	ACTUAL FY2012 GENERAL FUND	FISCAL 2013 ADOPTED GENERAL FUND	FISCAL 2013 REVISED GENERAL FUND	FISCAL 2013 PROJECTED Q1	FISCAL 2013 PROJECTED Surplus/ (Deficit)	Notes
Tax Levy	153,506,032	163,097,495	171,233,218	169,389,217	162,992,170	162,992,170	162,992,170	162,992,170	
Increase Levy 2.5%	3,856,236	4,136,499	-	-	-	-	-	-	
Subtotal	**157,362,268**	**167,233,994**	**171,233,218**	**169,389,217**	**162,992,170**	**162,992,170**	**162,992,170**	**162,992,170**	
New Growth	5,735,227	3,590,038	-	-	-	-	-	-	
Subtotal of Gross Tax Levy	**163,097,495**	**170,824,032**	**171,233,218**	**169,389,217**	**162,992,170**	**162,992,170**	**162,992,170**	**162,992,170**	
Actual Levy Billed	*163,078,974*	*170,816,604*	*166,482,619*	*169,389,217*	-	-			
Less Overlay	(5,752,571)	(5,369,540)	(2,506,666)	(5,144,964)	(5,500,000)	(5,500,000)	(5,500,000)	(5,500,000)	
Subtotal of Net Tax Levy	**157,344,924**	**165,454,492**	**168,726,552**	**164,244,253**	**157,492,170**	**157,492,170**	**157,492,170**	**157,492,170**	
					-	-			
CITY RECEIPTS					-	-			
Real & Personal Property Taxes, net of refunds	158,790,820	165,100,169	160,748,781	159,593,039	157,492,170	157,492,170	157,492,170	-	*Watch: Usually collect 98% of budgeted an make up remainder through liens*
Local Receipts	***47,973,995***	***42,537,995***	***38,431,505***	***42,035,174***	***38,385,508***	***39,275,508***	***39,275,508***	-	
Tax Liens	3,309,149	3,768,128	3,448,477	3,833,024	-	-	-	-	*Watch: Not budgeted but offsets tax collections to equal 100%*
Motor Vehicle and other excise taxes	8,250,450	7,480,671	7,691,115	8,814,442	8,500,000	8,500,000	8,500,000	-	
Hotel/Motel tax	876,507	727,765	767,571	872,952	1,300,000	1,065,000	1,065,000	-	
Charges for services	228,532	277,470	242,743	284,844	163,000	163,000	163,000	-	
Penalties and interest on taxes	2,274,197	1,563,609	1,677,741	1,736,960	1,630,000	1,630,000	1,630,000	-	
Payments in lieu of taxes	5,820,090	6,523,169	5,082,080	5,054,420	4,012,200	4,012,200	4,012,200	-	
Licenses and permits	4,106,114	2,573,866	3,583,060	2,565,744	2,979,370	2,979,370	2,979,370	-	
Fines and forfeitures	366,049	353,135	385,262	241,848	397,710	397,710	397,710	-	
Departmental and other	19,975,402	16,338,068	12,843,357	15,975,932	17,570,748	18,695,748	18,695,748	-	*Watch: Adminstrative rate for School grants may not be collected*
Investment Income	2,502,586	724,942	1,397,809	262,013	479,108	479,108	479,108	-	*Watch: Lower due to use of cash for storm costs*
Not Classified	264,919	2,207,172	1,312,290	2,392,995	1,353,372	1,353,372	1,353,372	-	
QSCB Interest Earnings	-	-	-	-	-	-			
Subtotal City Receipts	**206,764,815**	**207,638,164**	**199,180,286**	**201,628,213**	**195,877,678**	**196,767,678**	**196,767,678**	-	
					-	-			
STATE RECEIPTS					-	-			
School Aid	***258,525,872***	***280,776,073***	***283,699,293***	***294,452,761***	***305,540,764***	***305,540,764***	***305,540,764***	-	
School Building Assistance	16,579,543	16,635,321	16,703,948	15,756,611	15,756,614	15,756,614	15,756,614	-	
Chapter 70	235,104,589	257,480,215	262,704,774	275,403,995	285,844,612	285,844,612	285,844,612	-	
Charter Tuition Assessment Reimbursement	6,841,740	6,660,537	4,290,571	3,292,155	3,939,538	3,939,538	3,939,538	-	
Prior Year State Aid	-	-	-	-	-	-			
School Construction				-	-	-			
Chater School Capital Reimbursement				-	-	-			
					-	-			
General Government Aid	***45,564,480***	***35,347,683***	***35,925,147***	***35,677,780***	***36,172,901***	***36,172,901***	***36,172,901***	-	
Unrestricted Gen. Gov't Aid	40,873,943	33,354,581	32,020,398	32,020,398	32,020,398	32,020,398	32,020,398	-	
Meals Tax	1,651,219	848,785	1,513,257	1,624,115	1,600,000	1,600,000	1,600,000	-	
Highway Revenue	719		620,806	-	-	-	-	-	
Police Career Incentive	1,858,777	348,696	175,070	-	-	-	-	-	
Urban Renewal Projects	102,468	41,826	5,556	-	-	-	-	-	
Veterans Benefits	934,020	736,372	1,213,403	1,521,142	2,040,368	2,040,368	2,040,368	-	
Exempt: Vets, Blind Persons & Surv Spouses	123,994	-	347,986	482,428	482,428	482,428	482,428	-	
Exempt: Elderly	-	-	-	-	-	-	-	-	
State Owned Land	19,340	17,423	28,671	29,697	29,707	29,707	29,707	-	
					-	-			
Subtotal State Aid	**304,090,352**	**316,123,756**	**319,624,440**	**330,130,541**	**341,713,665**	**341,713,665**	**341,713,665**	-	
					-	-			
RESERVES	**11,304,220**	**10,000,000**	**13,500,000**	**6,454,644**	**8,000,000**	**8,575,000**	**8,575,000**	-	
					-	-			
Total Revenue	**522,159,387**	**533,761,920**	**532,304,726**	**538,213,398**	**545,591,343**	**547,056,343**	**547,056,343**	-	
					-	-			
Other Sources					-	-			
Continuing Appropriations		2,845,611	1,897,128	-	-	-	-	-	
NSS Shortfall		4,240,600	10,881,300	18,636,202	-	10,000,000	10,000,000	-	
Certified Free Cash		726,820	-	1,458,300	-	-	-	-	
Other Financing Sources		776,758	3,048,226	5,000,000	6,185,000	5,420,000	5,420,000	-	
Subtotal Other Sources	-	8,589,789	15,826,654	25,094,502	6,185,000	15,420,000	15,420,000	-	
TOTAL SOURCES	**522,159,387**	**542,351,709**	**548,131,380**	**563,307,900**	**551,776,343**	**562,476,343**	**562,476,343**	-	

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

Spending Projection:
There are some potential spending exposures that the City will continue to work with the Departments to address during the fiscal year. They include:

- Assessors – The Assessor's office will be seeking assistance to update commercial appraisals from an outside vendor. In addition, tough books have been requested for appraising staff to get data entry completed in the field. The cost is estimated at $115,000 that will require an appropriation.
- Treasurer – Funding for the tax title program which generates revenue is an annual item to watch as work as completed throughout the year. No estimates of funding need have been determined to date.
- Law – Settlements are an annual watch item. No estimates of funding need have been determined to date.
- Capital Asset Construction – Due to the loss of 2 internal project managers, the City has had to use private vendors which have a higher cost to manage many of the City's capital projects. No estimates of funding need have been determined to date.
- Police – The Police department is considering a class of 15 beginning in January to accommodate a staffing need due to retirements. Estimates assume that surplus funds within the department's existing budget can accommodate the cost of the class.
- Public Works – Snow and ice costs will continue to be watched as the snow season progresses. No estimates of funding need have been determined to date.
- Veterans' – Caseload continues to rise and will continue to be watched as the year progresses to determine the need.
- Benefits – Based on spending to date, the accounts for benefits are projecting small surpluses that will help in addressing the needs identified above.
- Enterprise Funds – Because commercial properties continued to receive City service through September, the disposal costs were borne by the City and may create an exposure. This item will continue to be watched now that multi-family units have transitioned to private vendors.

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

City of Springfield, Massachusetts
Quarterly Financial Report
QE 9/30/2012

Fund	Func	Dept	Classification	FISCAL 2009 ACTUAL GENERAL FUND	FISCAL 2010 ACTUAL GENERAL FUND	FISCAL 2011 ACTUAL GENERAL FUND	FISCAL 2012 ACTUAL GENERAL FUND	FISCAL 2013 ADOPTED GENERAL FUND	FISCAL 2013 REVISED GENERAL FUND	FISCAL 2013 PROJECTED Q1	FISCAL 2013 PROJECTED Q1 - Surplus/ (Deficit)	Notes
0100	**10**	**111**	**CITY COUNCIL**	**259,987**	**294,564**	**324,078**	**350,388**	**346,717**	**346,717**	**346,717**	**(0)**	*Watch: Funds for staff hours increase.*
0100	10	111	Personal Services	250,103	282,566	312,506	336,760	334,979	334,979	334,979	(0)	
0100	10	111	Expenses	9,884	11,998	11,572	13,628	11,738	11,738	11,738	0	
0100	10	111	Capital	-	-	-	-	-	-		-	
								-	-			
0100	**10**	**121**	**MAYOR**	**422,555**	**423,982**	**431,283**	**419,536**	**437,294**	**437,294**	**437,294**	**(0)**	
0100	10	121	Personal Services	414,984	418,671	424,330	411,343	422,911	422,911	422,911	(0)	
0100	10	121	Expenses	7,571	5,311	6,953	8,193	14,383	14,383	14,383	-	
0100	10	121	Capital	-	-	-	-	-	-		-	
								-	-			
0100	**10**	**133**	**FINANCE**	**581,734**	**596,286**	**835,260**	**432,091**	**497,608**	**497,608**	**497,608**	**0**	
0100	10	133	Personal Services	516,590	532,543	538,986	408,003	472,296	472,296	472,296	0	
0100	10	133	Expenses	63,967	63,743	296,274	24,088	25,312	25,312	25,312	-	
0100	10	133	Capital	1,177	-	-	-	-	-	-	-	
0100	**10**	**129**	**DEPARTMENT OF ADMINISTRATION AND FINANCE**	**-**	**491,302**	**512,742**	**227,438**	**229,927**	**229,927**	**229,927**	**(0)**	
0100	10	129	Personal Services	-	89,038	264,649	192,184	207,061	207,061	207,061	(0)	
0100	10	129	Expenses	-	402,264	248,093	35,254	22,866	22,866	22,866	-	
0100	10	129	Capital	-	-	-	-	-	-	-	-	
								-	-			
0100	**10**	**134**	**CITISTAT**	**521,838**	**657,664**	**198,605**	**-**	**-**	**-**	**-**	**-**	
0100	10	134	Personal Services	489,205	647,106	188,415	-	-	-	-	-	
0100	10	134	Expenses	10,646	10,558	10,190	-	-	-	-	-	
0100	10	134	Capital	21,987	-	-		-	-	-	-	
								-	-			
0100	**10**		**DEPT 3-1-1**	-	-	**417,662**	**381,799**	**344,785**	**344,785**	**344,785**	**(0)**	
0100	10		Personal Services	-	-	410,832	376,976	289,735	289,735	289,735	(0)	
0100	10		Expenses	-	-	6,830	3,870	53,850	53,850	53,850	-	
0100	10		Capital	-	-	-	953	1,200	1,200	1,200	-	
								-	-			
0100	**10**	**135**	**COMPTROLLER**	**2,696,149**	**673,357**	**671,408**	**645,566**	**591,626**	**591,626**	**591,626**	**(0)**	
0100	10	135	Personal Services	880,775	662,585	659,607	635,544	580,615	580,615	580,615	(0)	
0100	10	135	Expenses	1,815,374	10,772	11,801	10,022	11,011	11,011	11,011	-	
0100	10	135	Capital	-	-	-	-	-	-		-	
								-	-			
0100	**10**	**136**	**INTERNAL AUDIT**	**-**	**419,488**	**404,648**	**291,700**	**399,438**	**399,438**	**399,438**	**0**	
0100	10	136	Personal Services	-	194,331	219,521	115,264	242,622	242,622	242,622	0	
0100	10	136	Expenses	-	225,157	185,127	176,437	156,816	156,816	156,816	(0)	
0100	10	136	Capital	-	-	-	-	-	-	-	-	
								-	-			
0100	**10**	**138**	**OFFICE OF PROCUREMENT**	**553,589**	**545,741**	**532,082**	**426,772**	**415,247**	**415,247**	**415,247**	**(0)**	
0100	10	138	Personal Services	469,560	476,351	420,880	313,834	317,494	317,494	317,494	(0)	
0100	10	138	Expenses	84,029	69,390	111,202	112,937	97,754	97,754	97,754	-	
0100	10	138	Capital	-	-	-	-	-	-		-	
0100	**10**	**141**	**BOARD OF ASSESSORS**	**901,589**	**838,693**	**925,673**	**782,188**	**705,826**	**705,826**	**705,826**	**0**	*Watch: Assessing assistance for commercial properti*
0100	10	141	Personal Services	635,983	656,375	647,839	589,440	573,696	573,696	573,696	0	
0100	10	141	Expenses	265,606	182,318	277,834	192,748	132,130	132,130	132,130	-	
0100	10	141	Capital	-	-	-	-	-	-		-	
0100	**10**	**145**	**TREASURER**	**3,187,507**	**3,585,880**	**3,392,915**	**2,942,697**	**2,858,761**	**2,858,761**	**2,858,762**	**0**	*Watch: Tax Title and Medicare*
0100	10	145	Personal Services	360,597	465,419	482,049	422,922	435,929	435,929	435,929	(0)	
0100	10	145	Expenses	2,826,910	3,120,461	2,910,866	2,519,774	2,422,833	2,422,833	2,422,833	0	
0100	10	145	Capital	-	-	-	-	-	-		-	
								-	-			
0100	**10**	**146**	**COLLECTOR**	**496,060**	**418,603**	**422,436**	**412,668**	**419,768**	**419,768**	**419,768**	**(0)**	
0100	10	146	Personal Services	351,856	274,482	317,547	309,539	300,316	300,316	300,316	(0)	
0100	10	146	Expenses	144,204	144,121	104,889	103,129	119,452	119,452	119,452	(0)	
0100	10	146	Capital	-	-	-	-	-	-		-	
0100	**10**	**151**	**LAW**	**2,124,878**	**1,847,046**	**1,948,959**	**1,813,448**	**1,237,488**	**1,812,488**	**1,812,488**	**0**	*Watch: Settlements*
0100	10	151	Personal Services	1,359,730	1,240,436	1,288,942	1,140,557	713,145	713,145	713,145	0	
0100	10	151	Expenses	764,766	606,610	660,017	672,891	524,343	1,099,343	1,099,343	0	
0100	10	151	Capital	382	-	-	-	-	-		-	
0100	**10**	**152**	**DEPARTMENT OF HUMAN RESOURCES & LABOR RELATIONS**	**6,035,365**	**1,156,510**	**1,277,898**	**1,552,329**	**1,322,842**	**1,322,842**	**1,322,842**	**0**	
0100	10	152	Personal Services	1,090,200	986,413	1,072,330	1,012,224	776,545	776,545	776,545	0	
0100	10	152	Expenses	4,945,165	170,097	205,568	540,105	546,297	546,297	546,297	0	
0100	10	152	Capital	-	-	-	-	-	-	-	-	

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0100	**10**	**154**	**PAYROLL DEPARTMENT**	**-**	**1,383,824**	**590,032**	**301,934**	**111,735**	**111,735**	**111,735**	**-**	
0100	10	154	Personal Services	-	292,671	256,902	254,201	-	-	-	-	
0100	10	154	Expenses	-	1,091,153	332,641	47,732	111,735	111,735	111,735	-	
0100	10	154	Capital	-	-	489	-	-	-	-	-	
0100	**10**	**155**	**INFORMATION TECHNOLOGY DEPARTMENT**	**3,121,851**	**2,857,462**	**2,644,941**	**2,759,773**	**2,909,117**	**2,909,117**	**2,909,117**	**(0)**	
0100	10	155	Personal Services	1,168,921	1,154,382	1,091,014	998,311	962,706	962,706	962,706	(0)	
0100	10	155	Expenses	1,648,774	1,561,973	1,410,975	1,649,702	1,846,411	1,846,411	1,846,411	(0)	
0100	10	155	Capital	304,156	141,107	142,952	111,759	100,000	100,000	100,000	-	
0100	**10**	**161**	**CITY CLERK**	**547,571**	**548,939**	**556,586**	**478,959**	**480,031**	**480,031**	**480,031**	**-**	
0100	10	161	Personal Services	514,252	503,479	512,152	436,949	435,713	435,713	435,713	0	
0100	10	161	Expenses	33,319	45,460	44,434	42,010	44,318	44,318	44,318	(0)	
0100	10	161	Capital	-	-	-	-	-	-	-	-	
								-	-			
0100	**10**	**162**	**BOARD OF ELECTION COMMISSION**	**378,462**	**530,890**	**497,709**	**586,276**	**477,427**	**477,427**	**477,427**	**(0)**	
0100	10	162	Personal Services	295,250	419,435	368,245	438,234	378,983	378,983	378,983	(0)	
0100	10	162	Expenses	83,212	111,455	129,464	148,042	98,444	98,444	98,444	-	
0100	10	162	Capital	-	-	-	-	-	-		-	
0100	**10**	**175**	**PLANNING DEPARTMENT**	**944,582**	**974,724**	**1,202,671**	**1,067,718**	**1,286,155**	**1,286,155**	**1,286,155**	**0**	
0100	10	175	Personal Services	666,893	767,344	773,281	714,135	848,801	848,801	848,801	0	
0100	10	175	Expenses	277,689	207,380	429,390	353,583	437,354	437,354	437,354	0	
0100	10	175	Capital	-	-	-	-	-	-	-	-	
0100	**10**	**190**	**FACILITIES MANAGEMENT**	**10,464,777**	**12,528,919**	**12,953,293**	**5,423,444**	**3,592,449**	**3,592,449**	**3,592,449**	**(0)**	
0100	10	190	Personal Services	2,754,167	2,965,954	2,791,376	3,022,876	1,079,430	1,079,430	1,079,430	(0)	
0100	10	190	Expenses	7,661,603	9,523,610	10,047,246	2,400,568	2,513,019	2,513,019	2,513,019	-	
0100	10	190	Capital	49,007	39,355	114,671	-	-	-		-	
0100	**10**	**193**	**CAPITAL ASSET CONSTRUCTION**	**440,472**	**526,232**	**531,502**	**466,998**	**382,732**	**382,732**	**382,732**	**0**	***Watch: Professional Services for project managemer***
0100	10	193	Personal Services	184,981	231,111	235,683	213,386	232,234	232,234	232,234	(0)	
0100	10	193	Expenses	255,491	295,121	295,819	253,612	150,498	150,498	150,498	0	
0100	10	193	Capital	-	-	-	-	-	-		-	
0100	**20**	**210**	**POLICE**	**36,845,656**	**35,646,360**	**36,429,746**	**37,008,153**	**35,252,755**	**35,252,755**	**35,252,755**	**0**	***Watch: Use of surplus funds for police class***
0100	20	210	Personal Services	34,465,596	33,654,655	33,970,606	35,006,389	32,983,586	32,983,586	32,983,586	0	
0100	20	210	Expenses	1,844,074	1,596,053	1,879,093	1,992,606	2,253,689	2,253,689	2,253,689	-	
0100	20	210	Capital	535,986	395,652	580,047	9,158	15,480	15,480	15,480	-	
0100	**20**	**220**	**FIRE**	**19,524,246**	**18,436,749**	**18,781,108**	**18,876,818**	**19,135,621**	**19,135,621**	**19,135,621**	**0**	
0100	20	220	Personal Services	17,683,765	17,041,638	17,287,868	17,644,245	17,614,422	17,614,422	17,614,422	(0)	
0100	20	220	Expenses	1,750,707	1,391,266	1,460,278	1,232,573	1,514,087	1,514,087	1,514,087	0	
0100	20	220	Capital	89,774	3,845	32,962	-	7,112	7,112	7,112	-	
0100	**20**	**241**	**BUILDING - CODE ENFORCEMENT**	**1,213,269**	**1,246,539**	**1,271,751**	**1,304,065**	**1,361,150**	**1,361,150**	**1,361,150**	**(0)**	
0100	20	241	Personal Services	1,135,388	1,202,195	1,227,523	1,251,677	1,310,300	1,310,300	1,310,300	(0)	
0100	20	241	Expenses	77,881	44,344	44,228	52,388	50,850	50,850	50,850	-	
0100	20	241	Capital	-	-	-	-	-	-	-	-	
0100	**20**	**242**	**HOUSING - CODE ENFORCEMENT**	**734,372**	**649,615**	**631,531**	**614,537**	**619,253**	**619,253**	**619,253**	**(0)**	
0100	20	242	Personal Services	429,860	401,515	424,779	478,191	475,953	475,953	475,953	(0)	
0100	20	242	Expenses	304,512	248,100	206,752	136,345	143,300	143,300	143,300	-	
0100	20	242	Capital	-	-	-	-	-	-	-	-	
0100	**20**	**250**	**CENTRALIZED DISPATCH**	**-**	**957,012**	**1,314,686**	**1,236,903**	**1,363,115**	**1,363,115**	**1,363,115**	**(0)**	
0100	20	250	Personal Services	-	875,421	1,238,644	1,123,274	1,291,165	1,291,165	1,291,165	(0)	
0100	20	250	Expenses	-	81,591	74,142	113,630	66,950	66,950	66,950	-	
0100	20	250	Capital	-	-	1,900	-	5,000	5,000	5,000	-	
0100	**20**	**292**	**TJO'CONNOR ANIMAL CONTROL**	**1,298,492**	**1,047,540**	**1,067,324**	**1,197,972**	**1,218,213**	**1,218,213**	**1,218,213**	**0**	
0100	20	292	Personal Services	432,417	340,192	357,340	357,702	373,382	373,382	373,382	0	
0100	20	292	Expenses	866,075	707,348	709,984	840,269	844,831	844,831	844,831	0	
0100	20	292	Capital	-	-	-	-	-	-		-	

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0100	**30**	**300**	**SCHOOL DEPARTMENT**	**282,212,288**	**310,099,714**	**320,382,859**	**348,740,600**	**344,286,266**	**354,286,266**	**354,286,266**	**-**	
0100	30	300	SCHOOL DEPARTMENT	282,212,288	310,099,714	320,382,859	348,740,600	344,286,266	354,286,266	354,286,266	-	
												0
0100	**40**	**400**	**DEPARTMENT OF PUBLIC WORKS**	**12,945,373**	**9,169,509**	**11,259,914**	**9,324,263**	**10,078,590**	**10,322,690**	**10,322,690**	**0**	***Watch: Snow and Ice***
0100	40	400	Personal Services	5,355,279	3,768,530	3,356,854	2,947,485	3,347,478	3,591,578	3,591,578	(0)	
0100	40	400	Expenses	7,583,094	5,400,979	7,903,060	6,376,778	6,731,112	6,731,112	6,731,112	0	
0100	40	400	Capital	7,000	-	-	-	-	-	-	-	
0100	**50**	**520**	**HEALTH & HUMAN SERVICES**	**1,476,621**	**1,410,444**	**1,466,112**	**1,355,392**	**1,337,057**	**1,337,057**	**1,337,057**	**(0)**	
0100	50	520	Personal Services	1,227,947	1,220,943	1,270,893	1,153,407	1,140,868	1,140,868	1,140,868	(0)	
0100	50	520	Expenses	248,674	189,501	195,219	201,985	196,189	196,189	196,189	-	
0100	50	520	Capital	-	-	-		-	-		-	
0100	**50**	**541**	**DEPARTMENT OF ELDER AFFAIRS**	**316,521**	**299,472**	**300,349**	**300,555**	**298,517**	**298,517**	**298,516**	**(0)**	
0100	50	541	Personal Services	283,371	272,935	274,183	279,975	282,838	282,838	282,838	(0)	
0100	50	541	Expenses	33,150	26,537	26,166	20,580	15,678	15,678	15,678	(0)	
0100	50	541	Capital	-	-	-	-	-	-		-	
0100	**50**	**543**	**VETERANS SERVICES**	**1,595,315**	**1,667,167**	**2,484,399**	**2,965,318**	**3,151,491**	**3,151,491**	**3,151,491**	**-**	***Watch: Caseload***
0100	50	543	Personal Services	222,647	192,453	210,244	211,482	226,973	226,973	226,973	-	
0100	50	543	Expenses	1,372,668	1,474,714	2,274,155	2,753,836	2,924,518	2,924,518	2,924,518	-	
0100	50	543	Capital	-	-	-	-	-	-		-	
0100	**60**	**610**	**LIBRARY**	**3,841,857**	**3,623,092**	**3,960,154**	**3,586,394**	**3,555,318**	**3,755,318**	**3,755,318**	**-**	
0100	60	610	Personal Services	2,489,810	2,296,366	2,405,837	2,267,172	2,155,059	2,355,059	2,355,059	0	
0100	60	610	Expenses	1,307,422	1,286,726	1,515,784	1,281,487	1,360,259	1,360,259	1,360,259	(0)	
0100	60	610	Capital	44,625	40,000	38,533	37,735	40,000	40,000	40,000	-	
0100	**90**	**613**	**MUSEUM**	**1,320,000**	**1,320,000**	**1,320,000**	**1,320,000**	**1,320,000**	**1,320,000**	**1,320,000**	**-**	
0100	90	613	Personal Services	-	-	-		-	-	-	-	
0100	90	613	Expenses	1,320,000	1,320,000	1,320,000	1,320,000	1,320,000	1,320,000	1,320,000	-	
0100	90	613	Capital	-	-	-		-	-		-	
0100	**60**	**630**	**PARKS DEPARTMENT**	**8,691,723**	**8,683,705**	**8,487,302**	**7,488,084**	**7,547,672**	**7,672,672**	**7,672,672**	**(0)**	
0100	60	630	Personal Services	4,686,206	3,990,603	4,259,076	3,802,003	3,842,673	3,842,673	3,842,673	(0)	
0100	60	630	Expenses	3,989,830	4,693,102	4,228,226	3,686,081	3,704,999	3,829,999	3,829,999	(0)	
0100	60	630	Capital	15,687	-	-	-	-	-		-	
0100	**70**	**145**	**DEBT SERVICE**	**39,231,614**	**38,250,684**	**39,047,540**	**38,107,918**	**37,750,576**	**37,750,576**	**37,750,576**	**-**	
0100	70	145	Personal Services	-	-	-	-	-	-	-	-	
0100	70	145	Expenses	39,231,614	38,250,684	39,047,540	-	-	-	-	-	
0100	70	145	Capital	-	-	-	38,107,918	37,750,576	37,750,576	37,750,576	-	
0100	**80**	**135**	**STATE ASSESSMENTS**	**3,216,792**	**3,284,465**	**3,068,177**	**2,898,344**	**2,958,885**	**2,958,885**	**2,958,885**	**-**	
0100	80	135	Personal Services	-	-	-	-	-	-	-	-	
0100	80	135	Expenses	3,216,792	3,284,465	3,068,177	2,898,344	2,958,885	2,958,885	2,958,885	-	
0100	80	135	Capital	-	-	-	-	-	-	-	-	
0100	**90**	**911**	**CONTRIBUTION RETIREMENT PENSION**	**20,844,904**	**22,050,947**	**23,926,835**	**23,531,632**	**24,322,157**	**24,322,157**	**24,322,157**	**-**	
0100	90	911	Personal Services	-	-	-	-	-	-	-	-	
0100	90	911	Expenses	20,844,904	22,050,947	23,926,835	23,531,632	24,322,157	24,322,157	24,322,157	-	
0100	90	911	Capital	-	-	-	-	-	-	-	-	
0100	**90**	**152**	**UNEMPLOYMENT**	**-**	**527,421**	**306,900**	**292,405**	**378,069**	**378,069**	**378,069**	**-**	
0100	90	152	Personal Services	-	-	-	-	-	-	-	-	
0100	90	152	Expenses	-	527,421	306,900	292,405	378,069	378,069	378,069	-	
0100	90	152	Capital	-	-	-	-	-	-	-	-	
0100	**90**	**152**	**WORKERS COMPENSATION**	**-**	**962,702**	**995,783**	**721,593**	**935,069**	**935,069**	**935,069**	**-**	***Watch: Small surplus currently projected.***
0100	90	152	Personal Services	-	-	-	-	-	-	-	-	
0100	90	152	Expenses	-	962,702	995,783	721,593	935,069	935,069	935,069	-	
0100	90	152	Capital	-	-	-	-	-	-		-	
0100	**90**	**152**	**MEDICAL & DENTAL**	**-**	**1,095,890**	**1,456,888**	**962,017**	**1,000,000**	**1,000,000**	**1,000,000**	**-**	***Watch: Small surplus currently projected.***
0100	90	152	Personal Services	-	-	-	-	-	-	-	-	
0100	90	152	Expenses	-	1,095,890	1,456,888	962,017	1,000,000	1,000,000	1,000,000	-	
0100	90	152	Capital	-	-	-	-	-	-	-	-	

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

City of Springfield, Massachusetts
Quarterly Financial Report
QE 9/30/2012

0100	**90**	**152**	**HEALTH INSURANCE - CITY**	**23,119,014**	**22,782,865**	**21,788,782**	**22,331,443**	**23,052,239**	**23,052,239**	**23,052,239**	**0**	***Watch: Small surplus currently projected.***
0100	90	152	Personal Services	-	-	-	-	-	-	-	-	
0100	90	152	Expenses	23,119,014	22,782,865	21,788,722	22,331,443	23,052,239	23,052,239	23,052,239	0	
0100	90	152	Capital	-	-	-	-	-	-	-	-	
0100	**90**	**152**	**NON-CONTRIB. PENSIONS**	**-**	**321,734**	**327,259**	**293,641**	**300,000**	**300,000**	**300,000**	**-**	
0100	90	152	Personal Services	-	-	-	-	-	-	-	-	
0100	90	152	Expenses	-	321,734	327,259	293,641	300,000	300,000	300,000	-	
0100	90	152	Capital	-	-	-	-	-	-	-	-	
0100	**10**	**135**	**PROVISION FOR UNCOMPENSATED ABSENCES**	**-**	**-**	**-**	**(50)**	**(800,000)**	**(800,000)**	**(800,000)**	**-**	
0100	10	135	Personal Services	-	-	-	(50)	(800,000)	(800,000)	(800,000)	-	
0100	10	135	Expenses	-	-	-	-	-	-	-	-	
0100	10	135	Capital	-	-	-	-	-	-	-	-	
0100	**70**	**145**	**CAPITAL RESERVE FUND**	**-**	**2,545,124**	**2,901,441**	**2,459,738**	**2,500,995**	**2,500,995**	**2,500,995**	**-**	
0100	70	145	Personal Services	-	-	-	-	-	-	-	-	
0100	70	145	Expenses	-	-	-	-	-	-	-	-	
0100	70	145	Capital	-	2,545,124	2,901,441	2,459,738	2,500,995	2,500,995	2,500,995	-	
0100	**10**	**135**	**M.C.D.I. CONTRACT**	**-**	**1,025,000**	**825,000**	**625,000**	**-**	**-**	**-**	**-**	
0100	10	135	Personal Services	-	-	-	-	-	-	-	-	
0100	10	135	Expenses	-	1,025,000	825,000	625,000	-	-	-	-	
0100	10	135	Capital	-	-	-	-	-	-	-	-	
0100	**10**	**135**	**RESERVE FOR CONTINGENCIES**	**-**	**-**	**-**	**-**	**300,000**	**55,900**	**55,900**	**-**	
0100	10	135	Personal Services	-	-	-	-	-	-	-	-	
0100	10	135	Expenses	-	-	-	-	300,000	55,900	55,900	-	
0100	10	135	Capital	-	-	-	-	-	-	-	-	
0100	**10**	**147**	**PARKING CONTRACT**	**1,045,291**	**1,397,072**	**1,279,521**	**1,656,936**	**1,497,884**	**1,497,884**	**1,497,884**	**-**	
0100	10	147	Personal Services	-	-	-	-	-	-	-	-	
0100	10	147	Expenses	1,045,291	1,397,072	1,279,521	1,656,936	1,497,884	1,497,884	1,497,884	-	
0100	10	147	Capital	-	-	-	-	-	-	-	-	
0100	**10**	**133**	**PAY-AS-YOU-GO CAPITAL**	**1,285,337**	**3,312,791**	**2,121,882**	**1,974,271**	**2,938,413**	**2,938,413**	**2,938,413**	**(0)**	
0100	10	133	Personal Services	-	-	-	-	-	-	-	-	
0100	10	133	Expenses	-	-	-	-	-	-	-	-	
0100	10	133	Capital	1,285,337	3,312,791	2,121,882	1,974,271	2,938,413	2,938,413	2,938,413	(0)	
								-	-			
0100	**10**	**133**	**OTHER (FY10 Homeless, FY11 Paygo & RIP)**	**-**	**150,000**	**1,931,782**	**-**	**-**	**-**	**-**	**-**	
0100	10	133	Personal Services	-	-	-	-	-	-	-	-	
0100	10	133	Expenses	-	150,000	1,931,782	-	-	-	-	-	
0100	10	133	Capital	-	-	-	-	-	-		-	
								-	-			
0100	**10**	**180**	**DIF Debt Service Payment**	**-**	**660,185**	**125,735**	**130,000**	**130,000**	**130,000**	**130,000**	**-**	
0100	10	180	Personal Services	-	-	-	-	-	-	-	-	
0100	10	180	Expenses	-	660,185	125,735	-	-	-	-	-	
0100	10	180	Capital	-	-	-	130,000	130,000	130,000	130,000	-	
0100	**10**		**TREES**	-	-	-	**145,000**	**-**	**-**	**-**	**-**	
0100	10		Personal Services	-	-	-	-	-	-	-	-	
0100	10		Expenses	-	-	-	145,000	-	-	-	-	
0100	10		Capital	-	-	-	-	-	-	-	-	
0100	**10**		**PRIOR YEAR PAY-GO**	-	-	-	**1,033,886**	**-**	**-**	**-**	**-**	
0100	10		Personal Services	-	-	-	-	-	-	-	-	
0100	10		Expenses	-	-	-	-	-	-	-	-	
0100	10		Capital	-	-	-	1,033,886	-	-	-	-	
6500			**ENTERPRISE FUND SUPPLEMENT**	**2,754,000**	**3,260,789**	**4,654,583**	**4,698,790**	**4,940,063**	**4,740,063**	**4,740,063**	**-**	***Watch: Delayed start in multi-family trash service***
6500			Personal Services	-	-	-	-	-	-	-	-	
6500			Expenses	2,754,000	3,260,789	4,654,583	4,698,790	4,940,063	4,740,063	4,740,063	(0)	
6500			Capital	-	-		-			-	-	
			TOTAL	**497,191,651**	**527,184,693**	**545,187,696**	**558,945,337**	**551,776,343**	**562,476,343**	**562,476,340**	**(2)**	

Attachment: Q1 Report - 9-30-12 (1918 : FY13 - Q1 Report)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Tracye Whitfield
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1935

FY13 Budget - EF Retained Earnings - $513,294 (Mayor Sarno)

WHEREAS, to meet the expenses of the City of Springfield for the fiscal year commencing July 1, 2012 and ending June 30, 2013, pursuant to Mass. Gen. Laws ch. 44 , Section 33B, Chapter 59 Section 23, and Chapter 468 of the Acts of 2008, the Chief Administrative and Financial Officer and his Honor the Mayor have requested authorization from the City Council to appropriate the sum of $350,000.00 from Certified Retained Earning and transfer the sum of $163,294.00 from the Trash Rental Equipment line item to purchase rubbish trucks

WHEREAS, after reviewing this order, the Chief Administrative and Financial Officer has certified to the Mayor and City Council, that in his professional opinion, after an evaluation of all pertinent financial information reasonably available, the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the transfer, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, that the City Council approves the appropriation of the sum of $350,000.00 from Certified Retained Earning and the transfer of $163,294.00 from Trash Rental Equipment line item to purchase rubbish trucks for Fiscal Year 2013.

From: Certified Retained Earnings - Enterprise Fund	
6500-00-000-0000-0000-0000-000000-0000000-359000 -	$350,000.00
From: Trash Rental Equipment - Enterprise Fund	
6500-40-400-4330-4391-0090-000000-0000000-527020-	$163,294.00
Total	**$513,294.00**
To: Enterprise Fund - Capital Outlay/Vehicles	
6500-40-400-4330-4391-0090-000000-0000000-580700--	$513,294.00
Total	**$513,294.00**

The City of Springfield
Department of Administration and Finance
36 Court Street, Room 312
Springfield, MA 01103

LEE C. ERDMANN
CHIEF ADMINISTRATIVE
AND FINANCIAL OFFICER
(413) 886-5288

TRANSFER ORDER

November 19, 2012

Mayor Domenic J. Sarno
City Council President James J. Ferrera III
Members of the City Council
36 Court Street
Springfield, MA 01103

RE: *Department of Public Works Enterprise Fund/ Certified Retained Earnings Transfer Order for the For the Purchase 2 Rubbish Trucks-Certification of Chief Administrative and Financial Officer*

Dear Mayor Sarno, President Ferrera, and Members of the City Council:

On November 19, 2012 the City Council will consider an order to authorize and transfer the sum of $513,294.00 from the FY11 Certified Retained Earnings and Enterprise Fund, Trash Rental Equipment line items, to the Department of Public Works Enterprise fund, Capital Outlay line item, for the purpose of paying for the purchase of 2 Rubbish Trucks.

- Outright purchase 2 Rubbish Trucks that are on the vehicle replacement schedule
- Save 352,989 of Certified Retained Earnings to offset General Fund supplement to the Enterprise Fund

This letter will confirm that in my professional opinion, as the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order transferring $513,294.00 to purchase rubbish trucks, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

This certification is made in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

Please contact me with any questions.

_____
Lee C. Erdmann
Chief Administrative and Financial Officer

Attachment: cafo-cert - DPW - Retained Earning and Rubbish Trucks (1935 : FY13 Budget - EF Retained Earnings - $513,294)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Pat Burns
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1897

FY12 Certified Free Cash to Stabilization Transfer (Mayor Sarno)

WHEREAS, to meet the expenses of the City of Springfield for the fiscal year commencing July 1, 2012 and ending June 30, 2013 (FY'13), pursuant to Mass. General. Laws Chapter 40, Section 5B, Chapter 59 Section 23 and Chapter 468 of the Acts of 2008, the Chief Administrative and Financial Officer and his Honor the Mayor have requested authorization from the City Council to transfer the sum of $5,282,439.27 From FY12 Certified Free Cash to the Stabilization Reserve Fund.

WHEREAS, after reviewing this order, the Chief Administrative and Financial Officer has certified to the Mayor and City Council, that in his professional opinion, after an evaluation of all pertinent financial information reasonably available, the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the transfer, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

NOW THEREFORE BE IT ORDERED, that the City Council approves the transfer of the sum of $5,282,439.27 from FY12 Certified Free Cash to the Stabilization Reserve Fund.

From:
Certified Free Cash - Other Financing Uses
0100-00-000-0000-0000-0000-000000-0000000-701000 - $5,282,439.27
Total **$5,282,439.27**

To:
Stabilization Financial Reserves - Other Financing Sources
8213-00-000-0000-0000-0000-000000-0000000-700000 - $5,282,439.27
Total **$5,282,439.27**

Requires 2/3 Vote

FISCAL IMPACT:

$5.282M to Stabilization Reserves

The City of Springfield
Department of Administration and Finance
36 Court Street, Room 312
Springfield, MA 01103

LEE C. ERDMANN
CHIEF ADMINISTRATIVE
AND FINANCIAL OFFICER
(413) 886-5288

AUTHORIZATION ORDER

November 13, 2012

Mayor Domenic J. Sarno
City Council President James J. Ferrera III
Members of the City Council
36 Court Street
Springfield, MA 01103

RE: Transfer of funds from FY 12 Certified Free Cash to the Stabilization Reserve Account

Dear Mayor Sarno, President Ferrera, and Members of the City Council:

On November 19, 2012, the City Council will consider an order to transfer the sum of $5,282,439.27 from FY 12 Certified Free Cash to the Stabilization Reserve Account

- The Department of Revenue certified the amount of $7,498,622 in "free cash" for the City of Springfield on Tuesday November 6, 2012. This certification was based the the unaudited balance sheet submitted by the City.
- This transfer if approved by the City Council would bring the balance in the City's Stabilization Reserve Fund to $35,000,000.

This letter will confirm that in my professional opinion, as the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the City Council Order authorizing the transfer of $5,282,439.27 to the Stabilization Reserve Fund , without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

This certification is made in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

Please contact me with any questions.

_____
Lee C. Erdmann
Chief Administrative and Financial Officer

Attachment: 11-19-12 - CAFO Certification - Free Cash to Stabilization Reserves (1897 : Transfer from FY 12 Free Cash to Stabilization

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Jennifer Winkler
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1937

Union Station Redevelopment $2,200,000 (Mayor Sarno)

ORDERED: that the sum of two million two hundred thousand ($2,200,000) is hereby appropriated for the purpose of aiding the Springfield Redevelopment Authority in the implementation of the Union Station Redevelopment Project, including acquisition, demolition, hazardous materials remediation, remodeling, reconstructing construction, making repairs at the Union Station project site, and also including the payment of any and all other costs incidental or related thereto, and that to meet this appropriation, the City Treasurer, with the approval of the Mayor, is authorized to borrow said amount under and pursuant to Chapter 121B, Section 20 of the General Laws, or pursuant to any other enabling authority, and to issue bonds or notes of the City therefore; that the Mayor is authorized to contract for and expend any federal or state aid available for the project, provided that the amount of the authorized borrowing shall be reduced by the amount of such aid received prior to the issuance of bonds or notes under this order; and that the Mayor is authorized to take any other action necessary or convenient to carry out this project.

WHEREAS, after reviewing this order, the Chief Administrative and Financial Officer has certified to the Mayor and City Council, that in his professional opinion, after an evaluation of all pertinent financial information reasonably available, the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations involved in the transfer, without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

FURTHER ORDERED: that the City Treasurer is authorized to file an application with The Commonwealth of Massachusetts' Municipal Finance Oversight Board to qualify under Chapter 44A of the General Laws any and all bonds or notes of the City authorized by this vote, and to provide such information and execute such documents as the Municipal Finance Oversight Board of The Commonwealth of Massachusetts may require.

The City of Springfield
Department of Administration and Finance
36 Court Street, Room 312
Springfield, MA 01103

LEE C. ERDMANN
CHIEF ADMINISTRATIVE
AND FINANCIAL OFFICER
(413) 886-5288

AUTHORIZATION ORDER

November 19, 2012

Mayor Domenic J. Sarno
City Council President James J. Ferrera III
Members of the City Council
36 Court Street
Springfield, MA 01103

RE: Union Station Redevelopment
Certification of Chief Administrative and Financial Officer

Dear Mayor Sarno, President Ferrera, and Members of the City Council:

On November 19, 2012, the City Council will consider an order to authorize the City Treasurer to file an application with the State to qualify bonds for the purpose of aiding the Springfield Redevelopment Authority in the implementation of the Union Station Redevelopment project. The project will consist of acquisition, demolition, hazardous materials remediation, remodeling, reconstructing construction, making repairs at the Union Station project site, and also including all costs incidental or related to the Union Station project.

The total project costs are approximately $78,100,000 dollars. Several Federal and State grants and bond earmarks totaling approximately $42.7 million have either been obligated or received. The remaining dollars will be funded through future discretionary grants.

This letter will confirm that in my professional opinion, as the Chief Administrative and Financial Officer, after an evaluation of all pertinent financial information reasonably available, that the City's financial resources and revenues are and will continue to be adequate to support the proposed expenditures and obligations without a detrimental impact on the continuous provision of the existing level of municipal services, in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

This certification is made in accordance with Section 2(f) of Chapter 656 of the Acts of 1989, as added by Section 1 of Chapter 468 of the Acts of 2008.

Please contact me with any questions.

_____
Lee C. Erdmann
Chief Administrative and Financial Officer

Attachment: CAFO Certification - Union Station (1937 : Union Station Redevelopment $2,200,000)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Melvyn Altman
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1940

Order Authorizing Mayor to Execute Quitclaim Deed for Sale of 90 Buckingham St. to Hector Grullon (Mayor Sarno)

WHEREAS, the City of Springfield acquired title to land, with building thereon, located in Springfield, Massachusetts known as 90 Buckingham Street through tax title foreclosure proceedings in Land Court;

WHEREAS, the Tax Title Custodian determined that said property is not needed for general municipal purposes; and

WHEREAS, the Office of Procurement solicited request for proposals for the purchase and redevelopment of said property pursuant to RFP (Bid) Number SPG-12-364; and

WHEREAS, the Mayor upon the recommendation of the City's RFP Review Committee has accepted the proposal submitted by Hector Grullon.

NOW THEREFORE BE IT ORDERED, that the Mayor be and is hereby authorized in the name of and on behalf of the City of Springfield to execute and deliver a quitclaim deed to Hector Grullon for the transfer of title to 90 Buckingham Street for consideration of Three Thousand Dollars ($3,000.00) in substantially the same form as the draft deed attached hereto.

MASSACHUSETTS QUITCLAIM DEED BY CORPORATION (short form)

KNOW ALL PERSONS BY THESE PRESENTS that **CITY OF SPRINGFIELD** ("Grantor"), a municipal corporation duly established under the laws of the Commonwealth of Massachusetts and having its usual place of business at 36 Court Street, Springfield, Hampden County, Massachusetts, for consideration paid and in full consideration of **THREE THOUSAND AND 00/100 DOLLARS ($3,000.00)**, grants to **HECTOR GRULLON,** ("Grantee"), individually, of 43 Murray Hill Avenue, Springfield, Massachusetts 01104, with **QUITCLAIM COVENANTS**, the land in said Springfield, described as follows:

Land, with building thereon, known as 90 Buckingham Street (Parcel-ID # 02042-0018), and being the parcel described in a deed recorded September 2, 1988, in Hampden County Registry of Deeds, Book 6955, Page 79, and supposed to contain about 7200 square feet; and being the same parcel described in an Instrument of Taking dated September 10, 1991, and recorded in Hampden County Registry of Deeds, Book 7810, Page 90; and also being the same parcel foreclosed by Judgment of the Land Court entered on July 16, 2008, in Case No.: 05 TL 132329, and recorded in Hampden County Registry of Deeds, Book 17548, Page 1; and being more particularly described in Exhibit "A" attached hereto and made a part hereof (hereinafter referred to as the "Property").

The Property is sold pursuant to Chapter 30B of the Massachusetts General Laws "as is" and is conveyed subject to the following conditions, restrictions, or covenants ("hereinafter called Protective Restrictions") which are to be taken and construed as running with the Property and are to be binding upon said Grantee, his successors, assigns, grantees, and lessees for a term of thirty years after the date of this deed creating them unless released by the City of Springfield:

(1) The Property shall be used solely for a single-family residence; (2) The Grantee agrees that rehabilitation of the residence shall be undertaken to ensure it meets, in the sole discretion of the City of Springfield, Federal Housing Quality Standards; (3) Rehabilitation of the residence and any accompanying landscaping, fencing, and paving shall be completed by the Grantee within one year from the date of recording of this Quitclaim Deed; (4) The Grantee cannot sell the Property until the rehabilitation work and all other required work is completed and approved by the City of Springfield Office of Housing; (5) The Grantee agrees that the Property shall be his principal place of residence for a period not less than five years or that he will sell the Property after rehabilitation is completed to an owner who agrees to use the Property as a principal place of residence for a period not less than five years but this restriction shall terminate upon a lender's foreclosure of its mortgage or by a deed in lieu of foreclosure should same occur and this condition shall only apply to the purchaser of the Property from the Grantee and not apply to subsequent purchasers or owners of the Property; (6) All excess trash, brush, rubbish, and debris shall be cleared from the Property by the Grantee within one month from the date of recording of this Quitclaim Deed; and (7) If the Grantee violates the Protective Restrictions or any term and condition of sale, the City of Springfield reserves the right to revert the Property back to city

Attachment: Deed 90 Buckingham St. (1940 : Sale 90 Buckingham St.)

ownership at no cost and for no consideration. At closing, the Grantee shall execute a Reverter Deed to the City of Springfield which shall be held in escrow by the City pending satisfaction of the Protective Restrictions. If the Reverter Deed has not been recorded within two years from the date of recording of this Quitclaim Deed, then the City of Springfield's right of reverter shall be deemed to have lapsed. Prior to recording the Reverter Deed, the City shall provide written notice via certified mail to the Grantee of his violations of the Protective Restrictions and a sixty day opportunity to cure said violations.

This deed shall also be subject to all easements and restrictions of record, if any, lawfully existing in, upon or over said Property or appurtenant thereto.

There has been full compliance with the provisions of Chapter 44, Section 63A of the Massachusetts General Laws.

The Mayor has received the appropriate affidavit as prescribed in Chapter 60, Section 77B of the Massachusetts General Laws.

IN WITNESS WHEREOF, the said **CITY OF SPRINGFIELD** has caused its corporate seal to be hereto affixed and these presents to be signed, acknowledged, and delivered in its name and behalf by Domenic J. Sarno, its Mayor, hereto duly authorized, this _____ day of _____, 2012, executing this instrument by authority granted by Chapter 40, Section 3 of the Massachusetts General Laws and by Order passed by its City Council on _____, 2012, and attached hereto as Exhibit "B".

CITY OF SPRINGFIELD

By_____
Domenic J. Sarno, Mayor

COMMONWEALTH OF MASSACHUSETTS

HAMPDEN, ss. Springfield, Massachusetts

On this _____ day of _____, 2012, before me, the undersigned Notary Public, personally appeared the above-named Domenic J. Sarno, Mayor, proved to me through satisfactory evidence of identification, which was personal knowledge, to be the person whose name is signed on the preceding or attached document, and acknowledged to me that he signed it voluntarily for its stated purpose, and acknowledged to me that he executed the same as his free act and deed, and as the free act and deed of the City of Springfield.

_____
Melvyn W. Altman
Notary Public
My Commission Expires: December 16, 2016

95984

Attachment: Deed 90 Buckingham St. (1940 : Sale 90 Buckingham St.)

EXHIBIT "A"

Certain real estate situated in Springfield, Hampden County, Massachusetts, bounded and described as follows:

BEGINNING at a point in the westerly side of Buckingham Street distant northerly, measuring along said westerly side of Buckingham Street, nine hundred one and 25/100 (901.25) feet from the point of intersection of said westerly side of Buckingham Street with the northerly side of State Street, and from said point of beginning running

NORTHERLY along Buckingham Street, fifty (50) feet; thence

WESTERLY at right angles with Buckingham Street and along land formerly of Marshal Richards, later of Josephine H. Pierce, one hundred forty-four (144) feet to land formerly of Tilly Haynes, later of one Bush and one Hirst; thence

SOUTHERLY on last mentioned land, fifty (50) feet in a line parallel with Buckingham Street; thence

EASTERLY in a straight line along land formerly of one Forbush, later of Fred A. Bailey, one hundred forty-four (144) feet to Buckingham Street at the point of beginning.

Attachment: Deed 90 Buckingham St. (1940 : Sale 90 Buckingham St.)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Melvyn Altman
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1942

Order Authorizing Mayor to Execute Quitclaim Deed for Sale of 62 Bay St. to Icarain Real Estate Advisers, LLC (Mayor Sarno)

WHEREAS, the City of Springfield acquired title to land, with building thereon, located in Springfield, Massachusetts known as 62 Bay Street through tax title foreclosure proceedings in Land Court; and

WHEREAS, the Tax Title Custodian determined that said property is not need for general municipal purposes; and

WHEREAS, the Office of Procurement solicited request for proposals for the purchase and redevelopment of said property pursuant to RFP (Bid) Number SPG-12-363; and

WHEREAS, the Mayor upon the recommendation of the City's RFP Review Committee has accepted the proposal submitted by Icarian Real Estate Advisers, LLC.

NOW THEREFORE BE IT ORDERED, that the Mayor be and is hereby authorized in the name of and on behalf of the City of Springfield to execute and deliver a quitclaim deed to Icarian Real Estate Advisers, LLC for the transfer of title to 62 Bay Street for consideration of One Thousand and 00/100 Dollars ($1,000.00) in substantially the same form as the draft deed attached hereto.

MASSACHUSETTS QUITCLAIM DEED BY CORPORATION (short form)

KNOW ALL PERSONS BY THESE PRESENTS that **CITY OF SPRINGFIELD** ("Grantor"), a municipal corporation duly established under the laws of the Commonwealth of Massachusetts and having its usual place of business at 36 Court Street, Springfield, Hampden County, Massachusetts, for consideration paid and in full consideration of **ONE THOUSAND AND 00/100 DOLLARS ($1,000.00)**, grants to **ICARIAN REAL ESTATE ADVISERS, LLC** ("Grantee"), a Massachusetts limited liability company, with a principal place of business at 95 Mill Street, Springfield, Massachusetts 01108, with **QUITCLAIM COVENANTS**, the land in said Springfield, described as follows:

Land, with building thereon, known as 62 Bay Street (Parcel-ID # 01085-0015), and being the parcel described in a deed recorded December 31, 1984, in Hampden County Registry of Deeds, Book 5739, Page 510, and supposed to contain about 11,740 square feet; and being the same parcel described in an Instrument of Taking dated September 10, 1991, and recorded in Hampden County Registry of Deeds, Book 7810, Page 198; and also being the same parcel foreclosed by Judgment of the Land Court entered on July 16, 2010, in Case No.: 00 TL 123953, and recorded in Hampden County Registry of Deeds, Book 18434, Page 238; and being more particularly described in Exhibit "A" attached hereto and made a part hereof (hereinafter referred to as the "Property").

The Property is sold pursuant to Chapter 30B of the Massachusetts General Laws "as is" and is conveyed subject to the following conditions, restrictions, or covenants ("hereinafter called Protective Restrictions") which are to be taken and construed as running with the Property and are to be binding upon said Grantee, its successors, assigns, grantees, and lessees for a term of thirty years after the date of this deed creating them unless released by the City of Springfield:

(1) The Property shall be used solely for a single-family residence; (2) The Grantee agrees that rehabilitation of the residence shall be undertaken to ensure it meets, in the sole discretion of the City of Springfield, Federal Housing Quality Standards; (3) Rehabilitation of the residence and any accompanying landscaping, fencing, and paving shall be completed by the Grantee within one year from the date of recording of this Quitclaim Deed; (4) The Grantee cannot sell the Property until the rehabilitation work and all other required work is completed and approved by the City of Springfield Office of Housing; (5) The Grantee agrees that the Property shall be the principal place of residence of one of its mangers for a period not less than five years or that it will sell the Property after rehabilitation is completed to an owner who agrees to use the Property as a principal place of residence for a period not less than five years but this restriction shall terminate upon a lender's foreclosure of its mortgage or by a deed in lieu of foreclosure should same occur and this condition shall only apply to the purchaser of the Property from the Grantee and not apply to subsequent purchasers or owners of the Property; (6) All excess trash, brush, rubbish, and debris shall be cleared from the Property by the Grantee within one month from the date of recording of this Quitclaim Deed; and (7) If the Grantee violates the Protective

Attachment: Deed 62 Buckingham St. (1942 : Sale 62 Bay St.)

Restrictions or any term and condition of sale, the City of Springfield reserves the right to revert the Property back to city ownership at no cost and for no consideration. At closing, the Grantee shall execute a Reverter Deed to the City of Springfield which shall be held in escrow by the City pending satisfaction of the Protective Restrictions. If the Reverter Deed has not been recorded within two years from the date of recording of this Quitclaim Deed, then the City of Springfield's right of reverter shall be deemed to have lapsed. Prior to recording the Reverter Deed, the City shall provide written notice via certified mail to the Grantee of its violations of the Protective Restrictions and a sixty day opportunity to cure said violations.

This deed shall also be subject to all easements and restrictions of record, if any, lawfully existing in, upon or over said Property or appurtenant thereto.

There has been full compliance with the provisions of Chapter 44, Section 63A of the Massachusetts General Laws.

The Mayor has received the appropriate affidavit as prescribed in Chapter 60, Section 77B of the Massachusetts General Laws.

IN WITNESS WHEREOF, the said **CITY OF SPRINGFIELD** has caused its corporate seal to be hereto affixed and these presents to be signed, acknowledged, and delivered in its name and behalf by Domenic J. Sarno, its Mayor, hereto duly authorized, this _____ day of _____, 2012, executing this instrument by authority granted by Chapter 40, Section 3 of the Massachusetts General Laws and by Order passed by its City Council on _____, 2012, and attached hereto as Exhibit "B".

CITY OF SPRINGFIELD

By_____
Domenic J. Sarno, Mayor

COMMONWEALTH OF MASSACHUSETTS

HAMPDEN, ss. Springfield, Massachusetts

On this _____ day of _____, 2012, before me, the undersigned Notary Public, personally appeared the above-named Domenic J. Sarno, Mayor, proved to me through satisfactory evidence of identification, which was personal knowledge, to be the person whose name is signed on the preceding or attached document, and acknowledged to me that he signed it voluntarily for its stated purpose, and acknowledged to me that he executed the same as his free act and deed, and as the free act and deed of the City of Springfield.

_____
Melvyn W. Altman
Notary Public
My Commission Expires: December 16, 2016

Attachment: Deed 62 Buckingham St. (1942 : Sale 62 Bay St.)

EXHIBIT "A"

The land in Springfield, Hampden County, Massachusetts, located on the northerly side of Bay Street, formerly called Old Bay Road, and numbered and known as 62 Bay Street.

Being Lot 4 as shown on a plan of lots of Sable Rogers dated August, 1851, and recorded at the Hampden County Registry of Deeds, Plan 162, Page 103.

The said lot is more particularly bounded and described as follows:

SOUTHEASTERLY:	by Bay Street as shown on said plan, sixty (60) feet;
NORTHEASTERLY:	by Lot #5 as shown on said plan, two hundred ten and 5/10 (210.5) feet;
NORTHWESTERLY:	by land of owner unknown, as shown on said plan, sixty-six (66) feet; and
SOUTHWESTERLY:	partly by land of owner unknown, and partly by Lot #3 as shown on said plan, one hundred eighty-three and 75/100 (183.75) feet.

Attachment: Deed 62 Buckingham St. (1942 : Sale 62 Bay St.)

MASSACHUSETTS QUITCLAIM DEED BY CORPORATION (short form)

KNOW ALL PERSONS BY THESE PRESENTS that **CITY OF SPRINGFIELD** ("Grantor"), a municipal corporation duly established under the laws of the Commonwealth of Massachusetts and having its usual place of business at 36 Court Street, Springfield, Hampden County, Massachusetts, for consideration paid and in full consideration of **ONE THOUSAND AND 00/100 DOLLARS ($1,000.00)**, grants to **ICARIAN REAL ESTATE ADVISERS, LLC** ("Grantee"), a Massachusetts limited liability company, with a principal place of business at 95 Mill Street, Springfield, Massachusetts 01108, with **QUITCLAIM COVENANTS**, the land in said Springfield, described as follows:

Land, with building thereon, known as 62 Bay Street (Parcel-ID # 01085-0015), and being the parcel described in a deed recorded December 31, 1984, in Hampden County Registry of Deeds, Book 5739, Page 510, and supposed to contain about 11,740 square feet; and being the same parcel described in an Instrument of Taking dated September 10, 1991, and recorded in Hampden County Registry of Deeds, Book 7810, Page 198; and also being the same parcel foreclosed by Judgment of the Land Court entered on July 16, 2010, in Case No.: 00 TL 123953, and recorded in Hampden County Registry of Deeds, Book 18434, Page 238; and being more particularly described in Exhibit "A" attached hereto and made a part hereof (hereinafter referred to as the "Property").

The Property is sold pursuant to Chapter 30B of the Massachusetts General Laws "as is" and is conveyed subject to the following conditions, restrictions, or covenants ("hereinafter called Protective Restrictions") which are to be taken and construed as running with the Property and are to be binding upon said Grantee, its successors, assigns, grantees, and lessees for a term of thirty years after the date of this deed creating them unless released by the City of Springfield:

(1) The Property shall be used solely for a single-family residence; (2) The Grantee agrees that rehabilitation of the residence shall be undertaken to ensure it meets, in the sole discretion of the City of Springfield, Federal Housing Quality Standards; (3) Rehabilitation of the residence and any accompanying landscaping, fencing, and paving shall be completed by the Grantee within one year from the date of recording of this Quitclaim Deed; (4) The Grantee cannot sell the Property until the rehabilitation work and all other required work is completed and approved by the City of Springfield Office of Housing; (5) The Grantee agrees that the Property shall be the principal place of residence of one of its mangers for a period not less than five years or that it will sell the Property after rehabilitation is completed to an owner who agrees to use the Property as a principal place of residence for a period not less than five years but this restriction shall terminate upon a lender's foreclosure of its mortgage or by a deed in lieu of foreclosure should same occur and this condition shall only apply to the purchaser of the Property from the Grantee and not apply to subsequent purchasers or owners of the Property; (6) All excess trash, brush, rubbish, and debris shall be cleared from the Property by the Grantee within one month from the date of recording of this Quitclaim Deed; and (7) If the Grantee violates the Protective

Attachment: Deed 62 Bay St. (1942 : Sale 62 Bay St.)

Restrictions or any term and condition of sale, the City of Springfield reserves the right to revert the Property back to city ownership at no cost and for no consideration. At closing, the Grantee shall execute a Reverter Deed to the City of Springfield which shall be held in escrow by the City pending satisfaction of the Protective Restrictions. If the Reverter Deed has not been recorded within two years from the date of recording of this Quitclaim Deed, then the City of Springfield's right of reverter shall be deemed to have lapsed. Prior to recording the Reverter Deed, the City shall provide written notice via certified mail to the Grantee of its violations of the Protective Restrictions and a sixty day opportunity to cure said violations.

This deed shall also be subject to all easements and restrictions of record, if any, lawfully existing in, upon or over said Property or appurtenant thereto.

There has been full compliance with the provisions of Chapter 44, Section 63A of the Massachusetts General Laws.

The Mayor has received the appropriate affidavit as prescribed in Chapter 60, Section 77B of the Massachusetts General Laws.

IN WITNESS WHEREOF, the said **CITY OF SPRINGFIELD** has caused its corporate seal to be hereto affixed and these presents to be signed, acknowledged, and delivered in its name and behalf by Domenic J. Sarno, its Mayor, hereto duly authorized, this _____ day of _____, 2012, executing this instrument by authority granted by Chapter 40, Section 3 of the Massachusetts General Laws and by Order passed by its City Council on _____, 2012, and attached hereto as Exhibit "B".

CITY OF SPRINGFIELD

By_____
Domenic J. Sarno, Mayor

COMMONWEALTH OF MASSACHUSETTS

HAMPDEN, ss. Springfield, Massachusetts

On this _____ day of _____, 2012, before me, the undersigned Notary Public, personally appeared the above-named Domenic J. Sarno, Mayor, proved to me through satisfactory evidence of identification, which was personal knowledge, to be the person whose name is signed on the preceding or attached document, and acknowledged to me that he signed it voluntarily for its stated purpose, and acknowledged to me that he executed the same as his free act and deed, and as the free act and deed of the City of Springfield.

_____
Melvyn W. Altman
Notary Public
My Commission Expires: December 16, 2016

Attachment: Deed 62 Bay St. (1942 : Sale 62 Bay St.)

EXHIBIT "A"

The land in Springfield, Hampden County, Massachusetts, located on the northerly side of Bay Street, formerly called Old Bay Road, and numbered and known as 62 Bay Street.

Being Lot 4 as shown on a plan of lots of Sable Rogers dated August, 1851, and recorded at the Hampden County Registry of Deeds, Plan 162, Page 103.

The said lot is more particularly bounded and described as follows:

SOUTHEASTERLY:	by Bay Street as shown on said plan, sixty (60) feet;
NORTHEASTERLY:	by Lot #5 as shown on said plan, two hundred ten and 5/10 (210.5) feet;
NORTHWESTERLY:	by land of owner unknown, as shown on said plan, sixty-six (66) feet; and
SOUTHWESTERLY:	partly by land of owner unknown, and partly by Lot #3 as shown on said plan, one hundred eighty-three and 75/100 (183.75) feet.

Attachment: Deed 62 Bay St. (1942 : Sale 62 Bay St.)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Pat Burns
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1944

Order Authorizing and Approving the Establishment of an Infrastructure Development Trust, for the Proceeds of the Sale of 1600 and 1592-1596 Main Street, Springfield, Massachusetts (Mayor Sarno)

WHEREAS, the Springfield City Council, on November 5, 2012, voted to approve and authorize the transfer of the property known as 1600 and 1592-1596 Main Street Springfield, Massachusetts ("the Property"); and

WHEREAS, per the terms of the City's agreement with the state regarding the MassWorks grant repayment on the Asylum project ("the Agreement"), the City is required to set up an account for the proceeds of the Asylum sale; and

WHEREAS, the City is specifically required to establish an Infrastructure Development Trust, in a non-interest bearing account, which shall be funded by the proceeds of the sale of the Property; and

WHEREAS, said funding shall only be utilized to fund the design or construction of public infrastructure improvements in the City of Springfield's Growth District, as defined by the Commonwealth of Massachusetts, Executive Office of Housing & Economic Development ("EOHED"); and

WHEREAS, per the terms of the Agreement, EOHED shall be notified in writing no less than 90 days in advance of use for such projects; and

WHEREAS, **NOW THEREFORE, BE IT ORDERED**, that the City Council of the City of Springfield with the approval of the Mayor hereby authorizes and approves the establishment of an Infrastructure Development Trust pursuant to M.G.L. Chapter 44 Section 53, in a non-interest bearing account, which shall be funded by the proceeds of the sale of 1592-1596, and 1600 Main

Street in Springfield, Massachusetts. The funding schedule will include an initial deposit of $100,000 at the time of closing and annual deposits, which, on or before December 31, 2021, will amount to an additional $300,000 deposited into the Trust. In total, $400,000 shall be deposited into the Trust by the City from proceeds from the sale of 1592 Main Street. Said funds shall be subject to the express terms and conditions contained in the Agreement.

MEMORANDUM OF UNDERSTANDING

This Memorandum of Understanding is dated as of October 26, 2012 by and among The Massachusetts Executive Office of Housing and Economic Development (EOHED) and the City of Springfield (City). This Memorandum is relative to the MassWorks Infrastructure Grant through the Growth Districts Initiative (GDI) Program that was passed by the legislature and signed into law on August 8, 2008 (Chapter 303 of the Acts of 2008) and amended in Chapter 238, Section 11 of the Acts of 2012. This program provides funding for commercial and residential transportation and infrastructure development, improvements and various capital investment projects in the Commonwealth of Massachusetts.

EOHED and the City, hereby agree to the following:

- The City shall establish an Infrastructure Development Trust, in a non-interest bearing account, which shall be funded by the proceeds of the sale of 1592 Main Street in Springfield, Massachusetts. The funding schedule will include an initial deposit of $100,000 with a final payment of $300,000 on or before December 31, 2021. In total, $400,000 shall be deposited into the Trust by the City from proceeds from the sale of 1592-1600 Main Street.

- The payment schedule, pursuant to which the City will receive the funds to be deposited into the Trust, shall be set out in the closing documents between the City and the purchaser of 1592 Main Street. The City shall provide EOHED with a copy of the closing documents within 15 days of the closing.

- The Infrastructure Development Trust shall only be used to fund the design or construction of public infrastructure improvements in the City of Springfield's Growth District, as defined by the EOHED.

- EOHED shall be notified in writing by the City of Springfield of its intention to use funds from the Infrastructure Development Trust and the City shall provide an explanation of the intended use, the total amount of funds to be used, a timeline for the construction of the proposed public infrastructure and an explanation of the benefit of such project to the economic development of the Springfield Growth District, including private investment estimates and job creation estimates. Such notification shall be made no less than 90 days prior to the date of the intended use of funds.

- EOHED shall have the authority to approve or deny the use of funds if the City does not provide adequate or acceptable information related to the use of the funds or the economic development benefit of the use of such funds to further the economic development and job creation in the Growth District.

- If the funds are not used on or before December 31, 2025, the City shall return the remaining funds to the Commonwealth of Massachusetts.

- The City shall submit semi-annual bank statements in June and December to EOHED to document the amount of funds, which have been deposited into the Infrastructure Development Trust and the withdrawal of any funds to fund infrastructure projects approved by EOHED.

Attachment: MOU Trust Eco Dev (1944 : Establishment of an Infrastructure Development Trust)

- The City shall submit an annual report in December accompanying the December bank statement which describes the status of the Trust, the use of any funds and plans for future use of the funds.
- This Memorandum may be executed in any number of counterparts and by different parties on separate counterparts, each of which, when executed and delivered shall be deemed to be an original, and all of which, when taken together shall constitute one and the same agreement.

IN WITNESS WHEREOF, the parties have the Memorandum of Understanding to be executed by their duly authorized representatives as of the date first written above.

Executive Office of Housing and Economic Development

By: _____ Date: _____

Name: Gregory Bialecki

Title: Secretary of EOHED

City of Springfield

By: _____ Date: 10/26/2012

Name: Domenic Sarno

Mayor, City of Springfield

Attachment: MOU Trust Eco Dev (1944 : Establishment of an Infrastructure Development Trust)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Anthony I. Wilson
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1947

Order Authorizing and Approving the Execution of an Activity Use Limitation (AUL) for the Property Known as 155 Hickory Street, Springfield, Massachusetts (Mayor Sarno)

WHEREAS, the Springfield City Council, on December 6, 2010, voted to approve and authorize the transfer of the property known as 155 Hickory Street, Springfield, Massachusetts ("the Property"); and

WHEREAS, before the Property can be transferred in accordance with the City Council's order, the City must execute an Activity Use Limitation ("AUL") in accordance with M.G.L. c. 21E and the Massachusetts Contingency Plan 310 C.M.R. 40.00, relative to a certain Portion of the property that has been deemed a disposal site as the result of a release of oil and/or hazardous material; and

WHEREAS, the AUL shall be recorded at the Hampden County Registry of Deeds along with the Deed transferring title to the Property; and

WHEREAS, **NOW THEREFORE, BE IT ORDERED**, that the City Council of the City of Springfield with the approval of the Mayor hereby authorizes and approves the execution of an AUL for the Property in substantially the same form as the attached document.

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Anthony I. Wilson
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1948

Order Authorizing and Accepting a Transfer from the Springfield Redevelopment Authority of Certain Real Property on Marble Street in Springfield (Mayor Sarno)

WHEREAS, the Springfield Redevelopment Authority ("the SRA") is the owner of certain real properties ("the Properties") located on Marble Street in the South End of Springfield. Said properties are contained within the South End Urban Renewal Area, and are listed as follows:

47 Marble Street	08235-0042
51 Marble Street	08235-0041
55-57 Marble Street	08235-0040
61-63 Marble Street	08235-0039
67 Marble Street	08235-0038
79 Marble Street	08235-0038
93-95 Marble Street	08235-0030
99 Marble Street	08235-0029
105-107 Marble Street	08235-0028

The Properties are more fully set forth in the attached map; and

WHEREAS, the City of Springfield ("the City") and the SRA have worked together on the development and restoration of Emerson Wight Park ("the Project"), which the Properties directly boarder; and

WHEREAS, the SRA and the City contemplated that at the end of the Project, the City would take ownership and title to the Properties; and

WHEREAS, on November 5, 2012, the Governing Board of the SRA unanimously voted in favor of Resolution No. B- 2705, authorizing and approving the transfer of the Properties to the City, in accordance with the original intention and agreement of the Parties; and

WHEREAS, **NOW THEREFORE, BE IT ORDERED**, that the City Council of the City of Springfield with the approval of the Mayor hereby approves the transfer of the Properties from the SRA to the City.

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Anthony I. Wilson
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1949

Order Authorizing and Approving the Establishment of an Infrastructure Development Trust, for the Proceeds of the Sale of 1600 and 1592-1596 Main Street, Springfield, Massachusetts (Mayor Sarno)

WHEREAS, the Springfield City Council, on November 5, 2012, voted to approve and authorize the transfer of the property known as 1600 and 1592-1596 Main Street Springfield, Massachusetts ("the Property"); and

WHEREAS, per the terms of the City's agreement with the state regarding the MassWorks grant repayment on the Asylum project ("the Agreement"), the City is required to set up an account for the proceeds of the Asylum sale; and

WHEREAS, the City is specifically required to establish an Infrastructure Development Trust, in a non-interest bearing account, which shall be funded by the proceeds of the sale of the Property; and

WHEREAS, said funding shall only be utilized to fund the design or construction of public infrastructure improvements in the City of Springfield's Growth District, as defined by the Commonwealth of Massachusetts, Executive Office of Housing & Economic Development ("EOHED"); and

WHEREAS, per the terms of the Agreement, EOHED shall be notified in writing no less than 90 days in advance of use for such projects; and

WHEREAS, **NOW THEREFORE, BE IT ORDERED**, that the City Council of the City of Springfield with the approval of the Mayor hereby authorizes and approves the establishment of an Infrastructure Development Trust, in a non-interest bearing account, which shall be funded by the proceeds of the sale of 1592-1596, and 1600 Main Street in Springfield, Massachusetts. The

funding schedule will include an initial deposit of $100,000 at the time of closing and annual deposits, which, on or before December 31, 2021, will amount to an additional $300,000 deposited into the Trust. In total, $400,000 shall be deposited into the Trust by the City from proceeds from the sale of 1592 Main Street. Said funds shall be subject to the express terms and conditions contained in the Agreement.

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Ryan J. Barry
Sponsors:
DOC ID: 1909

Order Authorizing Award of Contract Over Three Years

By the City Council of the City of Springfield, Massachusetts:
Notice having been given and a public hearing held, as provided by law,

IT IS HEREBY ORDERED:

That the, be and it is hereby granted a location for and permission to

Order Authorizing Award of Contract Over Three Years

Also that permission be and hereby granted said {ResUserUserLookup1} to complete the above request.

I hereby certify that the foregoing order was adopted at a meeting of the City Council of the City of Springfield, Massachusetts held on November 19, 2012

_____
City Clerk

We hereby certify that on, at, at a public hearing was held on the petition of the for permission to complete the above mentioned request.

_____
City Clerk

I hereby certify that the foregoing is a true copy of a location order and certificate of hearing with notice adopted by the City Council of the City of Springfield, Massachusetts, on the November 19, 2012, and recorded with the records of location orders of said city. This certified copy is made under the provision of Chapter 166 of the General Laws and any additions thereto or amendments thereof.

Attest:

_____
City Clerk

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Lou DiCarlo
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1880

Order of Location for the Installation and Maintenance of Underground Utilities

By the City Council of the City of Springfield, Massachusetts:
Notice having been given and a public hearing held, as provided by law,

IT IS HEREBY ORDERED:

That the BAY STATE GAS COMPANY , be and it is hereby granted a location for and permission to <<Insert proper utility template>>

Ivan Street - Installing Gas Main (Columbia Gas)

<<Enter brief purpose>>

Also that permission be and hereby granted said {ResUserUserLookup1} to complete the above request.

I hereby certify that the foregoing order was adopted at a meeting of the City Council of the City of Springfield, Massachusetts held on November 19, 2012

_____
City Clerk

We hereby certify that on October 15, 2012, at 5:00 PM, at In Front of #19 Ivan Street a public hearing was held on the petition of the BAY STATE GAS COMPANY for permission to complete the above mentioned request.

_____
City Clerk

I hereby certify that the foregoing is a true copy of a location order and certificate of hearing with notice adopted by the City Council of the City of Springfield, Massachusetts, on the November 19, 2012, and recorded with the records of location orders of said city. This certified copy is made under the provision of Chapter 166 of the General Laws and any additions thereto or amendments thereof.

Attest:

_____
City Clerk

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Lou DiCarlo
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1899

Order of Location for the Installation and Maintenance of Underground Utilities

By the City Council of the City of Springfield, Massachusetts:
Notice having been given and a public hearing held, as provided by law,

IT IS HEREBY ORDERED:

That the WESTERN MASSACHUSETTS ELECTRIC COMPANY, be and it is hereby granted a location for and permission to <<Insert proper utility template>>

Massasoit St. - Inst. Conduits and Hexhole (WMECO)

<<Enter brief purpose>>

Also that permission be and hereby granted said {ResUserUserLookup1} to complete the above request.

I hereby certify that the foregoing order was adopted at a meeting of the City Council of the City of Springfield, Massachusetts held on November 19, 2012

_____
City Clerk

We hereby certify that on November 5, 2012, at 5:00 PM, at Massasoit Street at Lexington Street a public hearing was held on the petition of the WESTERN MASSACHUSETTS ELECTRIC COMPANY for permission to complete the above mentioned request.

_____
City Clerk

I hereby certify that the foregoing is a true copy of a location order and certificate of hearing with notice adopted by the City Council of the City of Springfield, Massachusetts, on the November 19, 2012, and recorded with the records of location orders of said city. This certified copy is made under the provision of Chapter 166 of the General Laws and any additions thereto or amendments thereof.

Attest:

_____
City Clerk

CITY OF SPRINGFIELD, MASSACHUSETTS

Date: November 6, 2012

TO THE MAYOR AND CITY COUNCIL OF THE CITY OF SPRINGFIELD:

The Board of Public Works, to which was referred the petition of Western Massachusetts Electric Company dated October 12, 2012, to install 4-3" PVC conduits and a hexhole in Massasoit Street, with the conduits beginning at an existing WMECO manhole, which is located in the pavement near the northwesterly corner of Lexington Street, then continuing in a westerly direction for about twenty-two (22) feet into the westerly treebelt area to a proposed hexhole in front of #60 Lexington Street, all as substantially shown on a plan attached, thereto numbered 6153, which is incorporated herein by reference and to lay and maintain underground laterals, cables, and wires in the above or intersecting public ways for the purpose of making connections with such poles and buildings as it may desire for distributing purposes, reports thereon as follows:

By virtue of Chapter 708 of the Acts of 1977 the Board of Public Works held the hearing on the above matter provided for in Section 22 of Chapter 166 of the General Laws. The hearing was held on November 5, 2012 at 5:00 P.M. at the corner of Massasoit Street and Lexington Street after due notice from the office of the City Clerk. Two (2) members of the Board and one (1) person interested in the matter were present. Of the latter, one (1) voted in favor of and no-one (0) voted against.

The Board finds the request necessary in order that the utility company can upgrade the underground facilities to greatly improve the quality and reliability of electric service in the area.

After careful consideration, the Board recommends the petition be granted provided:

That due care for public safety is exercised during construction including but not limited to the use of a police officer(s) for traffic control if necessary.

That said construction would not unnecessarily interfere with the movement of vehicular or pedestrian traffic in the area.

That care be taken during said construction not to damage any underground services.

That the construction site be returned to the same condition as found or better.

Said company shall indemnify and save harmless against all damages, costs and expenses whatsoever to which the City may be subjected in consequence of the acts or neglect of said Company, its agents or servants, or in any manner arising from the rights and privileges granted it by the City.

Attachment: WMECO REPORT - massasoit street #6153 (1899 : Massasoit St. - Inst. Conduits and Hexhole (WMECO))

In addition said company shall, before a public way is disturbed for the laying of its wire or conduit, execute its bond in the penal sum of Ten Thousand Dollars ($10,000) conditioned for faithful performance of its duties under this permit.

Said company shall comply with the requirements of existing Ordinances and orders and comply with any changes in Ordinances and orders as may hereafter be adopted by the Mayor and Council governing the construction and maintenance of buried cable, conduits and wires.

That any sidewalks that are damaged or removed will be replaced in kind and in accordance with the City of Springfield's Standard Specifications.

That ramps for the handicapped will be provided if and where required by law and in conformance with the Rules and Regulations of the Massachusetts Architectural Barrier Board.

That any curbing that is disturbed during construction will be reset to the established street grade using proper construction procedures.

That all proposed silo, manhole and hexhole covers will conform to and be compatible with established street, treebelt or sidewalk grades with suitable non-skid covers when in the sidewalk area.

That the Springfield Water and Sewer Commission be notified before construction begins. All installations of adjacent utilities must be a minimum of 4 feet from the existing water and/or sewer main, edge to edge of pipe.

That all conditions set forth in the respective order are complied with.

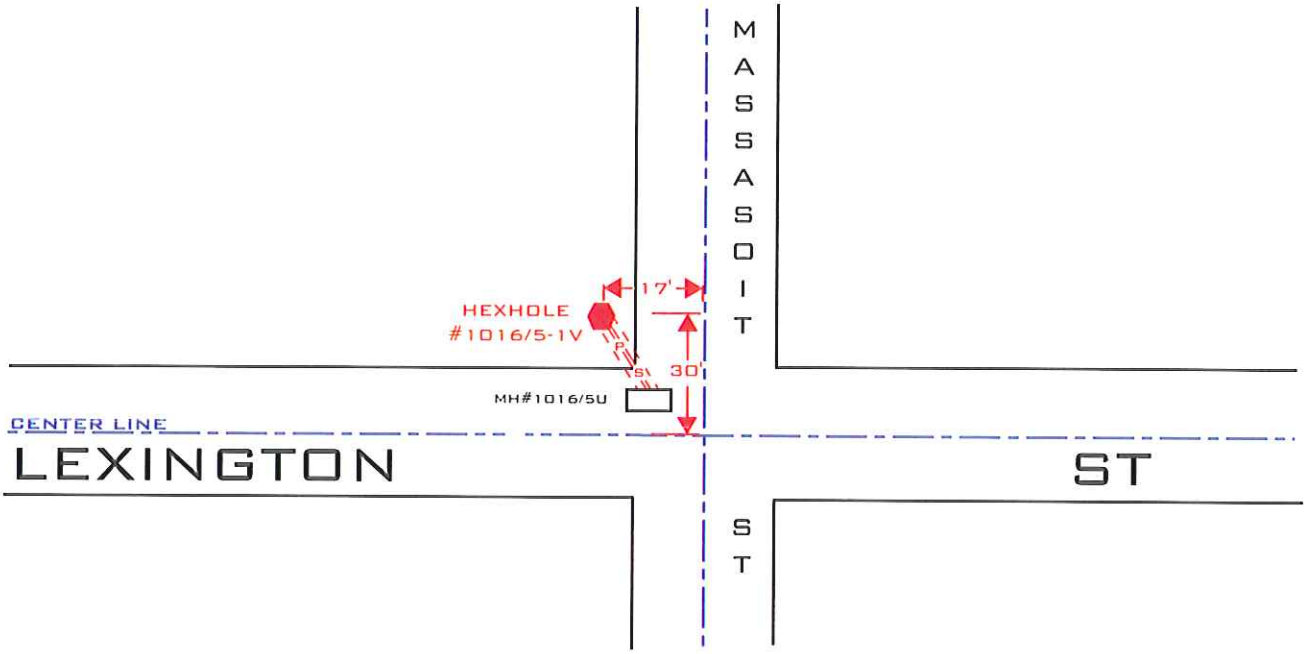
John J. Fitzgerald)
)
Edward A. Gaughan) BOARD OF PUBLIC WORKS
)

Attachment: WMECO REPORT - massasoit street #6153 (1899 : Massasoit St. - Inst. Conduits and Hexhole (WMECO))

Attachment: WMECO PLAN - massasoit street #6153 (1899 : Massasoit St. - Inst. Conduits and Hexhole

WESTERN MASSACHUSETTS ELECTRIC COMPANY

CITY: SPRINGFIELD	STREET: 60 LEXINGTON ST



PURPOSE AND DESCRIPTION:

INSTALL NEW SUBMERSIBLE TRANSFORMER VAULT TO ALLOW FOR A NEW TRANSFORMER INSTALLATION FOR THE ABILITY TO BREAK UP LOAD. INSTALL VAULT 30' NW OF CENTERLINE OF LEXINGTON ST AND 17' SW OF CL OF MASSASOIT ST. INSTALL (4) 3" CONDUITS IN A 2 OVER 2 DUCT BANK FROM EXISTING MH TO NEW VAULT TO INSTALL BOTH PRIMARY AND SECONDARY CABLES.

LEGEND

- PROPOSED JOINT POLE
- PROPOSED W.M.E.CO POLE
- EXISTING JOINT POLE
- EXISTING W.M.E.CO POLE
- EXISTING FOREIGN POLE TO BE MADE JOINT
- EXISTING W.M.E.CO POLE TO BE MADE JOINT
- HEXHOLE
- (H) HANDHOLE
- MANHOLE / VAULT
- PAD MONT TRANSFORMER
- U.G. CONDUIT
- ——P—— U.G. PRIMARY CABLE
- ——S—— U.G. SECONDARY CABLE

DRAWN BY: MICHAEL WILSON	W.O.#: 6S222138 W.R.#: 1994271	PETITION #: 6153	DISTANCES ARE APPROXIMATE NOT TO SCALE

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Lou DiCarlo
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1900

Order of Location for the Installation and Maintenance of Underground Utilities

By the City Council of the City of Springfield, Massachusetts:
Notice having been given and a public hearing held, as provided by law,

IT IS HEREBY ORDERED:

That the WESTERN MASSACHUSETTS ELECTRIC COMPANY, be and it is hereby granted a location for and permission to <<Insert proper utility template>>

Sumner Avenue - Inst. PVC Conduits (WMECO)

<<Enter brief purpose>>

Also that permission be and hereby granted said {ResUserUserLookup1} to complete the above request.

I hereby certify that the foregoing order was adopted at a meeting of the City Council of the City of Springfield, Massachusetts held on November 19, 2012

_____
City Clerk

We hereby certify that on November 7, 2012, at 5:00 AM, at Sumner Avenue at Ormond Street a public hearing was held on the petition of the WESTERN MASSACHUSETTS ELECTRIC COMPANY for permission to complete the above mentioned request.

_____
City Clerk

I hereby certify that the foregoing is a true copy of a location order and certificate of hearing with notice adopted by the City Council of the City of Springfield, Massachusetts, on the November 19, 2012, and recorded with the records of location orders of said city. This certified copy is made under the provision of Chapter 166 of the General Laws and any additions thereto or amendments thereof.

Attest:

_____
City Clerk

CITY OF SPRINGFIELD, MASSACHUSETTS

Date: November 8, 2012

TO THE MAYOR AND CITY COUNCIL OF THE CITY OF SPRINGFIELD:

The Board of Public Works, to which was referred the petition of Western Massachusetts Electric Company dated October 16, 2012, to install 6-4" PVC conduits in Sumner Avenue, with the conduits beginning at an existing WMECO manhole, which southwesterly corner is located about seventeen (17) feet southerly of the centerline of Sumner Avenue and about sixteen (16) feet westerly of the centerline of Ormond Street, then continuing in a southwesterly direction for about thirty-six (36) feet through the treebelt area at the corner of the said streets into private property, all as substantially shown on a plan attached, thereto numbered 6155, which is incorporated herein by reference and to lay and maintain underground laterals, cables, and wires in the above or intersecting public ways for the purpose of making connections with such poles and buildings as it may desire for distributing purposes, reports thereon as follows:

By virtue of Chapter 708 of the Acts of 1977 the Board of Public Works held the hearing on the above matter provided for in Section 22 of Chapter 166 of the General Laws. The hearing was held on November 7, 2012 at 5:00 P.M. at the corner of Sumner Avenue and Ormond Street after due notice from the office of the City Clerk. Two (2) members of the Board and one (1) person interested in the matter were present. Of the latter, one (1) voted in favor of and no-one (0) voted against.

The Board finds the request necessary in order that the utility company can install a padmounted transformer in private property and upgrade the electric service to #615 Belmont Avenue.

After careful consideration, the Board recommends the petition be granted provided:

That due care for public safety is exercised during construction including but not limited to the use of a police officer(s) for traffic control if necessary.

That said construction would not unnecessarily interfere with the movement of vehicular or pedestrian traffic in the area.

That care be taken during said construction not to damage any underground services.

That the construction site be returned to the same condition as found or better.

Said company shall indemnify and save harmless against all damages, costs and expenses whatsoever to which the City may be subjected in consequence of the acts or

Attachment: WMECO REPORT - sumner avenue #6155 (1900 : Sumner Avenue - Inst. PVC Conduits (WMECO))

neglect of said Company, its agents or servants, or in any manner arising from the rights and privileges granted it by the City.

In addition said company shall, before a public way is disturbed for the laying of its wire or conduit, execute its bond in the penal sum of Ten Thousand Dollars ($10,000) conditioned for faithful performance of its duties under this permit.

Said company shall comply with the requirements of existing Ordinances and orders and comply with any changes in Ordinances and orders as may hereafter be adopted by the Mayor and Council governing the construction and maintenance of buried cable, conduits and wires.

That any sidewalks that are damaged or removed will be replaced in kind and in accordance with the City of Springfield's Standard Specifications.

That ramps for the handicapped will be provided if and where required by law and in conformance with the Rules and Regulations of the Massachusetts Architectural Barrier Board.

That any curbing that is disturbed during construction will be reset to the established street grade using proper construction procedures.

That all proposed silo, manhole and hexhole covers will conform to and be compatible with established street, treebelt or sidewalk grades with suitable non-skid covers when in the sidewalk area.

That the Springfield Water and Sewer Commission be notified before construction begins. All installations of adjacent utilities must be a minimum of 4 feet from the existing water and/or sewer main, edge to edge of pipe.

That all conditions set forth in the respective order are complied with.

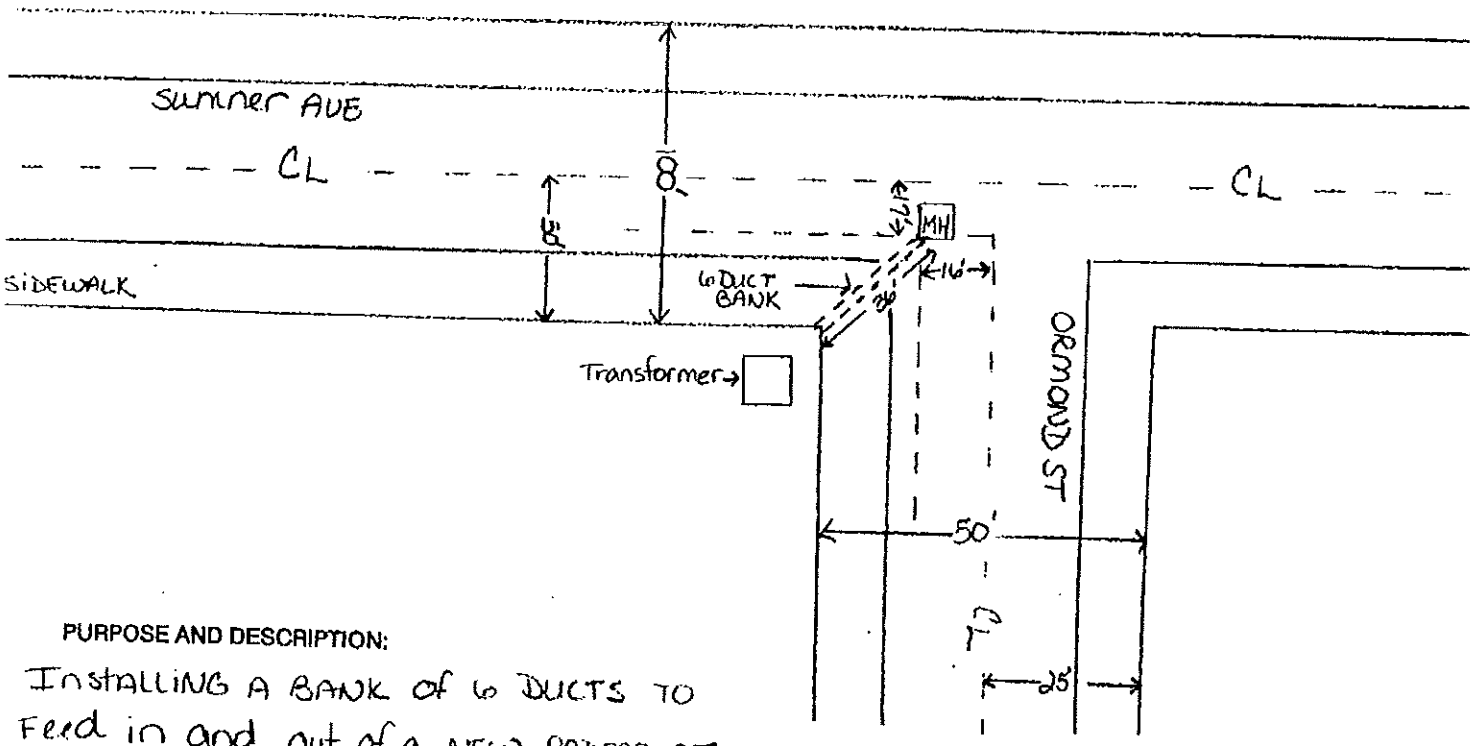
John J. Fitzgerald)
)
)

BOARD OF PUBLIC WORKS

Attachment: WMECO REPORT - sumner avenue #6155 (1900 : Sumner Avenue - Inst. PVC Conduits (WMECO))

WESTERN MASSACHUSETTS ELECTRIC COMPANY	TOWN SPRINGFIELD
NEW ENGLAND TELEPHONE & TELEGRAPH COMPANY	STREET Sumner Ave

OP84 REV. 2-92



PURPOSE AND DESCRIPTION:

Installing a bank of 6 ducts to feed in and out of a new padmount transformer at 615 Belmont Ave

LEGEND

- PROPOSED JOINT POLE
- PROPOSED W.M.E.CO. POLE
- EXISTING JOINT POLE
- EXISTING W.M.E.CO. POLE
- EXISTING W.M.E.CO. POLE TO BE MADE JOINT
- EXISTING FOREIGN POLE TO BE MADE JOINT
- HANDHOLE
- PAD MOUNT TRANSFORMER
- UG. CONDUIT
- P — UG. PRIMARY CABLE
- S — UG. SECONDARY CABLE
- MANHOLE
- HEXHOLE

DRAWN BY	W.O.#	DISTANCES ARE APPROXIMATE	PETITION NO.
Kelly Keenan	2001214	NOT TO SCALE	6155

Attachment: WMECO PLAN - sumner avenue #6155 (1900 : Sumner Avenue - Inst. PVC Conduits (WMECO))

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Ryan J. Barry
Sponsors:
DOC ID: 1910 A

Order Authorizing Intergovernmental Agreement for Animal Control

By the City Council of the City of Springfield, Massachusetts:
Notice having been given and a public hearing held, as provided by law,

IT IS HEREBY ORDERED:

That the, be and it is hereby granted a location for and permission to

Order Authorizing Intergovernmental Agreement for Animal Control

Also that permission be and hereby granted said {ResUserUserLookup1} to complete the above request.

I hereby certify that the foregoing order was adopted at a meeting of the City Council of the City of Springfield, Massachusetts held on November 19, 2012

_____
City Clerk

We hereby certify that on, at, at a public hearing was held on the petition of the for permission to complete the above mentioned request.

_____
City Clerk

I hereby certify that the foregoing is a true copy of a location order and certificate of hearing with notice adopted by the City Council of the City of Springfield, Massachusetts, on the November 19, 2012, and recorded with the records of location orders of said city. This certified copy is made under the provision of Chapter 166 of the General Laws and any additions thereto or amendments thereof.

Attest:

_____
City Clerk

City of Springfield
36 Court Street
Springfield, MA 01103

SCHEDULED

SPECIAL ACT (ID # 1951)

Meeting: 11/19/12 07:00 PM
Department: Law
Category: General
Prepared By: Anthony I. Wilson
Initiator: Anthony I. Wilson
Sponsors: At-Large Councilor Bud Williams
DOC ID: 1951

An Act Exempting the City of Springfield from the Residency Provision of Massachusetts General Laws Chapter Seventy-One Section Thirty-Eight

Be it enacted by the Senate and House of Representatives in General Court assembled, and by the authority of the same, as follows:

SECTION 1. Notwithstanding the residency provisions of Massachusetts General Laws Chapter seventy-one Section thirty-eight, or any other general or special law to the contrary, Assistant principals, principals, directors, supervisors, deputy superintendents or professional employees, employed by the School Department of the City of Springfield shall be subject to residency regulations as enacted by the City of Springfield.

SECTION 2. The provisions of said act shall take effect upon passage.

To the Honorable Senate and House of Representatives of the Commonwealth of Massachusetts In the General Court Assembled.

The undersigned, Domenic J. Sarno, Mayor of the City of Springfield, in the name and on behalf of said City, and in the pursuance of an order, a duly attested copy of which is hereto annexed, petition the General Court for legislation entitled "**AN ACT EXEMPTING THE CITY OF SPRINGFIELD FROM THE RESIDENCY PROVISION OF MASSACHUSETTS GENERAL LAWS CHAPTER SEVENTY-ONE SECTION THIRTY-EIGHT**," in substantially the same form as a draft Act attached hereto.

Attachment: TJO Agreement - FINAL 11-9-12 (1910 : Order Authorizing Intergovernmental Agreement for Animal Control)

Respectfully submitted,

_____

Domenic J. Sarno, Mayor
City of Springfield
36 Court Street
Springfield, MA 01103

CITY OF SPRINGFIELD

In the City Council October , 2012

ORDERED, that the mayor be, and hereby is authorized on behalf of the City of Springfield, to take any and all steps necessary to secure the passage by the General Court of the special legislation entitled "**AN ACT EXEMPTING THE CITY OF SPRINGFIELD FROM THE RESIDENCY PROVISION OF MASSACHUSETTS GENERAL LAWS CHAPTER SEVENTY-ONE SECTION THIRTY-EIGHT**," in substantially the same form as a draft Act attached hereto, except for clerical or editorial errors.

ATTACHMENTS:

- Memo for Special Act for City Council bud williams (DOC)

Attachment: TJO Agreement - FINAL 11-9-12 (1910 : Order Authorizing Intergovernmental Agreement for Animal Control)

City of Springfield

SCHEDULED

Meeting: 11/19/12 07:00 PM
Initiator: Lou DiCarlo
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1943

Order of Location for the Installation and Maintenance of Underground Utilities

By the City Council of the City of Springfield, Massachusetts:
Notice having been given and a public hearing held, as provided by law,

IT IS HEREBY ORDERED:

That the VERIZON NEW ENGLAND, be and it is hereby granted a location for and permission to <<Insert proper utility template>>

Main Street I.O. - Install New Pole (Verizon)

<<Enter brief purpose>>

Also that permission be and hereby granted said {ResUserUserLookup1} to complete the above request.

I hereby certify that the foregoing order was adopted at a meeting of the City Council of the City of Springfield, Massachusetts held on November 19, 2012

_____
City Clerk

We hereby certify that on November 13, 2012, at 5:00 PM, at Main Street I.O. at Front Street a public hearing was held on the petition of the VERIZON NEW ENGLAND for permission to complete the above mentioned request.

_____
City Clerk

I hereby certify that the foregoing is a true copy of a location order and certificate of hearing with notice adopted by the City Council of the City of Springfield, Massachusetts, on the November 19, 2012, and recorded with the records of location orders of said city. This certified copy is made under the provision of Chapter 166 of the General Laws and any additions thereto or amendments thereof.

Attest:

_____
City Clerk

CITY OF SPRINGFIELD, MASSACHUSETTS

Date: November 14, 2012

TO THE MAYOR AND CITY COUNCIL OF THE CITY OF SPRINGFIELD:

The Board of Public Works, to which was referred the petition of Verizon New England, Inc. dated October 4, 2012, to install a pole in Main Street, Indian Orchard with the pole being located in the southerly treebelt in front of #77 Main Street about two hundred seventy-nine (279) feet westerly of the centerline of Myrtle Street, all as substantially shown on a plan attached, thereto numbered 9AD9N4, which is incorporated herein by reference and to lay and maintain underground laterals, cables, and wires in the above or intersecting public ways for the purpose of making connections with such poles and buildings as it may desire for distributing purposes, reports thereon as follows:

By virtue of Chapter 708 of the Acts of 1977 the Board of Public Works held the hearing on the above matter provided for in Section 22 of Chapter 166 of the General Laws. The hearing was held on November 13, 2012 at 5:00 P.M. at the corner of Main Street, Indian Orchard and Front Street after due notice from the office of the City Clerk. Two (2) members of the Board and two (2) persons interested in the matter were present. Of the latter, two (2) voted in favor of and no-one (0) voted against.

The Board finds the request necessary in order that the utility company can install the pole to provide for the distribution of intelligence and telecommunications to the inhabitants of Springfield and the surrounding area.

After careful consideration, the Board recommends the petition be granted provided:

That the pole be installed in accordance with the plans from Vanasse Hangen Brustlin for the Main Street at Front Street Improvements Project, dated August 2012, and said utility company confirms the exact location for the new pole through the Contractor and Design Engineer.

That due care for public safety is exercised during construction including but not limited to the use of a police officer(s) for traffic control if necessary.

That said construction would not unnecessarily interfere with the movement of vehicular or pedestrian traffic in the area.

Attachment: VERIZON REPORT - main street io #9AD9N4 (1943 : Main Street I.O. - Install New Pole (Verizon))

That care be taken during said construction not to damage any underground services.

That the construction site be returned to the same condition as found or better.

Said company shall indemnify and save harmless against all damages, costs and expenses whatsoever to which the City may be subjected in consequence of the acts or neglect of said Company, its agents or servants, or in any manner arising from the rights and privileges granted it by the City.

In addition said company shall, before a public way is disturbed for the laying of its wire or conduit, execute its bond in the penal sum of Ten Thousand Dollars ($10,000) conditioned for faithful performance of its duties under this permit.

Said company shall comply with the requirements of existing Ordinances and orders and comply with any changes in Ordinances and orders as may hereafter be adopted by the Mayor and Council governing the construction and maintenance of buried cable, conduits and wires.

That any sidewalks that are damaged or removed will be replaced in kind and in accordance with the City of Springfield's Standard Specifications.

That ramps for the handicapped will be provided if and where required by law and in conformance with the Rules and Regulations of the Massachusetts Architectural Barrier Board.

That any curbing that is disturbed during construction will be reset to the established street grade using proper construction procedures.

That the Springfield Water and Sewer Commission be notified before construction begins. All installations of adjacent utilities must be a minimum of 4 feet from the existing water and/or sewer main, edge to edge of pipe.

That all conditions set forth in the respective order are complied with.

John J. Fitzgerald)

Edward A. Gaughan)

_____) BOARD OF PUBLIC WORKS

_____)

Attachment: VERIZON REPORT - main street io #9AD9N4 (1943 : Main Street I.O. - Install New Pole (Verizon))

PETITION PLAN

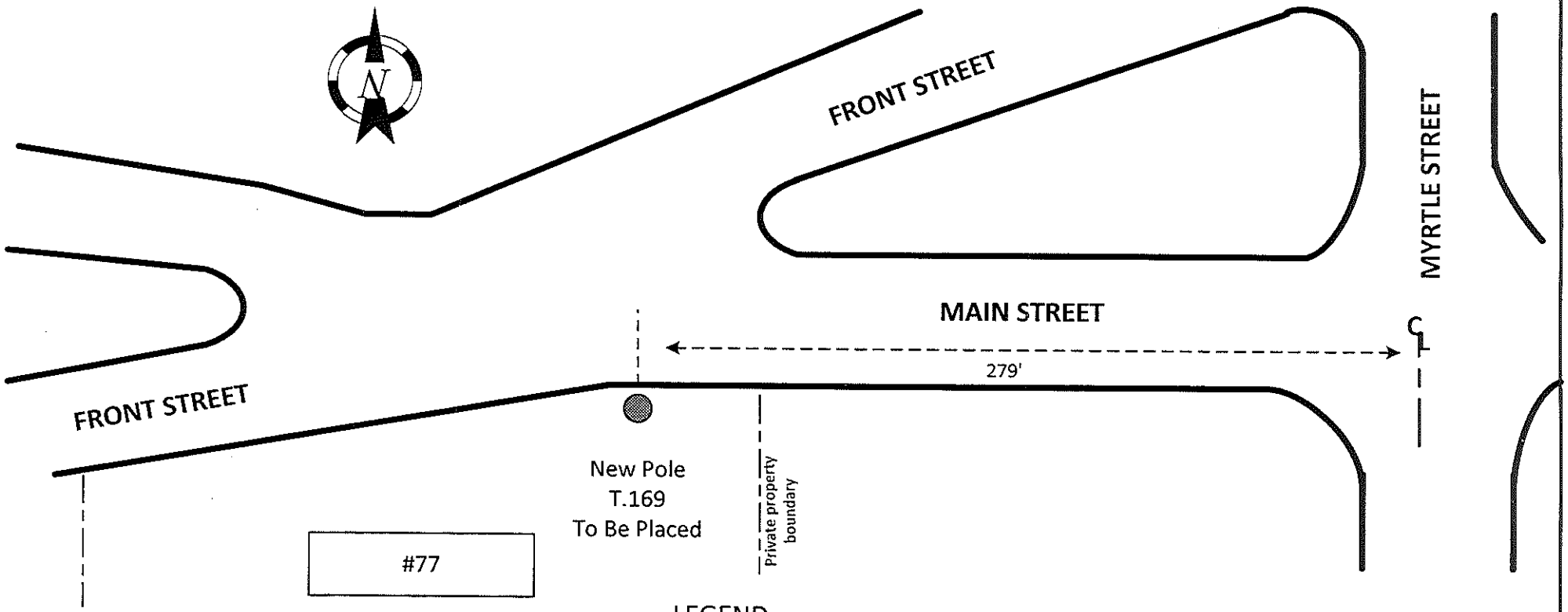
MUNICIPALITY: SPRINGFIELD -INDIAN ORCHARD

NO.: 9AD9N4

VERIZON NEW ENGLAND, INC.

DATE : 10/4/2012

SHOWING: PROPOSED POLE ON MAIN STREET



LEGEND

- PROPOSED VERIZON POLE
- VERIZON POLE TO BE REMOVED
- VERIZON POLE TO REMAIN
- PROPOSED JOINTLY OWNED POLE
- EXISTING VERIZON MANHOLE
- EXISTING JOINTLY OWNED POLE TO REMAIN
- POWER CO. POLE TO BE REMOVED
- EXISTING JOINTLY OWNED POLE TO BE REMOVED
- EXISTING POWER CO. POLE TO BE HELD JOINTLY
- PROPOSED VERIZON MANHOLE
- PROPOSED VERIZON CONDUIT

Attachment: VERIZON PLAN - main street io #9AD9N4 (1943 : Main Street I.O. - Install New Pole (Verizon))

City of Springfield
36 Court Street
Springfield, MA 01103

SCHEDULED

Meeting: 11/19/12 07:00 PM
Department: City Council
Category: Local Law
Prepared By: Robert Arieti
Initiator: Robert Arieti
Sponsors: Sarno, Fenton, Allen, Lysak, Edwards, Walsh, Twiggs
DOC ID: 1945

ORDINANCE (ID # 1945)

Residency Requirements

AMENDING TITLE 3, OF THE REVISED ORDINANCES OF THE C0ITY OF SPRINGFIELD, 1986, AS AMENDED IS HEREBY FURTHER AMENDED BY DELETING CHAPTER 3.08 IN ITS ENTIRETY AND INSERTING IN ITS PLACE A NEW CHAPTER 3.08 THERETO: RESIDENCY REQUIREMENTS.

Title 3, of the Revised Ordinances of the City of Springfield, 1986, as amended, hereby further amended by deleting chapter 3.08 its entirety and inserting in its place a new chapter 3.08 thereto as follow:

Chapter 3.08

RESIDENCY REQUIREMENTS

SECTIONS:

3.08.010 Bonus program / Scope of ordinance.
3.08.020 Condition of employment.
3.08.030 Certificate of residence.
3.08.040 Conflict with collective bargaining agreements and general laws.
3.08.050 Waivers.
3.08.060 Actual principal residence.
3.08.070 Residency compliance officer.
3.08.080 Residency compliance auditor.
3.08.090 Severability.

WHEREAS, the City of Springfield (“the City) encourages all of its employees to reside in Springfield and take advantage of the tremendous opportunities that being a resident of Springfield affords its citizens. The City is home to four area colleges, the Basketball Hall of Fame, the MassMutual Center, Symphony Hall, Falcons Hockey, Armor Basketball and a great variety of restaurants. The City enjoys a tremendous park system and easy access to the Massachusetts Turnpike, Interstates 91, 291 and 391. In Springfield there are weekly events and entertainment opportunities. Springfield has several museums and nine public libraries.

NOW THEREFORE, the Springfield City Council hereby enacts the following ordinance:

3.08.010 Bonus Program / Scope of Ordinance. A. All employees of the City who were hired before January 1, 2013 and who are residents of the City shall receive an annual bonus, (not added to their base salary or wages), in an amount equal a maximum of one thousand dollars ($1,000) and a minimum of two hundred and fifty dollars ($250), prorated based on years of

employment with the City. Said employees with twenty five years (25) or more of employment with the City shall be entitled to the maximum bonus and those with one (1) year or less of employment with the City shall be entitled to the minimum bonus. Payment of said bonus shall occur in the final payroll of the calendar year commencing with calendar year 2013 and continuing annually thereafter. Collective bargaining units that do not incorporate the entirety of this ordinance into their employment agreements shall not be entitled to this benefit.

B. Collective bargaining units that elect to incorporate this ordinance into their employment agreement shall not be bound by the date specific as established in a preceding section; said bargaining unit may elect to incorporate this ordinance into their employment agreement through the collective bargaining process with the City. In order to incorporate this ordinance into a bargaining unit's employment agreement, there must be an expressly written provision of the employment agreement attesting to this. Collective bargaining units shall not be entitled to the bonus references in paragraph 3.08.010.A above before calendar year 2013.

C. For the purpose of this ordinance, an employee shall be any person, employed by the City, receiving monies from the City or any City department subject to withholding taxes by the state or federal government. Temporary employees, seasonal employees, and part-time employees working less than twenty hours per week shall not be subject to this ordinance. Elected officials are not eligible for benefits under this ordinance. Employees of the School department shall not be bound by this ordinance nor shall they be entitled to any benefits herein unless expressly authorized by a majority vote of the Springfield School Committee.

D. Residency. To be eligible for the benefits described in Section 3.08.010 above an employee's principal residence must be in the City of Springfield for the entire preceding calendar year except that employees of the Thomas J. O'Connor Animal Control & Adoption Center may have a principal residence either within the City of Springfield or any other municipality that receives services from said animal control and adoption center pursuant to a contract with the City.

3.08.020 Condition of employment. Except as provided for in Chapter 3.08, every person first employed by the city of Springfield on or after January 1, 2013, shall be a resident of the city of Springfield within six (6) months of the start of employment and shall not cease to be a resident during his employment by the city.

3.08.030 Certificate of residence. A. Upon taking employment with the city, and annually on December first thereafter, every person subject to this section shall file with his or her department head, or like officer, a certificate, signed under the pains and penalties of perjury, stating his or her name and place of residency. A copy of every such certificate shall be transmitted by the department head or like officer to the Residency Compliance Officer, hereinafter defined, within five (5) business days of filing. It shall be the department head's responsibility to ensure that accurate records are kept and transmitted to the Residency Compliance Officer with regards to said certificates.

B. Upon receipt of a certificate indicating a place of residence not within the city, or if no such certificate is filed, the department head or like officer shall forthwith strike the name of the employee from the payroll, the department head or like officer shall give notice of his or her action to the city clerk, who shall transmit the same to the city council, mayor and collector/treasurer. This section shall not apply to employees hired before January 1, 2013 or those that are otherwise exempted from the residency ordinance.

C. Every employee shall be furnished a copy of the residency ordinance when hired, but failure to receive a copy or notice shall not be held to excuse any violation.

3.08.040 Conflict with collective bargaining agreements and general laws. A. To the extent permissible by law, no collective bargaining agreement hereafter entered into by the city of Springfield shall contain any provision contrary to the provisions hereof, nor shall the absence of any provision with respect to the residency of any person hired after the date of such contract be deemed to prevent enforcement of this section.

B. To the extent permissible by chapter thirty-one of the General Laws, every examination held to establish a civil service list for employment by the city of Springfield shall be restricted to the city of Springfield residence. In the event this section shall be deemed to be in conflict with a provision of any general or special law shall govern, and shall not defeat the application of this ordinance with respect to any position not governed by that law.

3.08.050 Waiver. Waivers from the ordinance may be granted only in the manner described herein. Waivers shall be requested in writing by any applicant. Said applicant must first receive approval from the Director of Labor Relations who will sign the request and forward it to the Mayor and City Council. With the request, the Director of Labor Relations shall provide details about the job posting including but not limited to: the date the job was posted, the date the posting was closed, the number of applicants who applied, and the number of Springfield residents who applied. After the request is forwarded to the Mayor and the City Council, the job posting will be opened for a second time for a period not less then 7-days. Said posting will be publicly posted on the City's website. After the second posting of the job is complete, the Director of Labor Relations will report back to the Mayor and the City Council with the results. If the need for a waiver persists, the Mayor may then, in his sole discretion, grant a waiver for the applicant if such an action is in the best interests of the City. Any such waiver granted by the Mayor must be forwarded directly to the City Council for purposes of notification. Nothing in this Section shall be deemed to invalidate waivers granted by the Mayor or the Control Board prior to January 1, 2013 nor shall this Section restrict the Mayor to make reappointments to existing city employees.

3.08.060 Actual principal residence. In construing this ordinance, residence shall be the actual principal residence of the individual, where he or she normally eats and sleeps and maintains his or her normal personal household effects. This ordinance shall be deemed to affect both civil and non-civil service employees of the city.

3.08.070 Residency Compliance Officer. A. there shall be a Residency Compliance Officer ("officer") within the personnel department, which shall also have the power to conduct investigations of city employees and officers where there is a reason to believe that an employee or officer may be in violation of the residency ordinance.

B. The Residency Compliance Officer shall ensure, pursuant to the residency ordinance, the filing of residency affidavits and submission of additional documentation to verify residency. It shall also serve as a vehicle whereby employees and the general public may report those who are believed to be in violation of the residency ordinance. Where questionable claims of residency exist, the Residency Compliance Officer shall complete an investigation as delineated in the below section.

C. The Residency Compliance Officer shall have the power to investigate, conduct hearings, administer oaths, take testimony of any person under oath and in connection therewith to require the production for examination of any documents, books, papers, or evidence relating to any other matter in question or under investigation by the officer. For purposes of investigating complaints, the officer shall require the production all of the following documentation: utility bills, deed, driver's license, insurance bills, any leases, voter registration information, and any other evidence the officer deems worthy of production. The officer may conduct particular hearings. The employees who are the subject of a hearing shall be afforded notice of and an opportunity to provide testimony, witnesses, documents and to have counsel present.

D. Should the Residency Compliance Officer, after hearing, find that an employee, who is subject to the residency ordinance, does not reside within the city of Springfield, the officer shall issue his/her findings to the employee's department head who shall enforce the provisions of this ordinance against the said individual.

3.08.080 Residency Compliance Auditor.

A. There shall be a Residency Compliance Auditor who shall be the Director of Internal Audit or their designee.

B. The Residency Compliance Auditor shall serve one year terms and be subject to confirmation by the City Council at the first regular meeting of the City Council for each calendar year. If the Residency Compliance Auditor is not confirmed by the City Council, another employee of the city will be brought before the City Council for confirmation to the aforementioned position at the next regular meeting of the City Council.

C. Any vacancy occurring as to the Residency Compliance Auditor position shall be filled within ninety (90) days by the Director of Internal Audit, subject to the confirmation of the City Council.

D. The purpose of the Residency Compliance Auditor shall be to investigate and make

findings relative to compliance with Springfield's residency ordinance.

E. Semiannually, the Residency Compliance Auditor shall provide a written report, signed by the Residency Compliance Officer, the Director of Labor Relations, and the Mayor which shall be filed with the City Council. This report shall include all investigations and findings by the Residency Compliance Officer with respect to the residency ordinance and a summary of update regarding the ordinance, waivers, and other matters that the Residency Compliance Auditor believes to be of interest to the City Council insofar as they relate to the residency ordinance and its enforcement.

3.08.090 Severability. The provisions hereof are severable, and the action of any court of competent jurisdiction in declaring any part or portion hereof invalid, shall not act to defeat any remaining part or portion hereof, and any such action declaring this section invalid with respect to any position or person shall not be held to apply to any other person or position.

Approved as to Form:

_____
Associate City Solicitor

Ordinance approved this _____ day of ____, 20__.

_____

_____
Wayman Lee
City Clerk

AMENDING TITLE 3, OF THE REVISED ORDINANCES OF THE C0ITY OF SPRINGFIELD, 1986, AS AMENDED IS HEREBY FURTHER AMENDED BY DELETING CHAPTER 3.08 IN ITS ENTIRETY AND INSERTING IN ITS PLACE A NEW CHAPTER 3.08 THERETO: RESIDENCY REQUIREMENTS.

Title 3, of the Revised Ordinances of the City of Springfield, 1986, as amended, hereby further amended by deleting chapter 3.08 its entirety and inserting in its place a new chapter 3.08 thereto as follow:

Chapter 3.08

RESIDENCY REQUIREMENTS

SECTIONS:

3.08.010 Bonus program / Scope of ordinance.
3.08.020 Condition of employment.
3.08.030 Certificate of residence.
3.08.040 Conflict with collective bargaining agreements and general laws.
3.08.050 Waivers.
3.08.060 Actual principal residence.
3.08.070 Residency compliance officer.
3.08.080 Residency compliance auditor.
3.08.090 Severability.

WHEREAS, the City of Springfield ("the City) encourages all of its employees to reside in Springfield and take advantage of the tremendous opportunities that being a resident of Springfield affords its citizens. The City is home to four area colleges, the Basketball Hall of Fame, the MassMutual Center, Symphony Hall, Falcons Hockey, Armor Basketball and a great variety of restaurants. The City enjoys a tremendous park system and easy access to the Massachusetts Turnpike, Interstates 91, 291 and 391. In Springfield there are weekly events and entertainment opportunities. Springfield has several museums and nine public libraries.

NOW THEREFORE, the Springfield City Council hereby enacts the following ordinance:

3.08.010 Bonus Program / Scope of Ordinance. A. All employees of the City who were hired before January 1, 2013 and who are residents of the City shall receive an annual bonus, (not added to their base salary or wages), in an amount equal a maximum of one thousand dollars ($1,000) and a minimum of two hundred and fifty dollars ($250), prorated based on years of employment with the City. Said employees with twenty five years (25) or more of employment with the City shall be entitled to the maximum bonus and those with one (1) year or less of employment with the City shall be entitled to the minimum bonus. Payment of said bonus shall occur in the final payroll of the calendar year commencing with calendar year 2013 and continuing annually thereafter. Collective bargaining units that do not incorporate the entirety of this ordinance into their employment agreements shall not be entitled to this benefit.

B. Collective bargaining units that elect to incorporate this ordinance into their employment agreement shall not be bound by the date specific as established in a preceding section; said bargaining unit may elect to incorporate this ordinance into their employment agreement through the collective bargaining process with the City. In order to incorporate this ordinance into a bargaining unit's employment agreement, there must be an expressly written provision of the employment agreement attesting to this. Collective bargaining units shall not be entitled to the bonus references in paragraph 3.08.010.A above before calendar year 2013.

C. For the purpose of this ordinance, an employee shall be any person, employed by the

City, receiving monies from the City or any City department subject to withholding taxes by the state or federal government. Temporary employees, seasonal employees, and part-time employees working less than twenty hours per week shall not be subject to this ordinance. Elected officials are not eligible for benefits under this ordinance. Employees of the School department shall not be bound by this ordinance nor shall they be entitled to any benefits herein unless expressly authorized by a majority vote of the Springfield School Committee.

D. Residency. To be eligible for the benefits described in Section 3.08.010 above an employee's principal residence must be in the City of Springfield for the entire preceding calendar year except that employees of the Thomas J. O'Connor Animal Control & Adoption Center may have a principal residence either within the City of Springfield or any other municipality that receives services from said animal control and adoption center pursuant to a contract with the City.

3.08.020 Condition of employment. Except as provided for in Chapter 3.08, every person first employed by the city of Springfield on or after January 1, 2013, shall be a resident of the city of Springfield within six (6) months of the start of employment and shall not cease to be a resident during his employment by the city.

3.08.030 Certificate of residence. A. Upon taking employment with the city, and annually on December first thereafter, every person subject to this section shall file with his or her department head, or like officer, a certificate, signed under the pains and penalties of perjury, stating his or her name and place of residency. A copy of every such certificate shall be transmitted by the department head or like officer to the Residency Compliance Officer, hereinafter defined, within five (5) business days of filing. It shall be the department head's responsibility to ensure that accurate records are kept and transmitted to the Residency Compliance Officer with regards to said certificates.

B. Upon receipt of a certificate indicating a place of residence not within the city, or if no such certificate is filed, the department head or like officer shall forthwith strike the name of the employee from the payroll, the department head or like officer shall give notice of his or her action to the city clerk, who shall transmit the same to the city council, mayor and collector/treasurer. This section shall not apply to employees hired before January 1, 2013 or those that are otherwise exempted from the residency ordinance.

C. Every employee shall be furnished a copy of the residency ordinance when hired, but failure to receive a copy or notice shall not be held to excuse any violation.

3.08.040 Conflict with collective bargaining agreements and general laws. A. To the extent permissible by law, no collective bargaining agreement hereafter entered into by the city of Springfield shall contain any provision contrary to the provisions hereof, nor shall the absence of any provision with respect to the residency of any person hired after the date of such contract be deemed to prevent enforcement of this section.

B. To the extent permissible by chapter thirty-one of the General Laws, every examination held to establish a civil service list for employment by the city of Springfield shall be restricted to the city of Springfield residence. In the event this section shall be deemed to be in conflict with a provision of any general or special law shall govern, and shall not defeat the application of this ordinance with respect to any position not governed by that law.

3.08.050 Waiver. Waivers from the ordinance may be granted only in the manner described herein. Waivers shall be requested in writing by any applicant. Said applicant must first receive approval from the Director of Labor Relations who will sign the request and forward it to the Mayor and City Council. With the request, the Director of Labor Relations shall provide details about the job posting including but not limited to: the date the job was posted, the date the posting was closed, the number of applicants who applied, and the number of Springfield residents who applied. After the request is forwarded to the Mayor and the City Council, the job posting will be opened for a second time for a period not less then 7-days. Said posting will be publicly posted on the City's website. After the second posting of the job is complete, the Director of Labor Relations will report back to the Mayor and the City Council with the results. If the need for a waiver persists, the Mayor may then, in his sole discretion, grant a waiver for the applicant if such an action is in the best interests of the City. Any such waiver granted by the Mayor must be forwarded directly to the City Council for purposes of notification. Nothing in this Section shall be deemed to invalidate waivers granted by the Mayor or the Control Board prior to January 1, 2013 nor shall this Section restrict the Mayor to make reappointments to existing city employees.

3.08.060 Actual principal residence. In construing this ordinance, residence shall be the actual principal residence of the individual, where he or she normally eats and sleeps and maintains his or her normal personal household effects. This ordinance shall be deemed to affect both civil and non-civil service employees of the city.

3.08.070 Residency Compliance Officer. A. there shall be a Residency Compliance Officer ("officer") within the personnel department, which shall also have the power to conduct investigations of city employees and officers where there is a reason to believe that an employee or officer may be in violation of the residency ordinance.

B. The Residency Compliance Officer shall ensure, pursuant to the residency ordinance, the filing of residency affidavits and submission of additional documentation to verify residency. It shall also serve as a vehicle whereby employees and the general public may report those who are believed to be in violation of the residency ordinance. Where questionable claims of residency exist, the Residency Compliance Officer shall complete an investigation as delineated in the below section.

C. The Residency Compliance Officer shall have the power to investigate, conduct hearings, administer oaths, take testimony of any person under oath and in connection therewith to require the production for examination of any documents, books, papers, or evidence relating to any other matter in question or under investigation by the officer. For purposes of

investigating complaints, the officer shall require the production all of the following documentation: utility bills, deed, driver's license, insurance bills, any leases, voter registration information, and any other evidence the officer deems worthy of production. The officer may conduct particular hearings. The employees who are the subject of a hearing shall be afforded notice of and an opportunity to provide testimony, witnesses, documents and to have counsel present.

D. Should the Residency Compliance Officer, after hearing, find that an employee, who is subject to the residency ordinance, does not reside within the city of Springfield, the officer shall issue his/her findings to the employee's department head who shall enforce the provisions of this ordinance against the said individual.

3.08.080 Residency Compliance Auditor.

A. There shall be a Residency Compliance Auditor who shall be the Director of Internal Audit or their designee.

B. The Residency Compliance Auditor shall serve one year terms and be subject to confirmation by the City Council at the first regular meeting of the City Council for each calendar year. If the Residency Compliance Auditor is not confirmed by the City Council, another employee of the city will be brought before the City Council for confirmation to the aforementioned position at the next regular meeting of the City Council.

C. Any vacancy occurring as to the Residency Compliance Auditor position shall be filled within ninety (90) days by the Director of Internal Audit, subject to the confirmation of the City Council.

D. The purpose of the Residency Compliance Auditor shall be to investigate and make findings relative to compliance with Springfield's residency ordinance.

E. Semiannually, the Residency Compliance Auditor shall provide a written report, signed by the Residency Compliance Officer, the Director of Labor Relations, and the Mayor which shall be filed with the City Council. This report shall include all investigations and findings by the Residency Compliance Officer with respect to the residency ordinance and a summary of update regarding the ordinance, waivers, and other matters that the Residency Compliance Auditor believes to be of interest to the City Council insofar as they relate to the residency ordinance and its enforcement.

3.08.090 Severability. The provisions hereof are severable, and the action of any court of competent jurisdiction in declaring any part or portion hereof invalid, shall not act to defeat any remaining part or portion hereof, and any such action declaring this section invalid with respect to any position or person shall not be held to apply to any other person or position.

Approved as to Form:

_____
Associate City Solicitor

Ordinance approved this _____ day of ____, 20__.

_____

_____
Wayman Lee
City Clerk

City of Springfield
36 Court Street
Springfield, MA 01103

SCHEDULED

ORDINANCE (ID # 1946)

Meeting: 11/19/12 07:00 PM
Department: Law
Category: Local Law
Prepared By: Anthony I. Wilson
Initiator: Anthony I. Wilson
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1946

Amended City Ordinance Chapter 100, Sections 100-4, 6

AN ORDINANCE TO AMEND the Code of the City of Springfield, Chapter 100 thereof, entitled Alarms, to change certain language.

BE IT ORDAINED BY THE COUNCIL OF THE CITY OF SPRINGFIELD AS FOLLOWS:

Section 1. Section 100-4 of Chapter 100, Alarms, of the Code of the City of Springfield, is hereby amended by deleting section 100-4 in its entirety and replacing it to read as follows:

§ 100-4. Mandatory Change Out of Master Boxes

A. No hardwired Master Box shall be installed in the City of Springfield after the adoption of this ordinance.

B. Any construction underway before or after the adoption of this ordinance, calling for the installation of a hardwired Master Box, shall require installation a fire monitoring system that meets the standards set forth in the State Building Code, 780 CMR 907.14. No existing master box shall be removed without prior approval to do so by the Head of the Fire Department pursuant to MGL-148 Sec. 27A.

C. All Master Boxes located in the City of Springfield must be replaced with a fire monitoring system in compliance with the standards set forth in the State Building Code, 780 CMR 907.14, no later than December 31, 2013. No existing master box shall be removed without prior approval to do so by the Head of the Fire Department pursuant to M.G.L. chapter 48 Sec. 27A. The owner(s) of the property where the Master Box is located shall be responsible for any and all costs associated with compliance with this ordinance.

Section 2. Section 100-6 of Chapter 100, Alarms, of the Code of the City of Springfield, is hereby amended by deleting section 100-6 in its entirety and replacing it to read as follows:

§ 100-6. Penalties

E. Anyone who fails to comply with any provision or requirement of section 100-4 of this ARTICLE shall be punished by a fine of one hundred dollars ($100.00) per calendar day. Each calendar day on which the violation exists shall be deemed to be a separate offense (added 3/13/1990) (as amended by the City Council on _____).

City of Springfield
36 Court Street
Springfield, MA 01103

SCHEDULED

ORDINANCE (ID # 1950)

Meeting: 11/19/12 07:00 PM
Department: Law
Category: Local Law
Prepared By: Anthony I. Wilson
Initiator: Anthony I. Wilson
Sponsors: Mayor Domenic J. Sarno
DOC ID: 1950

Chapter 67-70 Fire Commissioner – Qualification

ORD. NO. ___67-70

AN ORDINANCE (A LOCAL LAW) TO AMEND the Code of the City of Springfield, Chapter 67 thereof, entitled Fire Commissioner, by deleting and repealing section 70.

Be it ordained (enacted) by the Council of the City of Springfield, as follows:

Section 1. Section 70 of Chapter 67, Fire Commissioner, of the Code of the City of Springfield, is hereby amended to read as follows:

> Such fire commissioner at the time of appointment shall have had at least seven (7) years' experience in fire service management, at least two (2) of which must be at the level of a deputy fire chief, or equivalent rank, in a federal, state, or local fire department, or equivalent body of experience in the private sector. Such fire commissioner shall not engage in any other business and shall be sworn to the faithful performance of the office before entering upon the same. The fire commissioner at the time of appointment shall possess an associate's degree in fire science or in a field related to his or her duties from an accredited institution of higher learning.

Section 2. Effective date.

> This ordinance (local law) shall become effective _____, 2012.

City of Springfield
36 Court Street
Springfield, MA 01103

SCHEDULED

SPECIAL ACT (ID # 1951)

Meeting: 11/19/12 07:00 PM
Department: Law
Category: General
Prepared By: Anthony I. Wilson
Initiator: Anthony I. Wilson
Sponsors: At-Large Councilor Bud Williams
DOC ID: 1951

An Act Exempting the City of Springfield from the Residency Provision of Massachusetts General Laws Chapter Seventy-One Section Thirty-Eight

Be it enacted by the Senate and House of Representatives in General Court assembled, and by the authority of the same, as follows:

SECTION 1. Notwithstanding the residency provisions of Massachusetts General Laws Chapter seventy-one Section thirty-eight, or any other general or special law to the contrary, Assistant principals, principals, directors, supervisors, deputy superintendents or professional employees, employed by the School Department of the City of Springfield shall be subject to residency regulations as enacted by the City of Springfield.

SECTION 2. The provisions of said act shall take effect upon passage.

To the Honorable Senate and House of Representatives of the Commonwealth of Massachusetts In the General Court Assembled.

The undersigned, Domenic J. Sarno, Mayor of the City of Springfield, in the name and on behalf of said City, and in the pursuance of an order, a duly attested copy of which is hereto annexed, petition the General Court for legislation entitled "**AN ACT EXEMPTING THE CITY OF SPRINGFIELD FROM THE RESIDENCY PROVISION OF MASSACHUSETTS GENERAL LAWS CHAPTER SEVENTY-ONE SECTION THIRTY-EIGHT**," in substantially the same form as a draft Act attached hereto.

Respectfully submitted,

_____

Domenic J. Sarno, Mayor
City of Springfield
36 Court Street
Springfield, MA 01103

C I T Y O F S P R I N G F I E L D

In the City Council October , 2012

ORDERED, that the mayor be, and hereby is authorized on behalf of the City of Springfield, to take any and all steps necessary to secure the passage by the General Court of the special legislation entitled "**AN ACT EXEMPTING THE CITY OF SPRINGFIELD FROM THE RESIDENCY PROVISION OF MASSACHUSETTS GENERAL LAWS CHAPTER SEVENTY-ONE SECTION THIRTY-EIGHT**," in substantially the same form as a draft Act attached hereto, except for clerical or editorial errors.

INTER
OFFICE

MEMO

To: Wayman Lee, Esquire
City Clerk

From: Anthony I. Wilson, Esquire
Associate City Solicitor

Subject: Special Act - **AN ACT EXEMPTING THE CITY OF SPRINGFIELD FROM THE RESIDENCY PROVISION OF MASSACHUSETTS GENERAL LAWS CHAPTER SEVENTY-ONE SECTION THIRTY-EIGHT**

Date: October 10, 2012

In accordance with Rules and Orders of the Springfield City Council, 2012, as amended, Rule 16A, the City Solicitor on behalf of the sponsors (City Councilor Bud L. Williams) provides this report regarding the above Special Act ("Act") a copy is attached hereto. If the Act is approved by the City Council and signed by the Mayor, the Act would be sent the Massachusetts General Court for passage. If passed by the General Court the Governor must sign the Act.

The Act provides that notwithstanding the provisions of section thirty-eight of chapter seventy-one of the General Laws or any other general or special law to the contrary, Assistant principals, principals, directors, supervisors, deputy superintendents or professional employees, employed by the School Department of the City of Springfield shall be subject to residency regulations as enacted by the City of Springfield.

It is the recommendation of City Councilors Bud L. Williams that this Act should be passed by the City Council. If you have any questions regarding this report, please feel free to contact me or the sponsors.

Attachment: Memo for Special Act for City Council bud williams (1951 : An Act Exempting the City of Springfield from the Residency Provision